

# The Nature and Properties of Light

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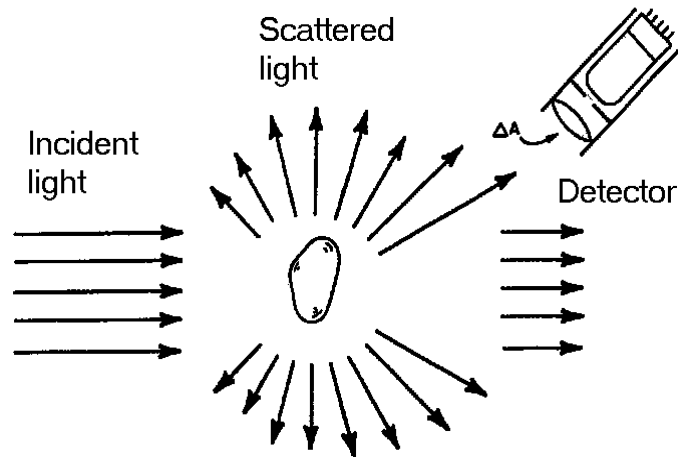


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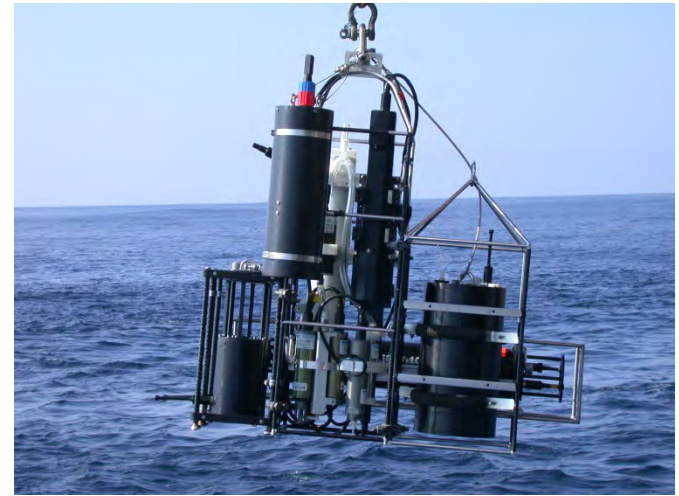


# OCEAN OPTICS RESEARCH LAB AT SIO

## PARTICLE OPTICS



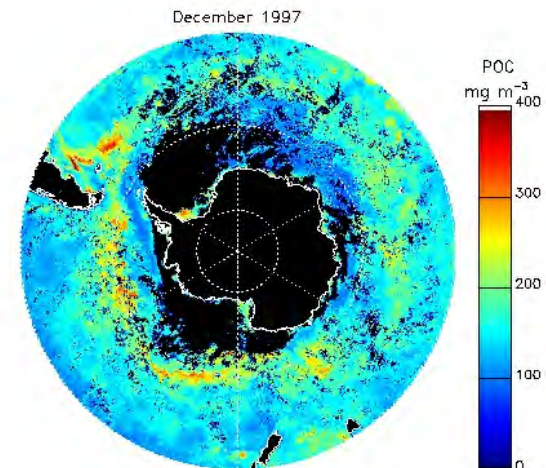
## FIELD OBSERVATIONS



## MODELING

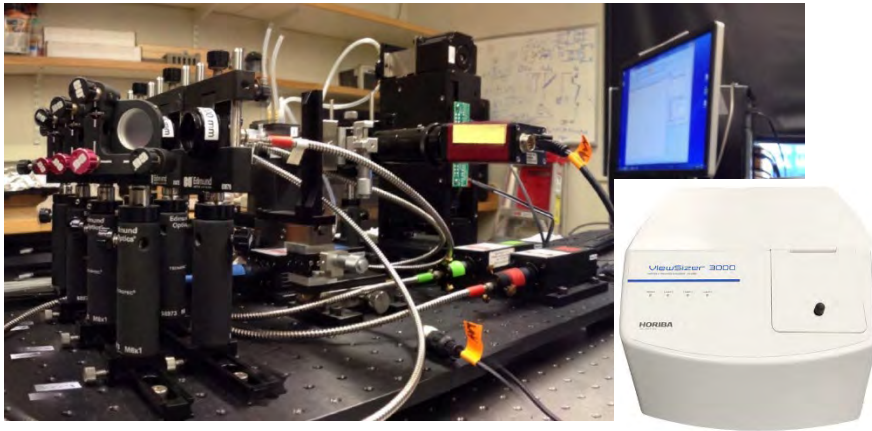
$$\begin{aligned} \cos \theta \frac{dL(z, \xi, \lambda)}{dz} &= -c(z, \lambda)L(z, \xi, \lambda) \\ &+ \int_{\Xi} L(z, \xi', \lambda) \beta(z, \xi' \rightarrow \xi, \lambda) d\Omega(\xi') \\ &+ S(z, \xi, \lambda) \end{aligned}$$

## REMOTE SENSING



# DEVELOPMENT OF NOVEL TECHNOLOGIES AND OPTICAL METHODS

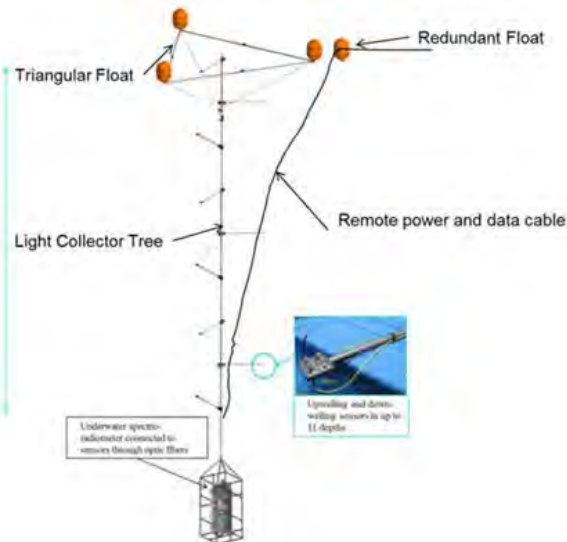
## Nanoparticle analysis



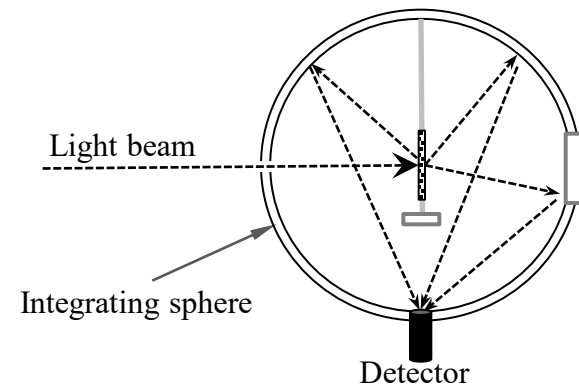
## Wave focusing of sunlight



## Near-surface light field



## Light absorption by particles

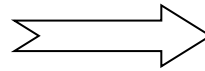


# What is ocean optics?

**In principle it sounds straightforward, but in reality it's not...**

Seawater is a highly complex medium containing a “witch's brew” of dissolved substances and suspended particles which strongly alter its optical properties and light propagation.

Microscopic particles



Ocean optics is a strongly interdisciplinary science combining physics, biology, chemistry, geology, and atmospheric sciences.

# What is light?


“Every physicist thinks he knows what a photon is. I spent my life to find out what a photon is and I still don't know it”

“Physics should be made as simple as possible, but no simpler”

- Albert Einstein

# A Brief History of Light

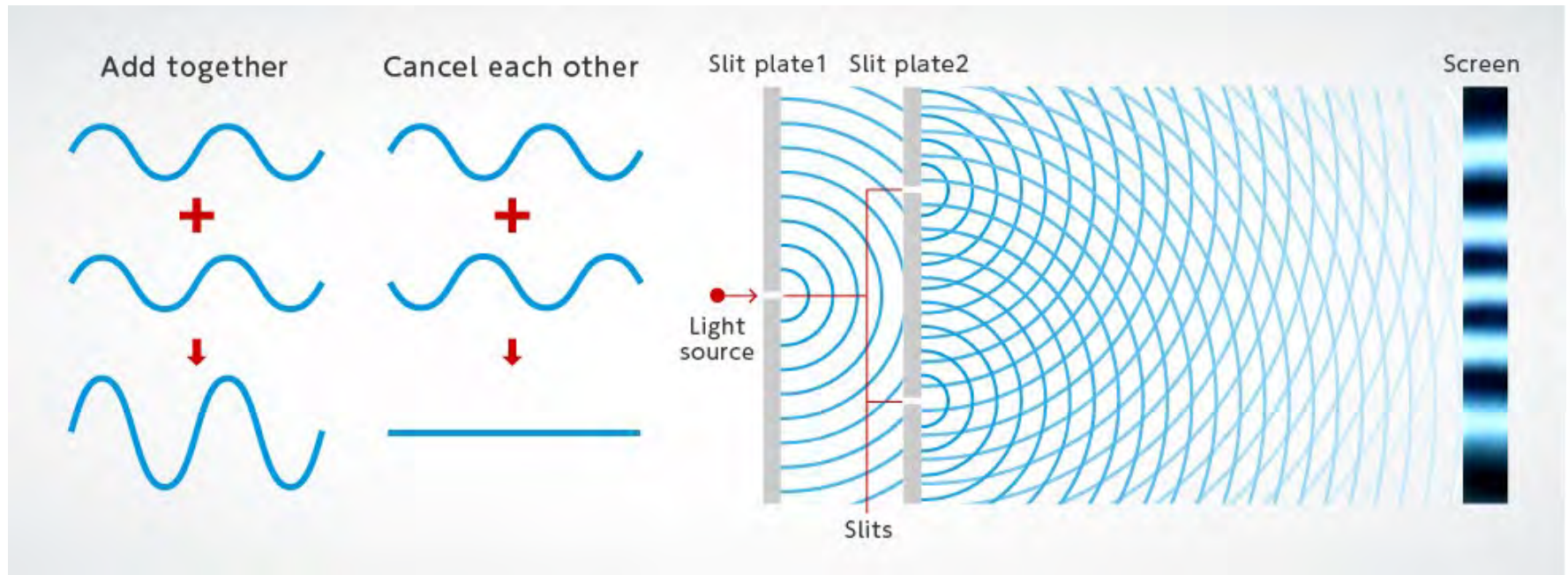
Is light a particle, a wave, both, or neither?

- 
- ancient Greece, Democritus: Everything is made of particles (atoms)
  - ~1000, Alhazen (Hasan Ibn al-Haytham): light is rays of particles
  - 1630s, Descartes: light is waves
  - 1670s, Newton: light is particles (“corpuscles”)
  - same time: Hooke, Huygens: light is waves
  - early 1800s Young, Fresnel: light is waves (double slit interference, diffraction)
  - late 1800s, Maxwell: light is propagating electric and magnetic fields; a wave
  - 1900: Planck, emission of light is quantized (black body radiation)
  - 1905: Einstein: light is absorbed as discrete quanta (photoelectric effect)
  - early-mid 1900’s: quantum mechanics: both light and matter have both particle and wave properties (“wave-particle duality”)
  - Compton: X-rays scattered from electrons can be explained by a particle nature of X-rays, but not a wave theory
  - 1926: Lewis (physical chemist) coined the term “photon” for the smallest unit of radiant energy
  - mid-late 1900s: quantum electrodynamics (QED): light is photon “particles”, but the photons cannot be localized; they take all possible paths from source to detector; they fill all of space between the source and detector, a single photon can interfere with itself (single-photon double-slit experiment)
  - today, elementary particle theory: everything is particles, but all particles have wave properties



Thomas Young  
(1773 - 1829)

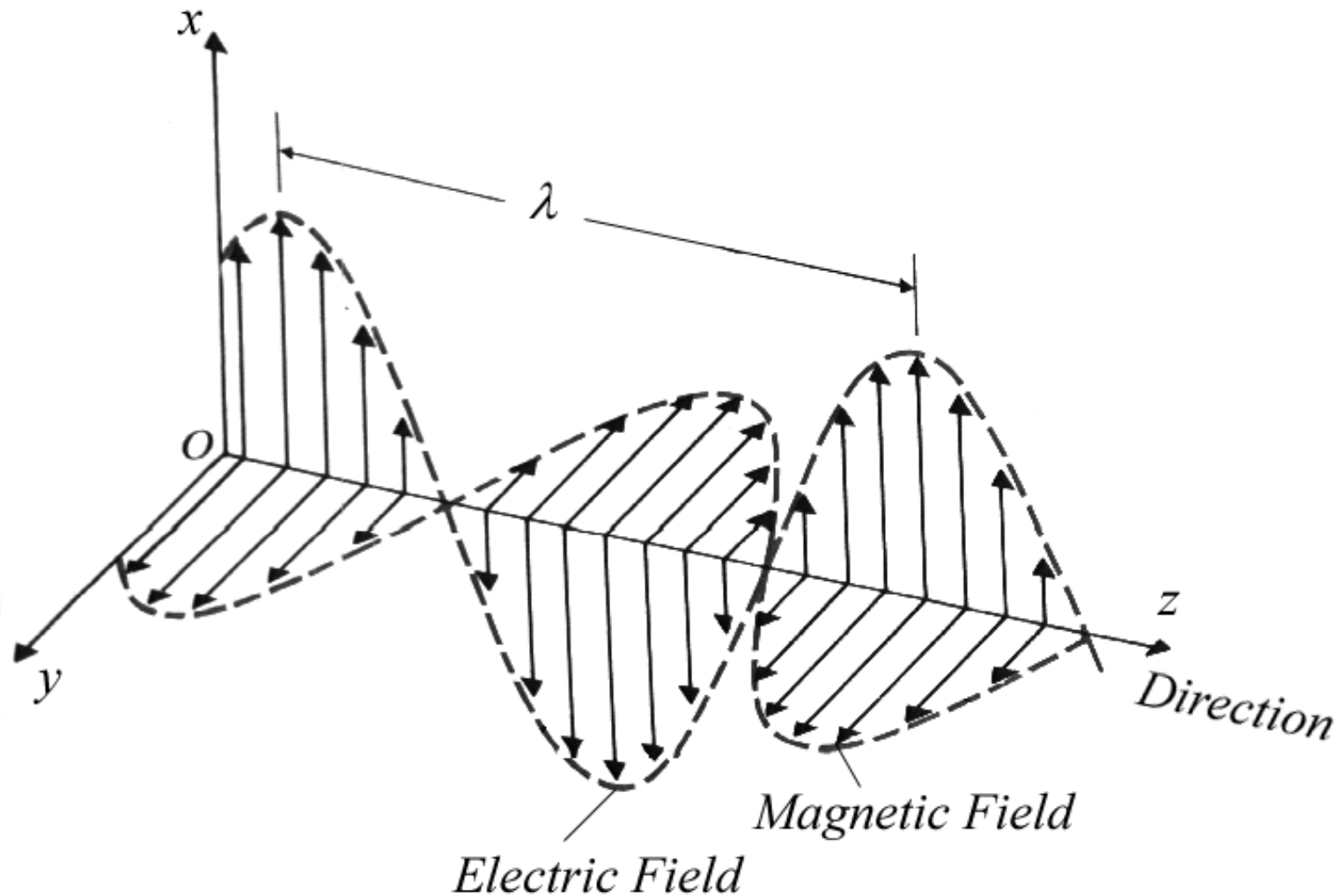
In 1801, an English physicist Thomas Young asserted that light has the properties of a wave in an experiment called **Young's (Double-Slit) Interference Experiment**. This experiment showed that light beams (waves) passing through two slits (double-slit) add together or cancel each other and then interference fringes appear on the screen. This phenomenon can be explained if light is considered as a wave.



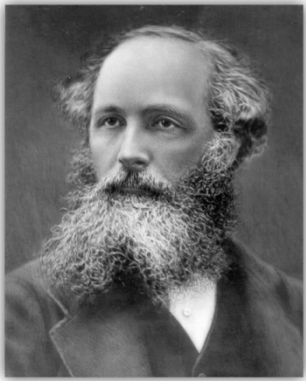


# Electromagnetic wave

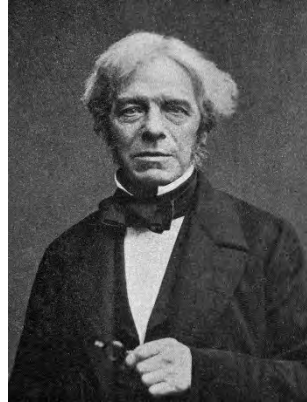
Time-varying electric and magnetic fields are coupled in an electromagnetic field radiating from the source



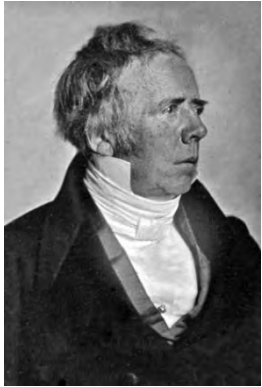
# Maxwell equations: How is an electromagnetic field produced?



James Clerk Maxwell  
(1831 - 1879)



Michael Faraday  
(1791 - 1867)



Hans Christian Ørsted  
(1777 - 1851)



André-Marie Ampère  
(1775 - 1836)



Johann Carl Friedrich Gauss  
(1777 - 1855)

## Electric fields are generated by:

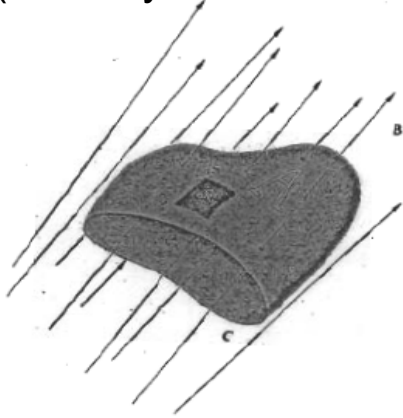
- Electric charges
- Time-varying magnetic fields

## Magnetic fields are generated by:

- Charges in motion (electric currents)
- Time-varying electric fields

## $\vec{B}$ -field through an open area

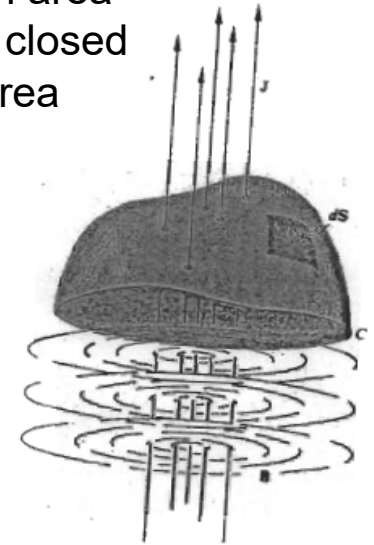
Time-varying magnetic field has an electric field associated with it  
(Faraday's Induction Law)



## Current area density through an open area

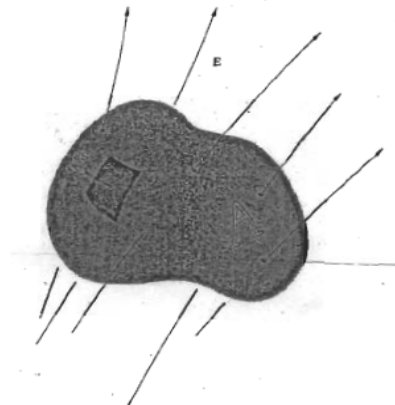
Current passing through an open area generates magnetic field along a closed curve that bounds the open area  
(Ampère's Circuital Law)

Moving charges are not the only source of magnetic field. Time-varying electric field is accompanied by a magnetic field (Maxwell's contribution)



## $\vec{E}$ -field through a closed area

The net flux of electric field through a closed area is related to the total enclosed charge that consists of sources (+) and sinks (-) of electric field (Gauss's Law-Electric)

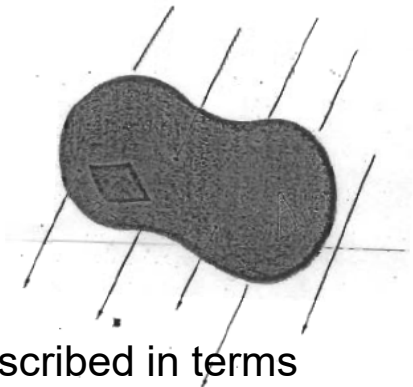


## $\vec{B}$ -field through a closed area

The net flux of magnetic field through a closed area is zero (Gauss's Law-Magnetic)

No isolated magnetic monopoles (sources or sinks of magnetic field) exist within the enclosed volume and they have never been found.

Magnetic fields can be described in terms of current distributions.



- From Maxwell's equations in differential form in free space (vacuum) we obtain

$$\nabla^2 \vec{E} = \mu_0 \epsilon_0 \frac{\partial^2 \vec{E}}{\partial t^2}$$

$$\nabla^2 \vec{B} = \mu_0 \epsilon_0 \frac{\partial^2 \vec{B}}{\partial t^2}$$

where  $\nabla^2 \equiv \nabla \cdot \nabla$  is the scalar operator known as Laplacian

$$\nabla^2 = \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2}$$

- Example of one of six scalar equations

$$\frac{\partial^2 E_x}{\partial x^2} + \frac{\partial^2 E_x}{\partial y^2} + \frac{\partial^2 E_x}{\partial z^2} = \epsilon_0 \mu_0 \frac{\partial^2 E_x}{\partial t^2}$$

- Wave equation if

$$\epsilon_0 \mu_0 = \frac{1}{c^2}$$

Inserting the experimentally determined values of  $\epsilon_0$  and  $\mu_0$  into  $\epsilon_0 \mu_0 = 1/c^2$  gives  $c = 3 \times 10^8 \text{ ms}^{-1}$  which is the speed of light.

Maxwell observed (*A Dynamical Theory of the Electromagnetic Field*, 1864, §20):

”This velocity is so nearly that of light that it seems we have strong reason to conclude that light itself (including radiant heat and other radiations) is an electromagnetic disturbance in the form of waves propagated through the electromagnetic field according to electromagnetic laws.”

This conclusion is one of the greatest intellectual achievements of all time: not only were electric and magnetic fields tied together in Maxwell’s equations, but light itself was shown to be an electromagnetic phenomenon.

# Poynting Vector

Energy transported by electromagnetic wave per unit time per unit area

- Poynting vector at time instant  $t$

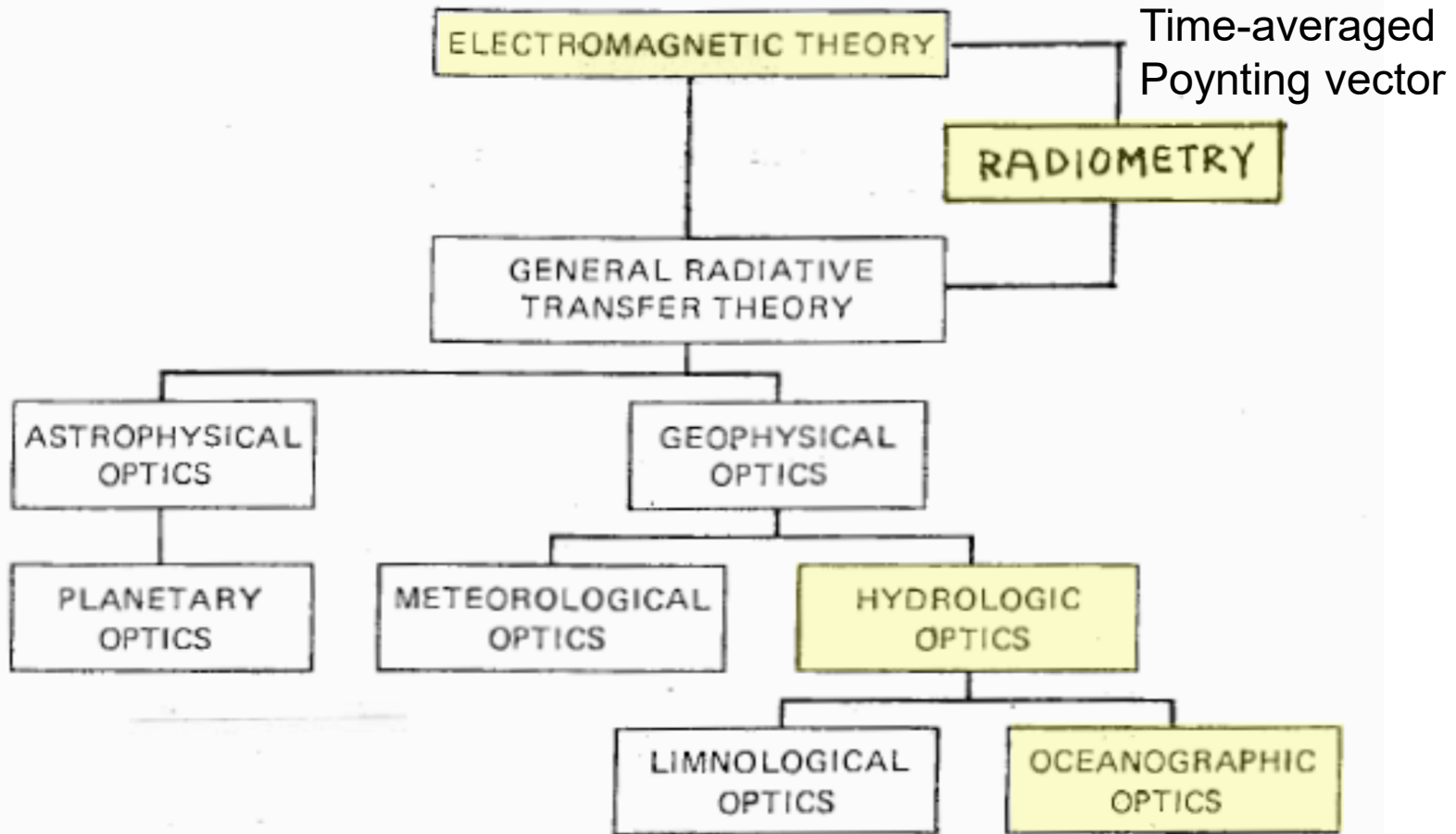
$$\vec{S}(t) = \frac{1}{\mu_0} \vec{E}(t) \times \vec{B}(t) = c^2 \epsilon_0 \vec{E}(t) \times \vec{B}(t)$$

- Time-average magnitude of  $\vec{S}(t)$  is

$$\langle S \rangle_T = \frac{c^2 \epsilon_0}{2} |\vec{E}_0 \times \vec{B}_0| = \frac{c \epsilon_0}{2} E_0^2$$

squared amplitude of electric field

# The bridge between ocean optics and classical electromagnetic theory in physics



(Preisendorfer 1976)

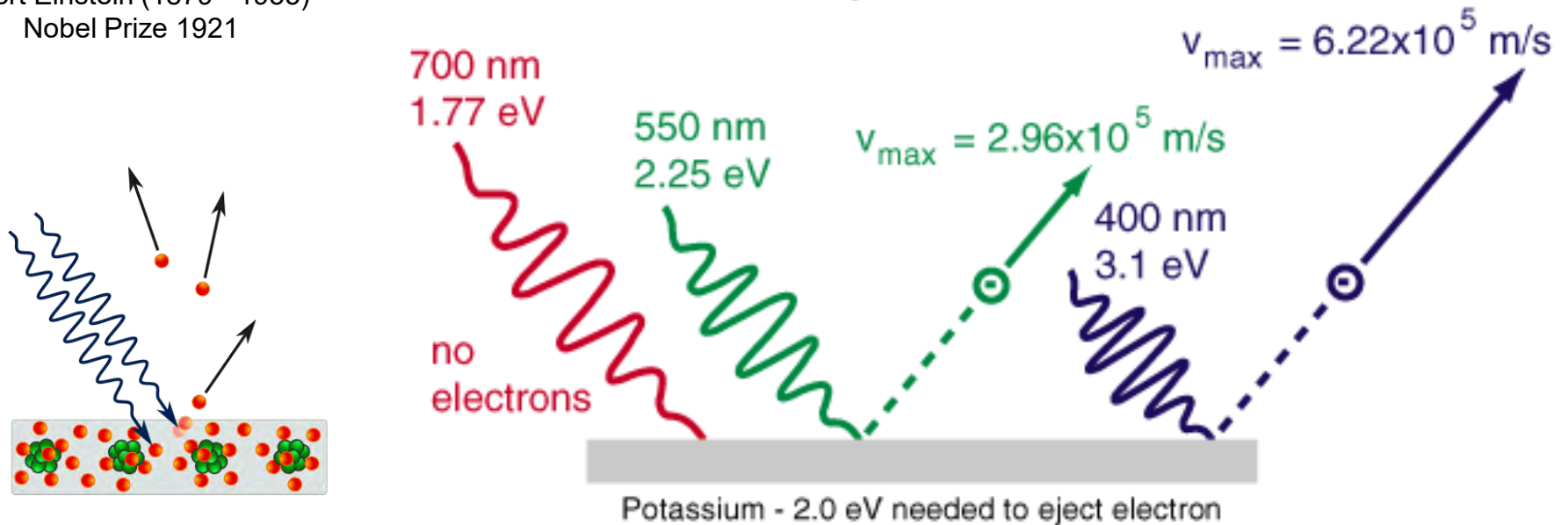


Albert Einstein (1879 - 1955)  
Nobel Prize 1921

On a Heuristic Viewpoint Concerning the Production and Transformation of Light, *Annalen der Physik*, **17** (6), 132–148 (1905).

One of four Einstein's *Annus Mirabilis* (Miracle Year) papers published in 1905.

$$E_{\text{photon}} = h\nu$$



# Photoelectric effect

$$1 \text{ eV (electronvolt)} = 1.602176634 \times 10^{-19} \text{ J}$$



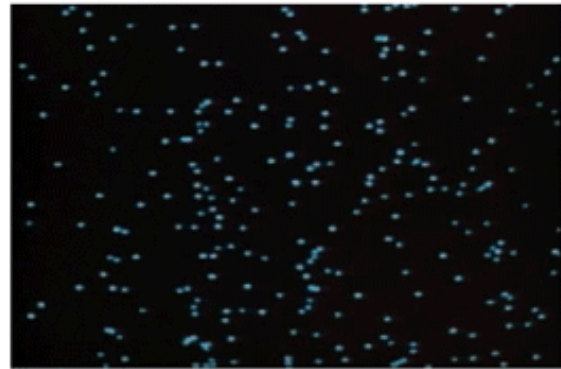
## THE FUNDAMENTAL MYSTERY:

### Single-photon interference in a double-slit experiment

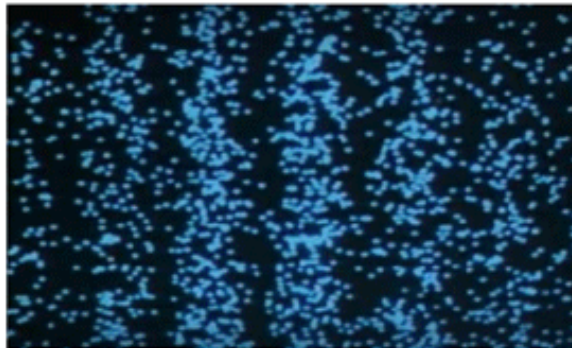
Young's Interference Experiment or Double-slit Interference Experiment carried out using technology to detect individual light particles to investigate whether interference fringes appear even if the light is drastically weakened to the level having only one particle. Results from the experiment confirmed that one photon exhibited an interference fringe pattern (Hamamatsu Photonics, 1981).



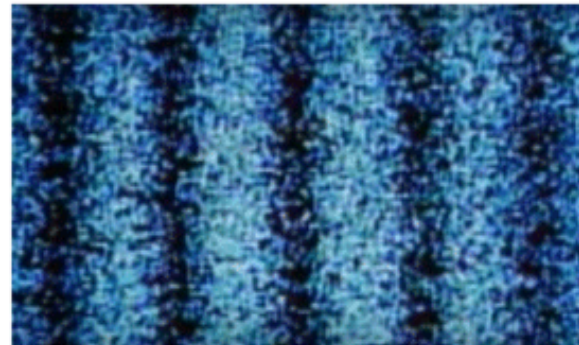
(a)  $t = 3$  min



(b) a few minutes later

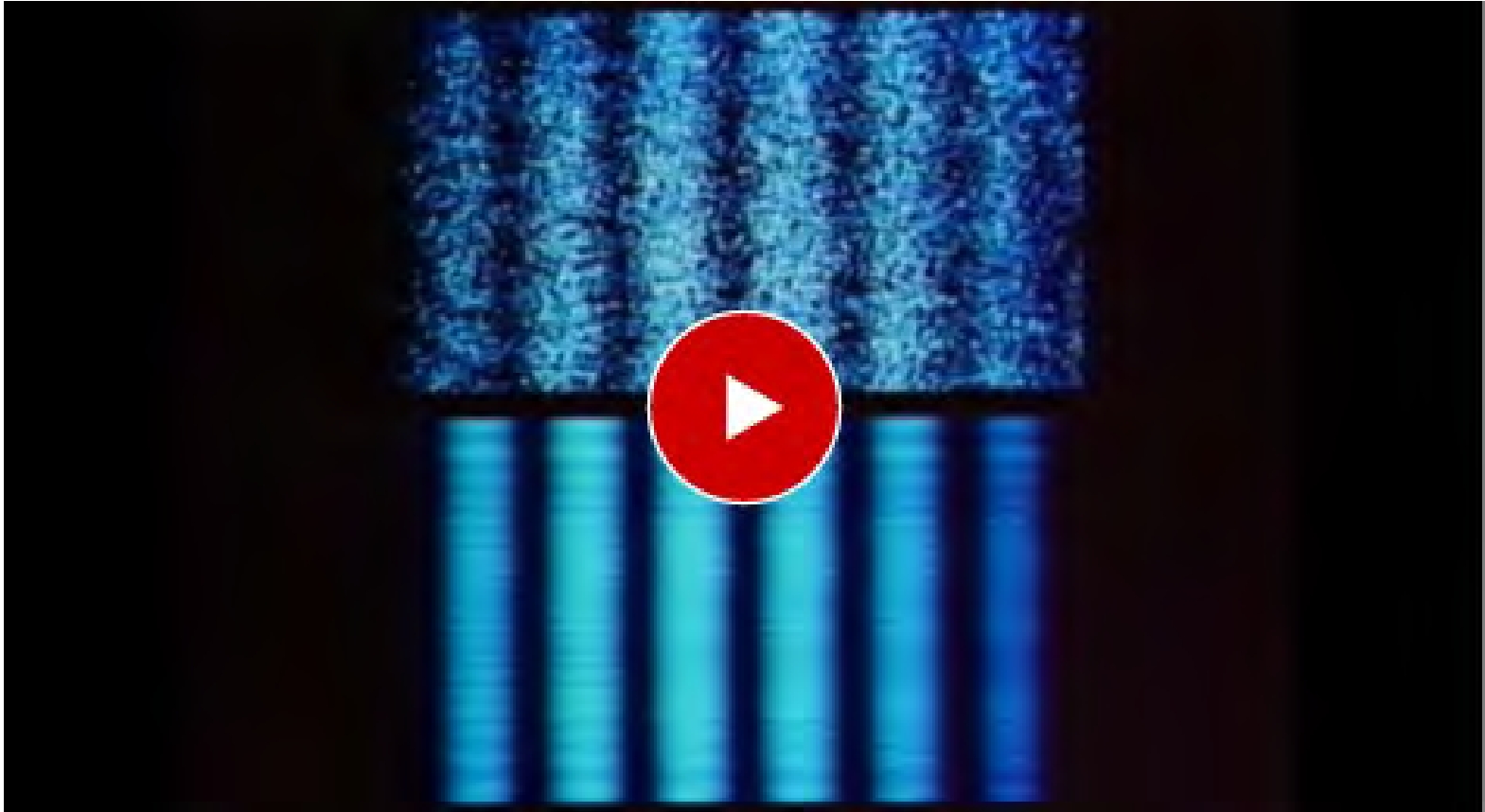


(c)  $t = 25$  min



(d)  $t = 6$  hours

## Young's Double-Slit Interference Experiment with Single Photons (top)



Young's Interference Experiment with a very large number of photons (bottom)

<http://photonterrace.net/en/photon/duality/>

**Watch the video at** <https://www.youtube.com/watch?v=I9Ab8BLW3kA>

This experiment captured the dual nature of photon by a special camera for the first time ever.

# What Nobel Prize winners for work in optics have said about photons

**Albert Einstein (photoelectric effect):** “All the fifty years of conscious brooding have brought me no closer to answer the question *What are light quanta?*”

**Richard Feynman (development of QED):** “Nobody knows [*what photons are*], and it’s best if you try not to think about it.”

A book “QED: The Strange Theory of Light and Matter”

**Roy Glauber (quantum optics):** “I don’t know anything about photons, but I know one when I seen one” and “A photon is what a photodetector detects.”

**Willis Lamb (the Lamb shift in hydrogen atom):** “There is no such thing as a photon. Only a comedy of errors and historical accidents led to its popularity among physicists and optical scientists.” and “It is high time to give up the use of the word ‘photon’, and of a bad concept which will shortly be a century old.”

# Thinking About Light

- ❖ “Particle” and “wave” are idealized physics models for nature, but light is more complicated and behaves very strangely by human terms.
- ❖ “Position” and “time” and “path” are not defined and have no meaning for photons. Photons cannot be “localized” like electrons or other particles with a non-zero rest mass.
- ❖ Most physicists seem to regard photons as real, but they are careful not to view them as classical particles or waves. They have features of both, depending on what is measured, but they are neither.
- ❖ You can say a photon was created at point A (e.g, emitted by an atom in a light bulb filament) and that it was absorbed at point B (e.g., in a particular pixel of a CCD array), but you can say nothing about how it got from A to B, e.g., all possible paths from A to B vs. filling all of space between A and B. Either way, the photon can pass through both slits of an interference filter and interferes with itself, and creates an interference pattern.

**But...remember that much of what is said about photons on websites and even in some physics textbooks (and here!) is overly simplified, outdated, or just simply wrong.**

**Photons are defined by what they *do*, not by what they *are* !**

# The first ever photograph of light as both a particle and wave

by *Ecole Polytechnique Federale de Lausanne*

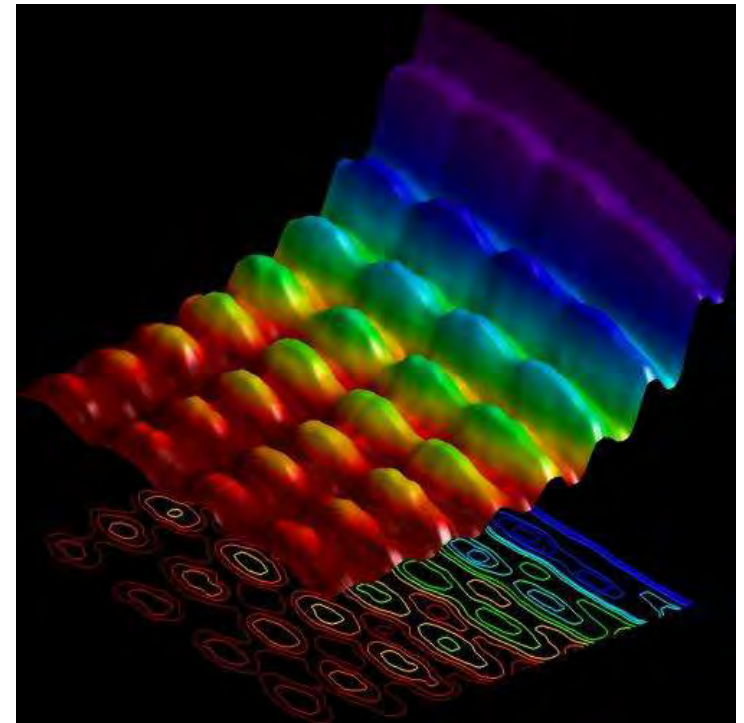
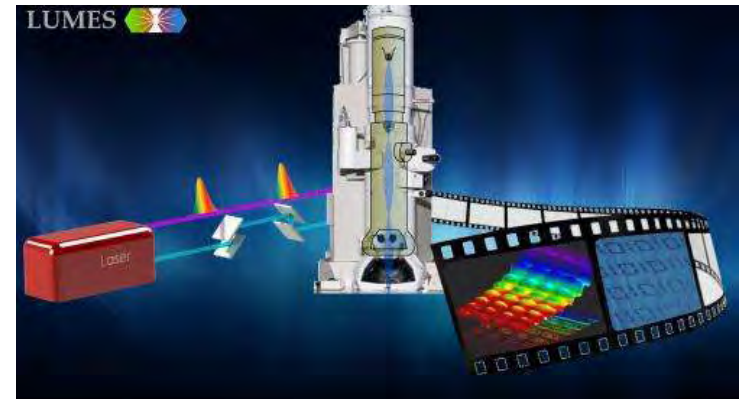
While many experiments have successfully observed both the particle- and wave-like behaviors of light, they have never been able to observe both at the same time.

**Watch the video at:**

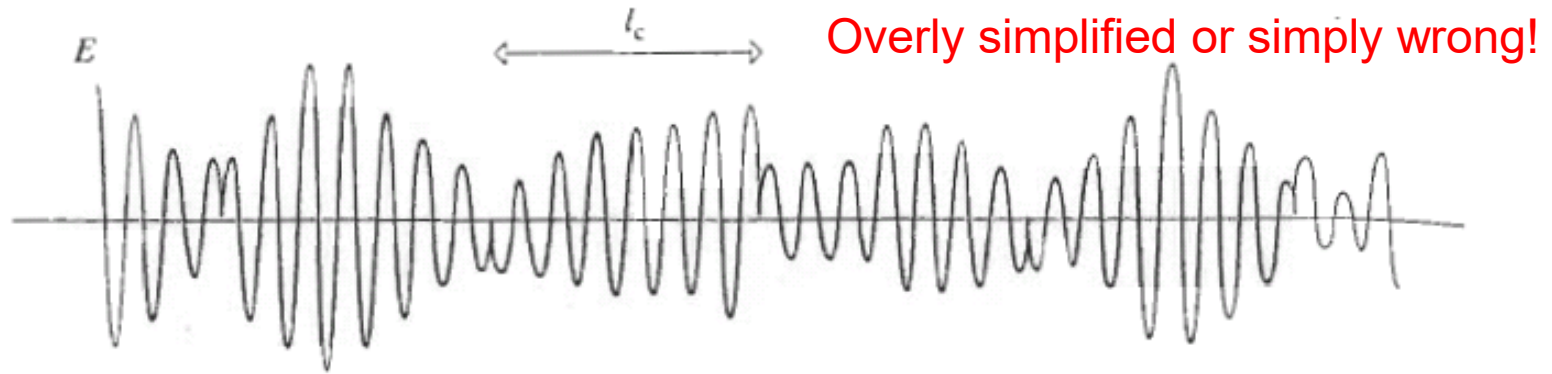
<https://phys.org/news/2015-03-particle.html>

Published in *Nature Communications* 6, Article number 6407, 02 March 2015

[DOI: 10.1038/ncomms7407](https://doi.org/10.1038/ncomms7407)



**Electromagnetic radiation:** A mix of photon particles with wave properties



*What we need to know about photons* is that the photon is a quantum of electromagnetic radiation (field) with energy  $q$  related to its frequency  $f$  (or  $\nu$ ) and wavelength  $\lambda$  :

$$q = h f = h c / \lambda$$

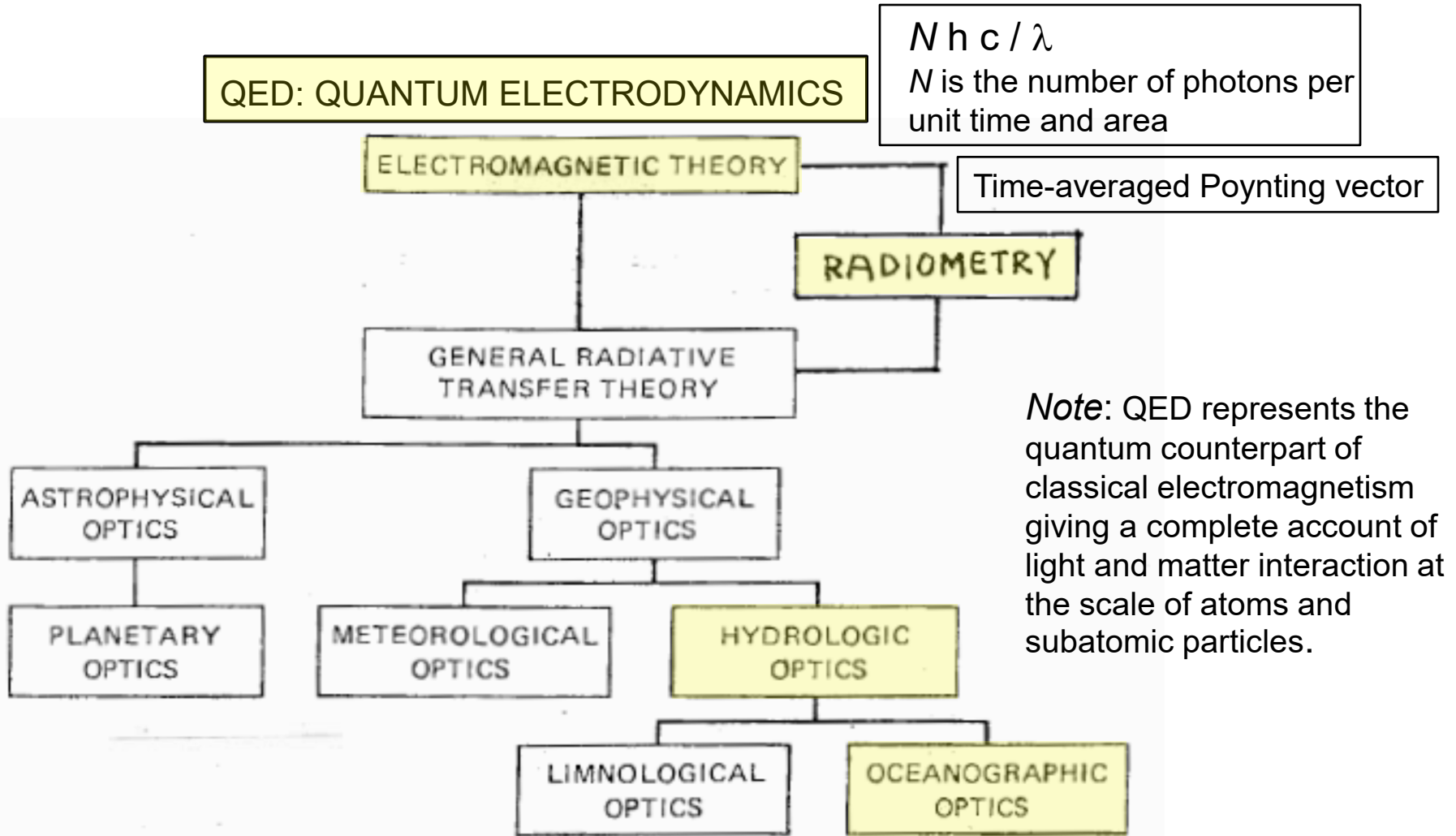
where  $h = 6.626 \times 10^{-34}$  J s is Planck's constant and  $c = 2.998 \times 10^8$  m s<sup>-1</sup> is the speed of photons (phase velocity) in free space.

The speed of photons (phase velocity) in water is  $v_w = c / n_w$  where  $n_w$  is refractive index of water  $n_w = c / v_w$  ( $n_w$  is approximately 1.34 in the visible spectrum)

The energy  $q_w$  of photon in water is:

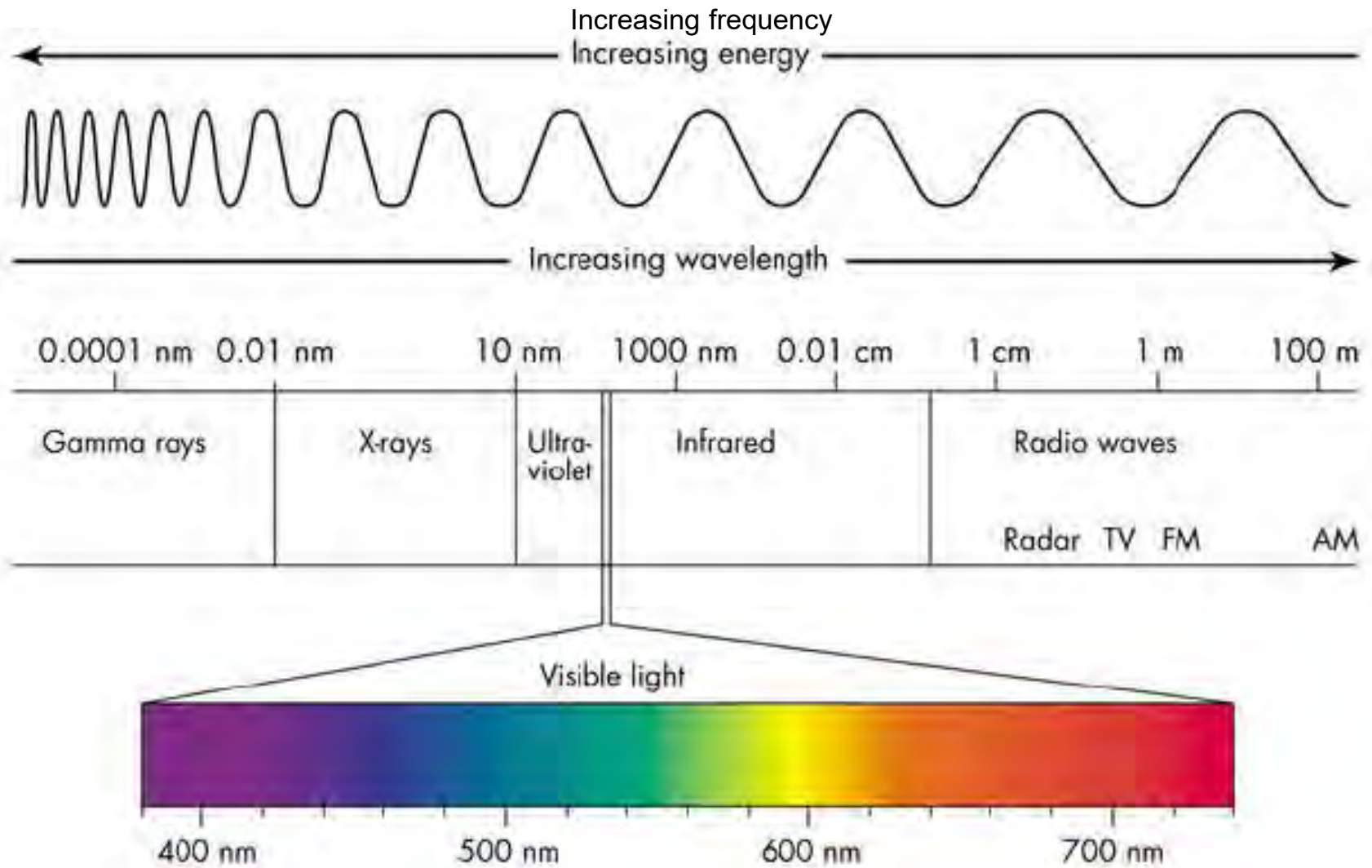
$$q_w = q = h f = h v_w / \lambda_w \quad \text{where } \lambda_w = \lambda / n_w$$

# The bridge between ocean optics and classical electromagnetic and quantum electrodynamic theories in physics



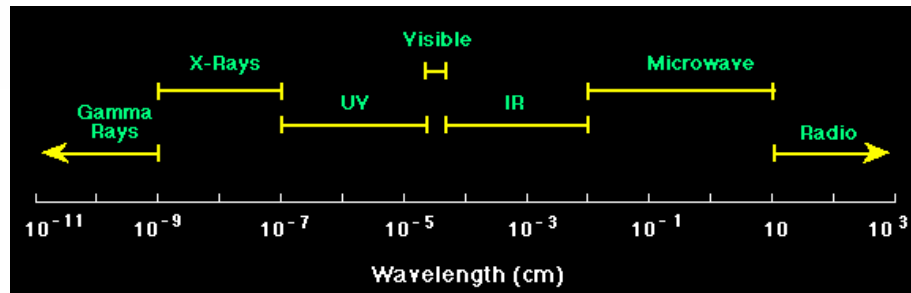
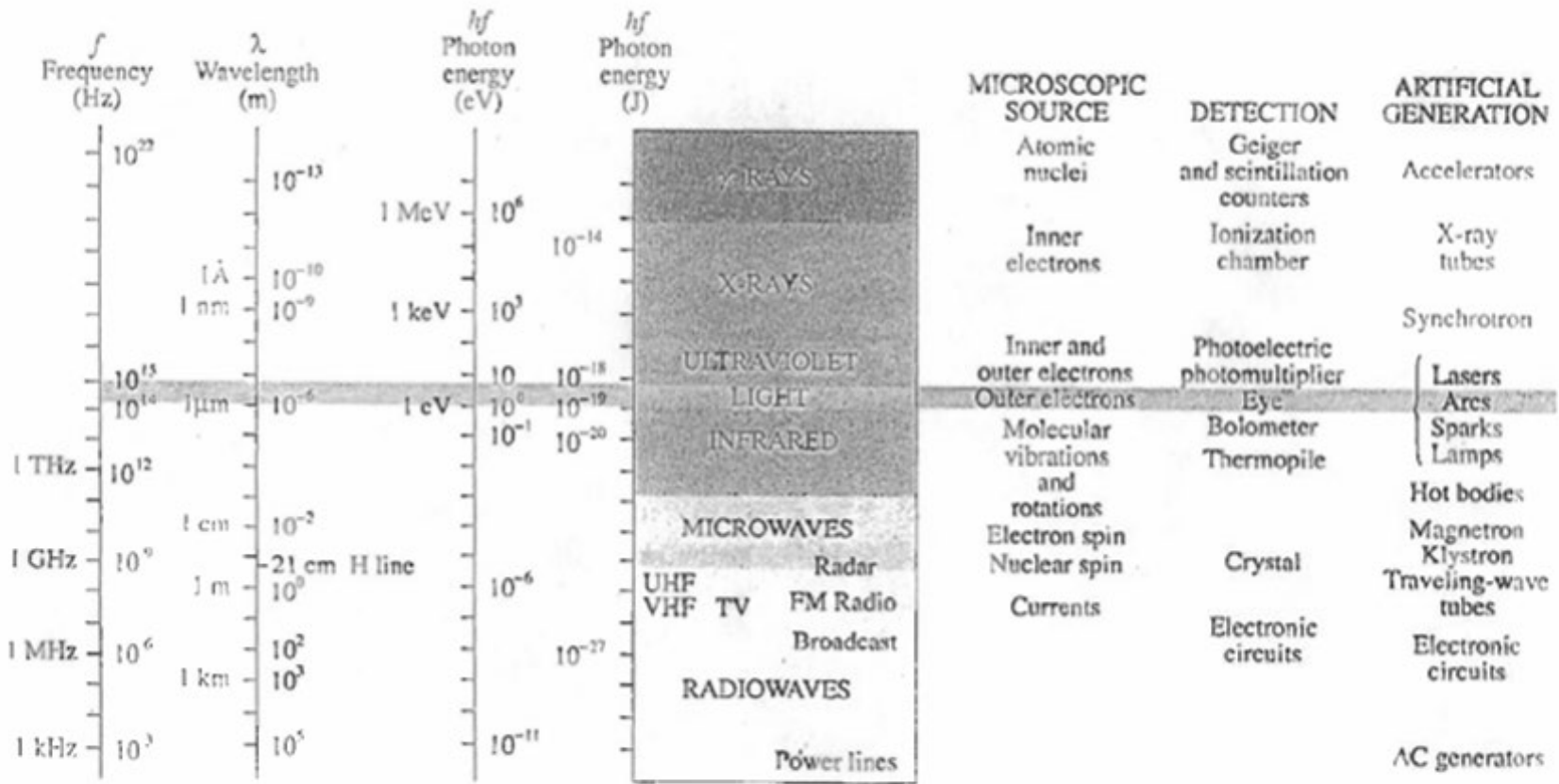
(Preisendorfer 1976)

# The Electromagnetic-Photon Spectrum



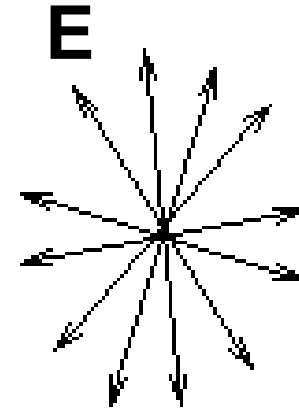
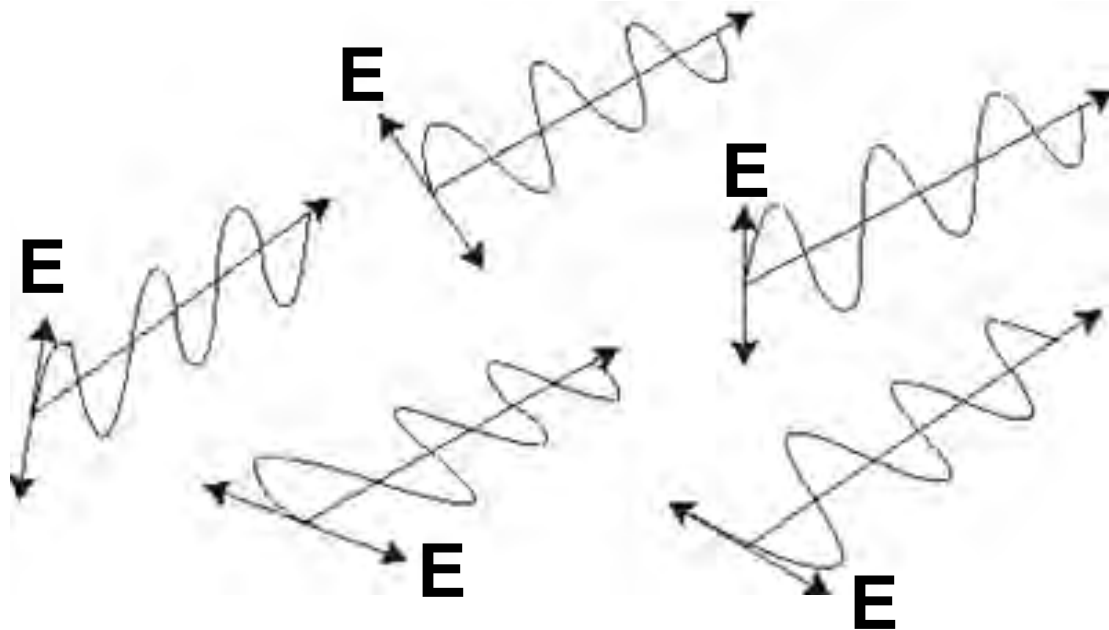


# The electromagnetic-photon spectrum



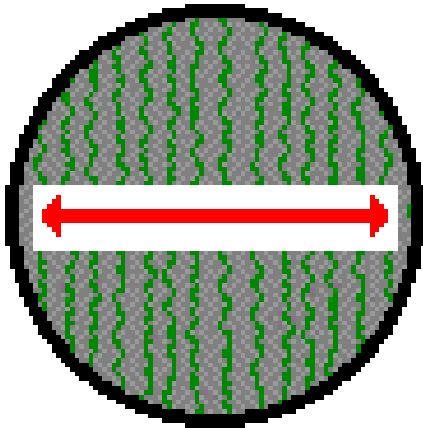
(Hecht 1994)

Randomly polarized (unpolarized) light is a jumble  
of random, rapidly changing **E**-fields

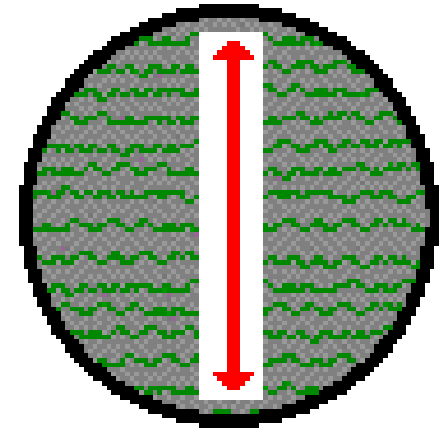


# Polarization by transmission (polarizing filters)

## Relationship Between Long-Chain Molecule Orientation and the Orientation of the Polarization Axis

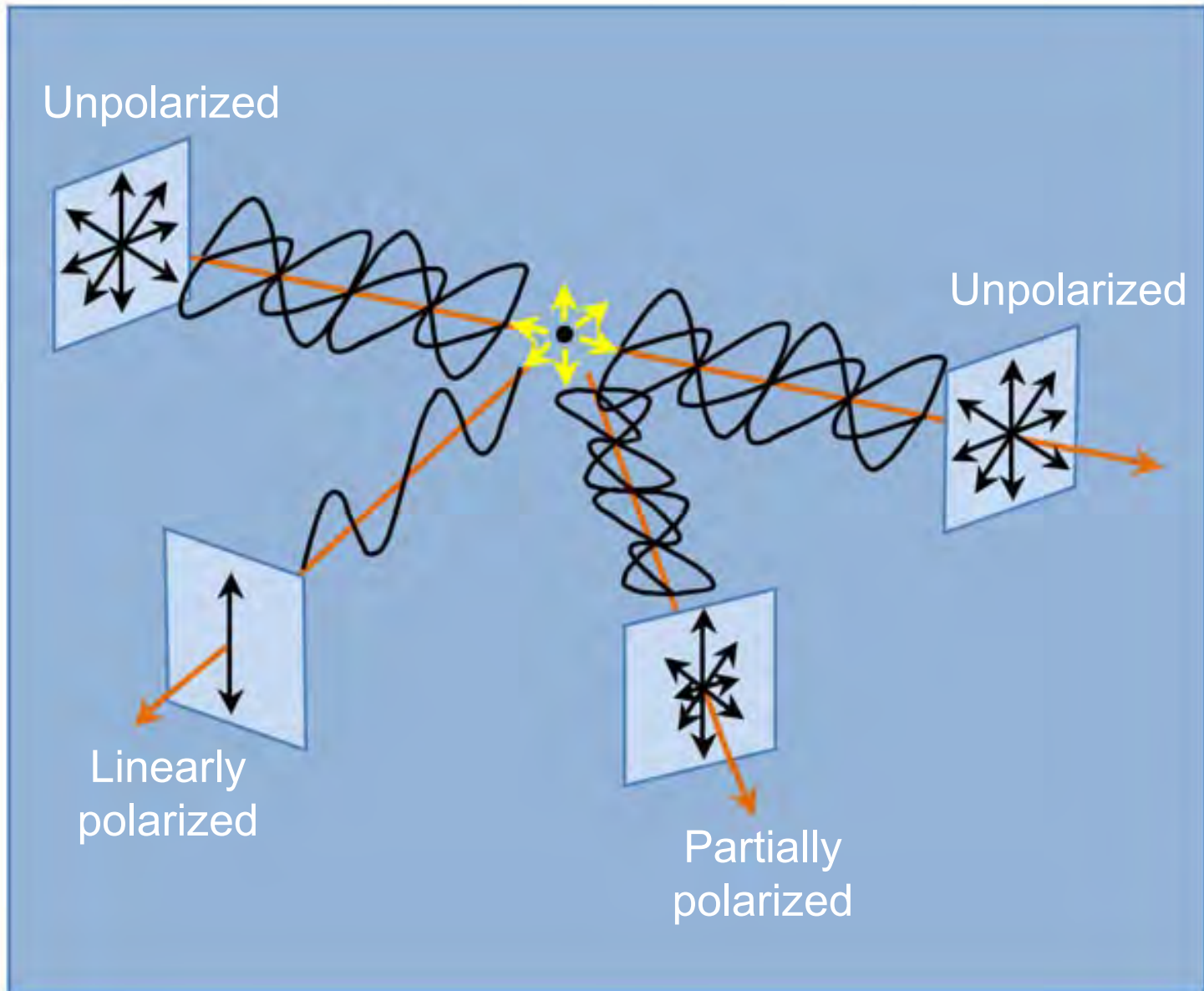


**When molecules in the filter  
are aligned vertically, the  
polarization axis is horizontal.**

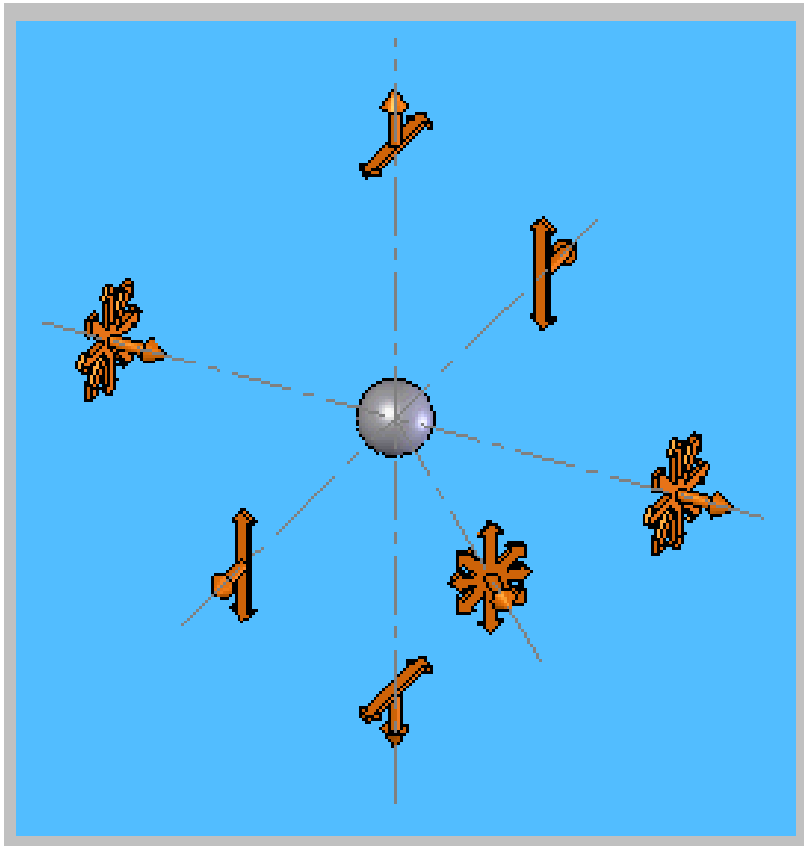


**When molecules in the filter  
are aligned horizontally, the  
polarization axis is vertical.**

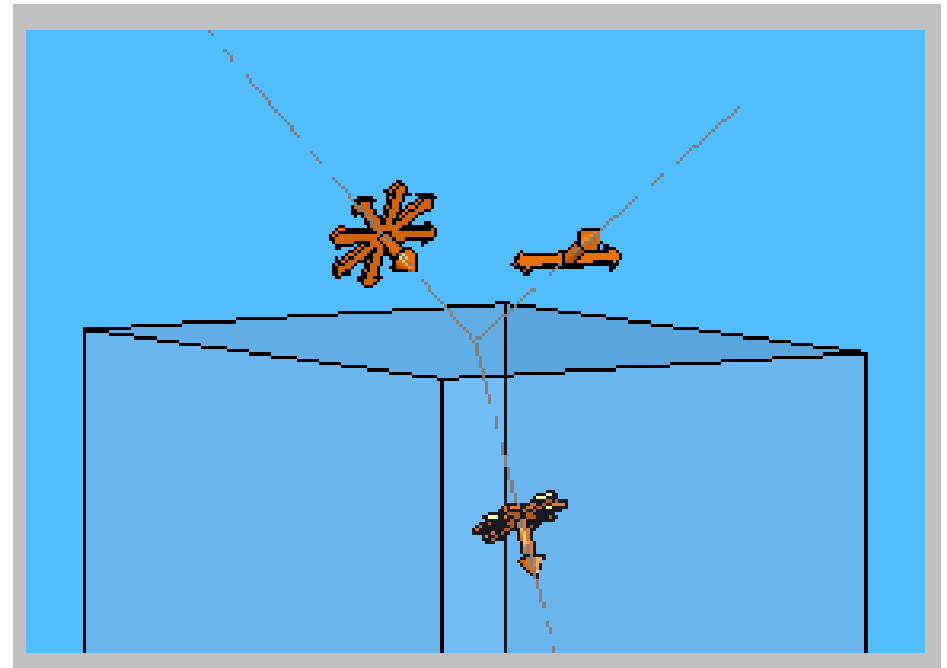
# Polarization by scattering



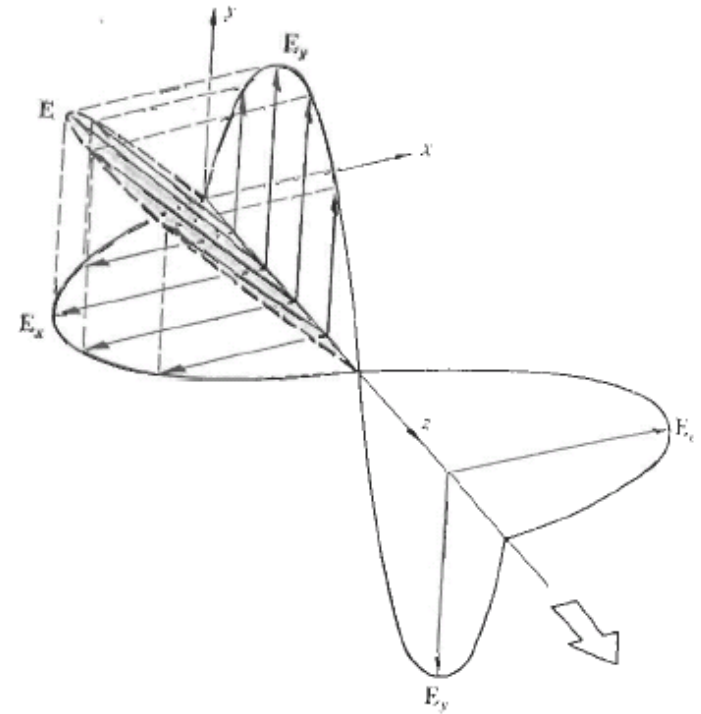
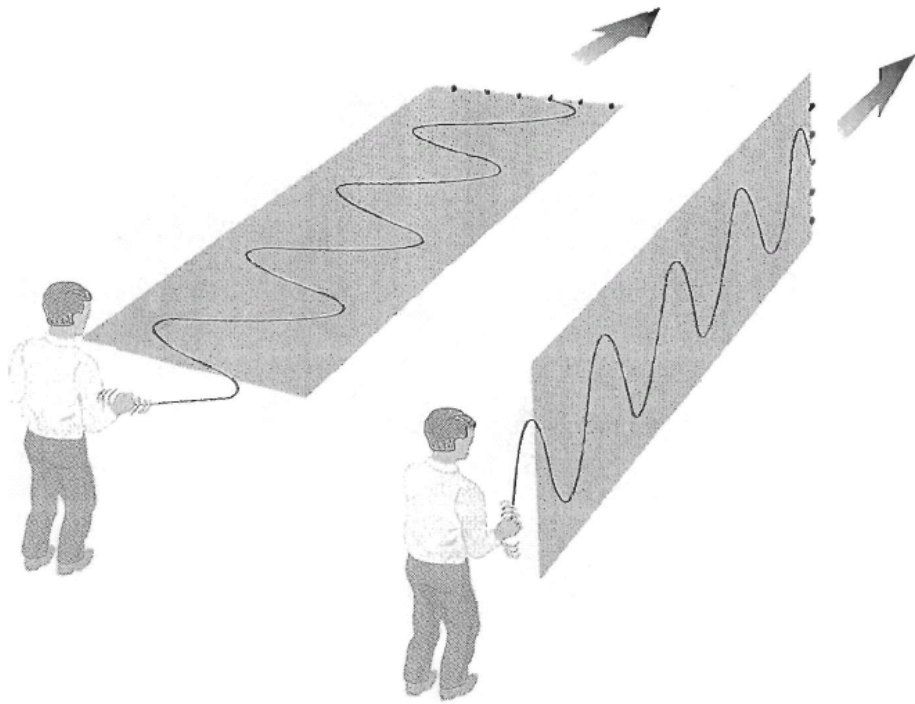
# Polarization by scattering



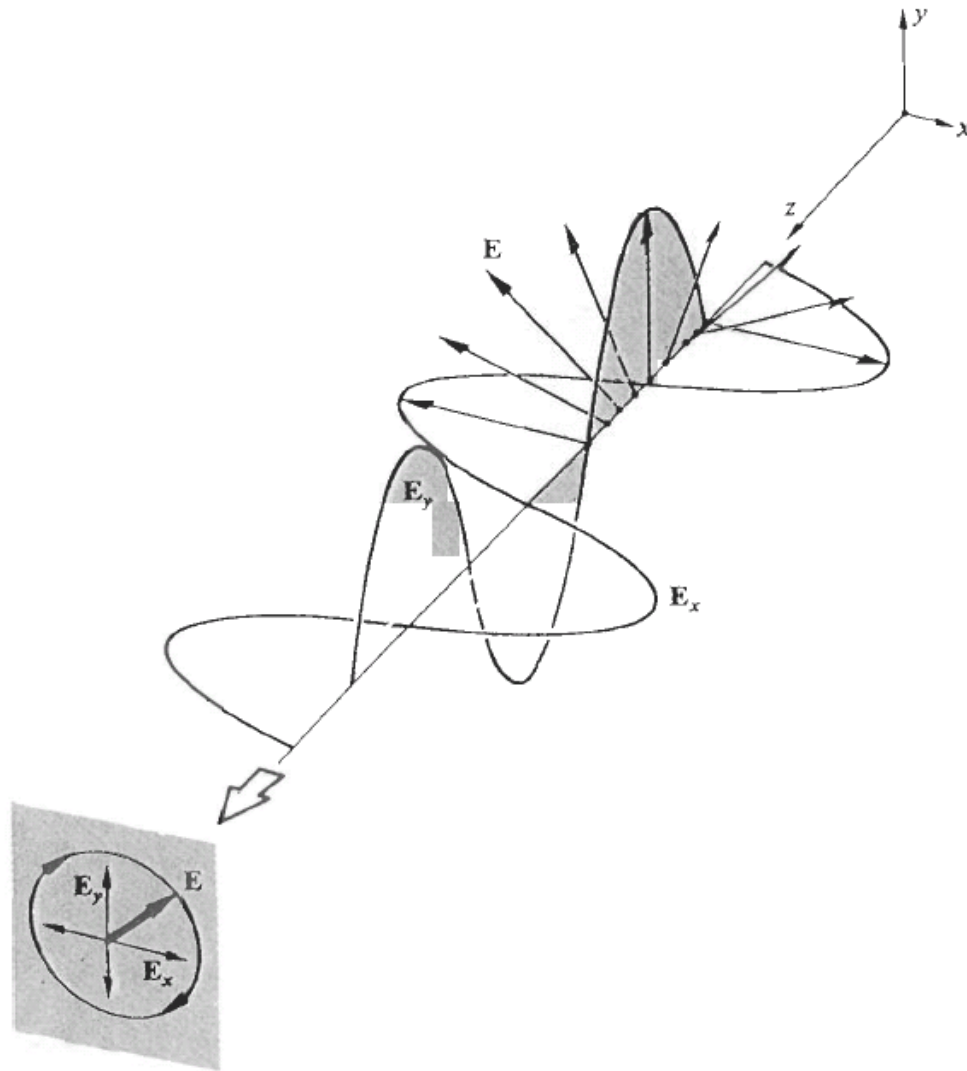
# Polarization by reflection



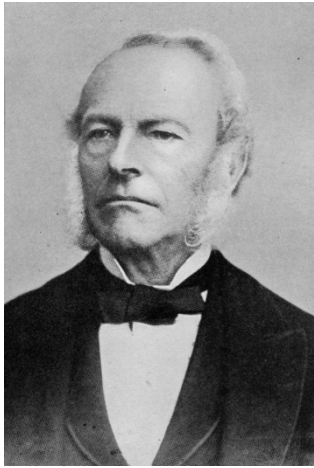
# Plane-Polarized or Linearly-Polarized Light



# Right-circular light



# Stokes vector



George Gabriel Stokes  
(1819 – 1903)

$$\vec{E}_x(z, t) = E_{0x} \hat{i} \cos(kz - \omega t)$$

$$\vec{E}_y(z, t) = E_{0y} \hat{j} \cos(kz - \omega t + \xi)$$

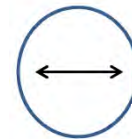
$$S = \begin{pmatrix} I \\ Q \\ U \\ V \end{pmatrix} = \begin{pmatrix} \langle E_{0x}^2 \rangle + \langle E_{0y}^2 \rangle \\ \langle E_{0x}^2 \rangle - \langle E_{0y}^2 \rangle \\ \langle 2E_{0x}E_{0y} \cos \xi \rangle \\ \langle 2E_{0x}E_{0y} \sin \xi \rangle \end{pmatrix}$$

$$I = \longleftrightarrow + \updownarrow$$



Unpolarized:  
transmits intensity  
of any incident light

$$Q = \longleftrightarrow - \updownarrow$$



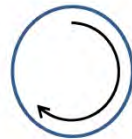
transmits only  
horizontal light

$$U = \nearrow - \searrow$$



transmits only  
linear light at 45°

$$V = \circlearrowleft - \circlearrowright$$



transmits only  
R-polarized light

*Degree of  
polarization*

$$p = \frac{\sqrt{Q^2 + U^2 + V^2}}{I},$$

$$p_{\text{lin}} = \frac{\sqrt{Q^2 + U^2}}{I},$$

$$p_{\text{circ}} = \frac{V}{I}$$



# Some Simple Stokes Vectors:

$$\begin{bmatrix} I \\ Q \\ U \\ V \end{bmatrix} = \begin{bmatrix} I \\ 0 \\ 0 \\ 0 \end{bmatrix}$$

Unpolarized light

$$\begin{bmatrix} I \\ Q \\ U \\ V \end{bmatrix} = \begin{bmatrix} I \\ I \\ 0 \\ 0 \end{bmatrix}$$

Polarized along  $l$

$$\begin{bmatrix} I \\ Q \\ U \\ V \end{bmatrix} = \begin{bmatrix} I \\ -I \\ 0 \\ 0 \end{bmatrix}$$

Polarized along  $r$

$$\begin{bmatrix} I \\ Q \\ U \\ V \end{bmatrix} = \begin{bmatrix} I \\ 0 \\ 0 \\ I \end{bmatrix}$$

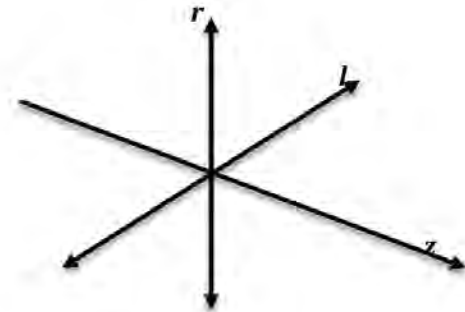
Right Circularly polarized light

$$I = I_l + I_r$$

$$Q = I_l - I_r$$

$$U = I_{45} - I_{-45}$$

$$V = I_{RCP} - I_{LCP}$$



*Simplest light field: plane wave propagating in z-direction:*

Let:  $\delta = \delta_r - \delta_l$

Some simple cases can be seen:

$\delta=0$ , (or  $a_l$  or  $a_r=0$ ) light is linearly polarized

$\delta=\pi/2$ ,  $a_l = a_r$  light is circularly polarized

Everything else is called elliptically polarized

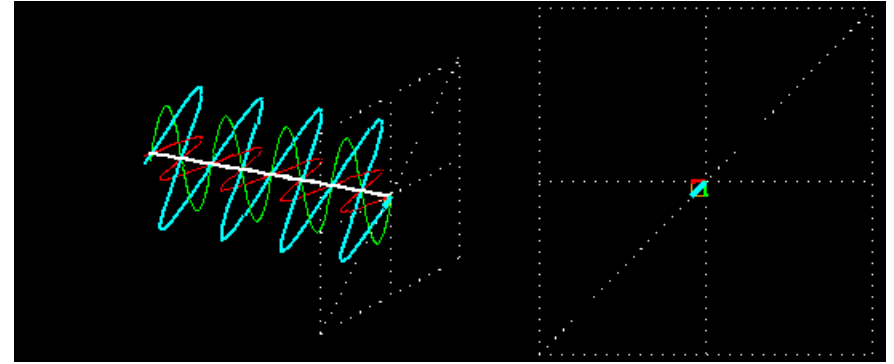
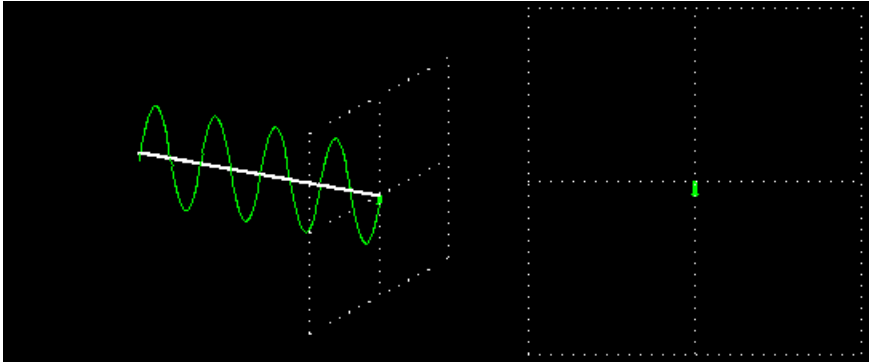
$$E_l(z, t) = a_l \cos(\omega t - kz + \delta_l)$$

$$E_r(z, t) = a_r \cos(\omega t - kz + \delta_r)$$

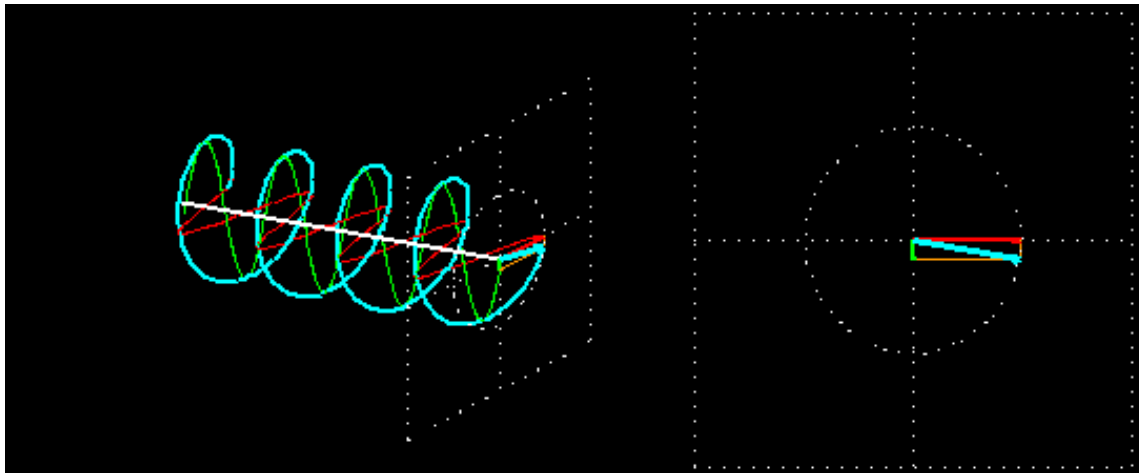
**EMANIM** animates electromagnetic waves in vacuum and in matter

Go to: <https://emanim.szialab.org/index.html>

Linearly polarized light



Right-circularly polarized light



We can think of waves and the overall pattern they produce (rather than being a *real* wave field) as a theoretical device that, wonderfully enough, tells us where the light will end up



Christian Huygens  
(1629 - 1695)

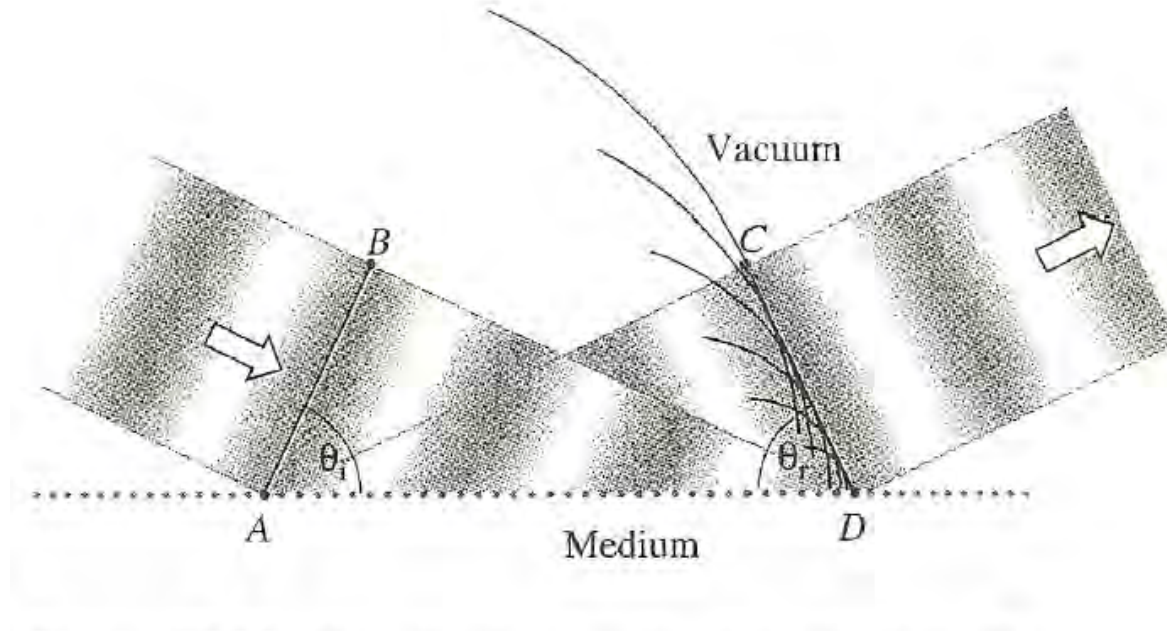
**The Huygens-Fresnel principle** states that every point of the wavefront becomes a source of a secondary spherical wavelet and these secondary wavelets emanating from different points mutually interfere and determine a new wavefront at any subsequent time.



Augustin-Jean Fresnel  
(1788 - 1827)

**Huygens** made an arbitrary assumption that the secondary wavelets travel only in the "forward" direction. The Huygens assumptions provided a qualitative explanation of rectilinear and spherical wave propagation, and the laws of **reflection** and **refraction**, but could not explain the deviations from rectilinear propagation which occur when light encounters edges or apertures, commonly known as diffraction effects. **Fresnel** showed that the Huygens principle, together with the principle of interference could explain both the rectilinear propagation of light and also **diffraction** effects. Fresnel included additional arbitrary assumptions about the phase and amplitude of the secondary wavelets. Although these assumptions have no obvious physical foundation and are not an accurate microscopic representation of reality, they led to predictions that agreed with many experimental observations.

# Reflection at the boundary between the media of different densities (refractive index)

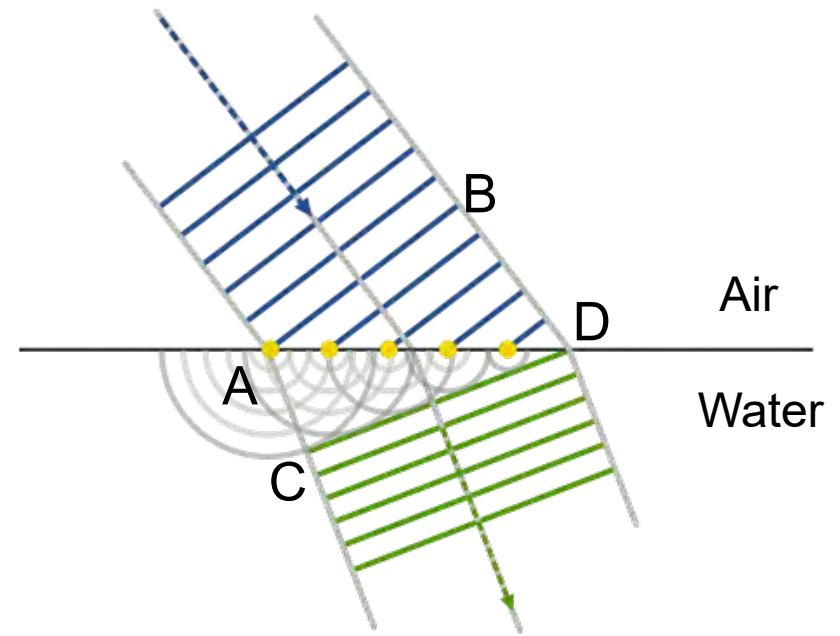
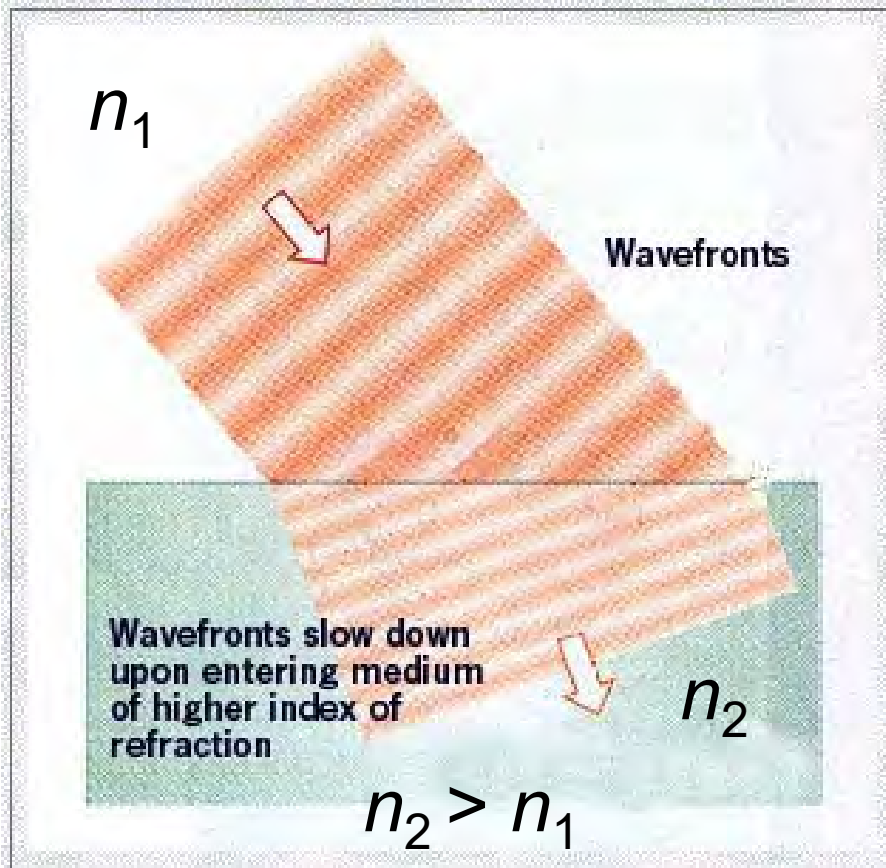


Wavefront geometry for reflection. The reflected wavefront  $\overline{CD}$  is formed of waves scattered by the atoms on the surface from  $A$  to  $D$ . Just as the first wavelet arrives at  $C$  from  $A$ , the atom at  $D$  emits, and the wavefront along  $\overline{CD}$  is completed.

**Angle of incidence = Angle of reflection**

Both angles measured between the direction of beam propagation and normal to the surface

# Refraction



## Snell's law

$$n_1 \sin(i) = n_2 \sin(r)$$

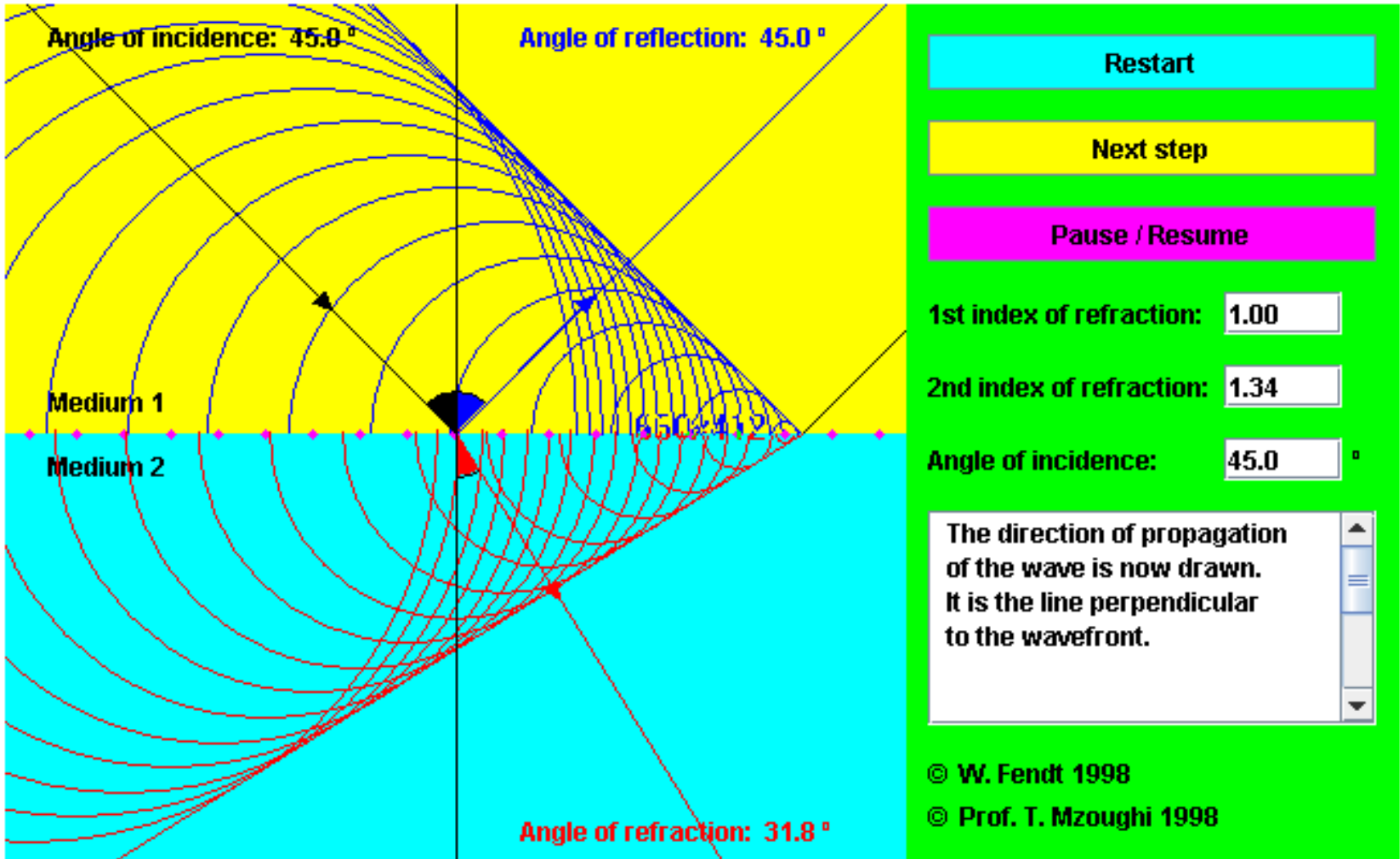
$n_1$  – refractive index of air (close to 1);  $n_2$  – refractive index of water (close to 1.34);

$i$  – angle of incidence;  $r$  – angle of refraction

Both angles measured between the direction of beam propagation and normal to the surface

# Reflection and Refraction of Light (Visualization of Huygens Principle)

Go to: [https://www.walter-fendt.de/html5/phen/refractionhuygens\\_en.htm](https://www.walter-fendt.de/html5/phen/refractionhuygens_en.htm)



The diagram illustrates the Huygens-Fresnel principle of refraction. A horizontal interface separates Medium 1 (yellow, top) and Medium 2 (cyan, bottom). A vertical black line represents the normal. An incident wavefront (blue) in Medium 1 is shown as a series of semi-circles centered on the interface. The angle of incidence is labeled as  $45.0^\circ$ . A reflected wavefront (blue) is also shown, with the angle of reflection labeled as  $45.0^\circ$ . A refracted wavefront (red) is shown in Medium 2, with the angle of refraction labeled as  $31.8^\circ$ . The wavefronts are represented by a grid of semi-circles, with arrows indicating the direction of propagation.

Angle of incidence:  $45.0^\circ$

Angle of reflection:  $45.0^\circ$

Medium 1

Medium 2

Angle of refraction:  $31.8^\circ$

Restart

Next step

Pause / Resume

1st index of refraction: 1.00

2nd index of refraction: 1.34

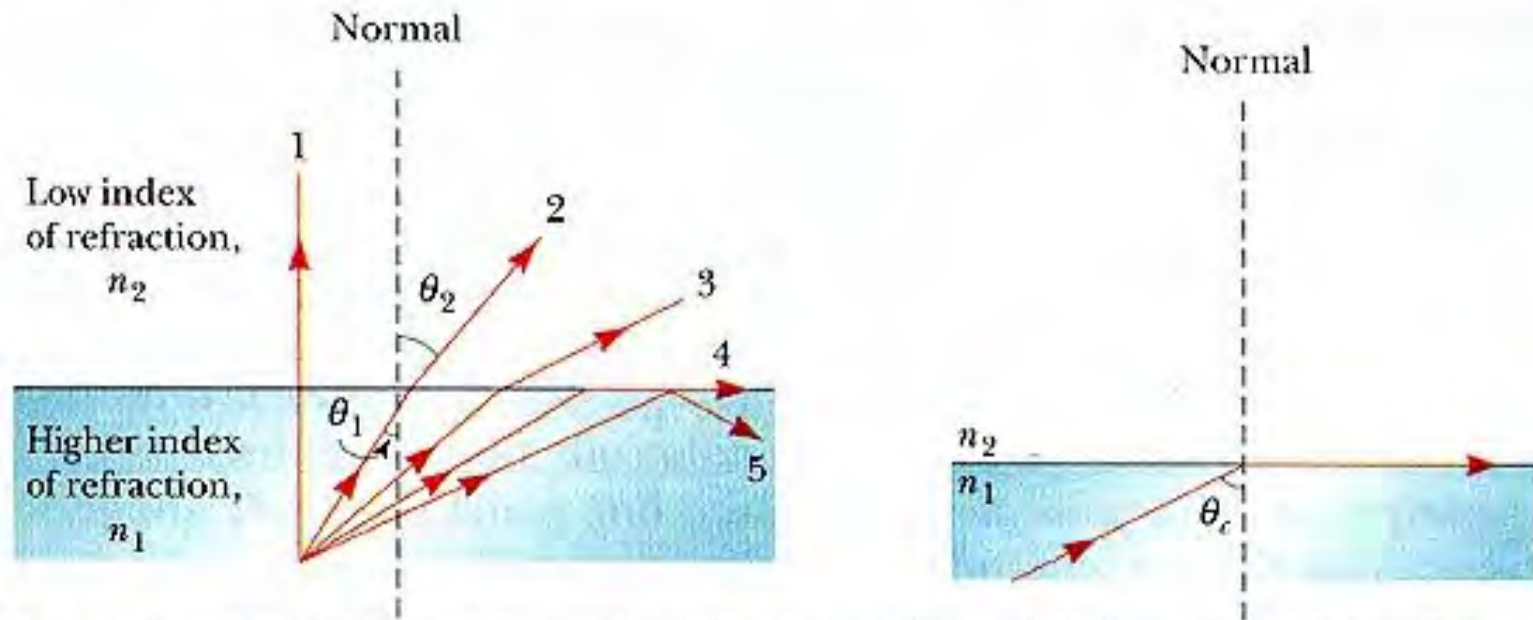
Angle of incidence:  $45.0^\circ$

A wavefront rarely comes alone!

© W. Fendt 1998

© Prof. T. Mzoughi 1998

# Internal Reflection

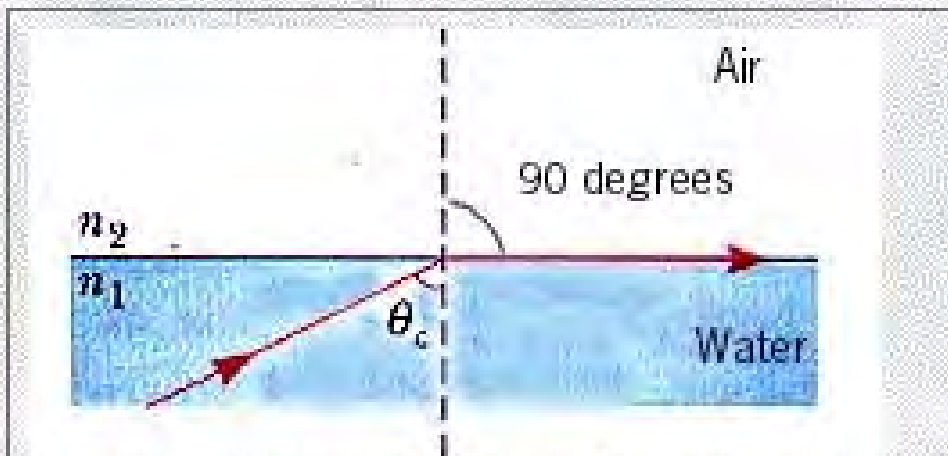


Ray 4 is the first to be 100 % reflected; it's angle of incidence is called the *critical angle*.

If  $n_2 = 1$  (atmosphere) and  $n_1 = 1.34$  (ocean) the critical angle is about  $48.3^\circ$



# Critical Angle Calculation



What must be  $\theta_1$  to get  $\theta_2 = 90$  deg ?

**Snell's Law:**

$$\begin{aligned}n_1 \sin \theta_1 &= n_2 \sin \theta_2 \\ &= n_2 \sin 90 \\ \sin \theta_1 &= n_2 / n_1\end{aligned}$$

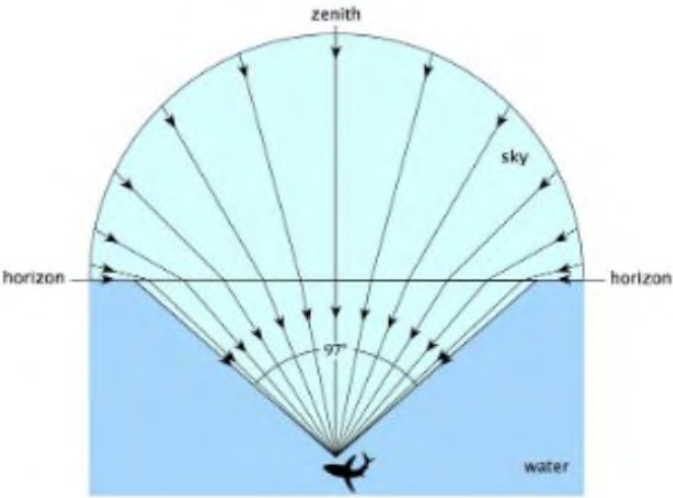
Assume water to air:

$$n_1 = 1.33 \quad n_2 = 1.00$$

$$\begin{aligned}\theta_1 &= \sin^{-1} (0.752) \\ &= 48.8 \text{ degrees}\end{aligned}$$

$$\begin{aligned}\theta_c &= \text{critical angle} \\ &= 48.8 \text{ degrees}\end{aligned}$$

View straight up from underwater. The above-water hemisphere is visible, compressed into a circle (Snell's window) bounded by the critical angle. Everything outside the critical-angle circle is reflected from below the water.

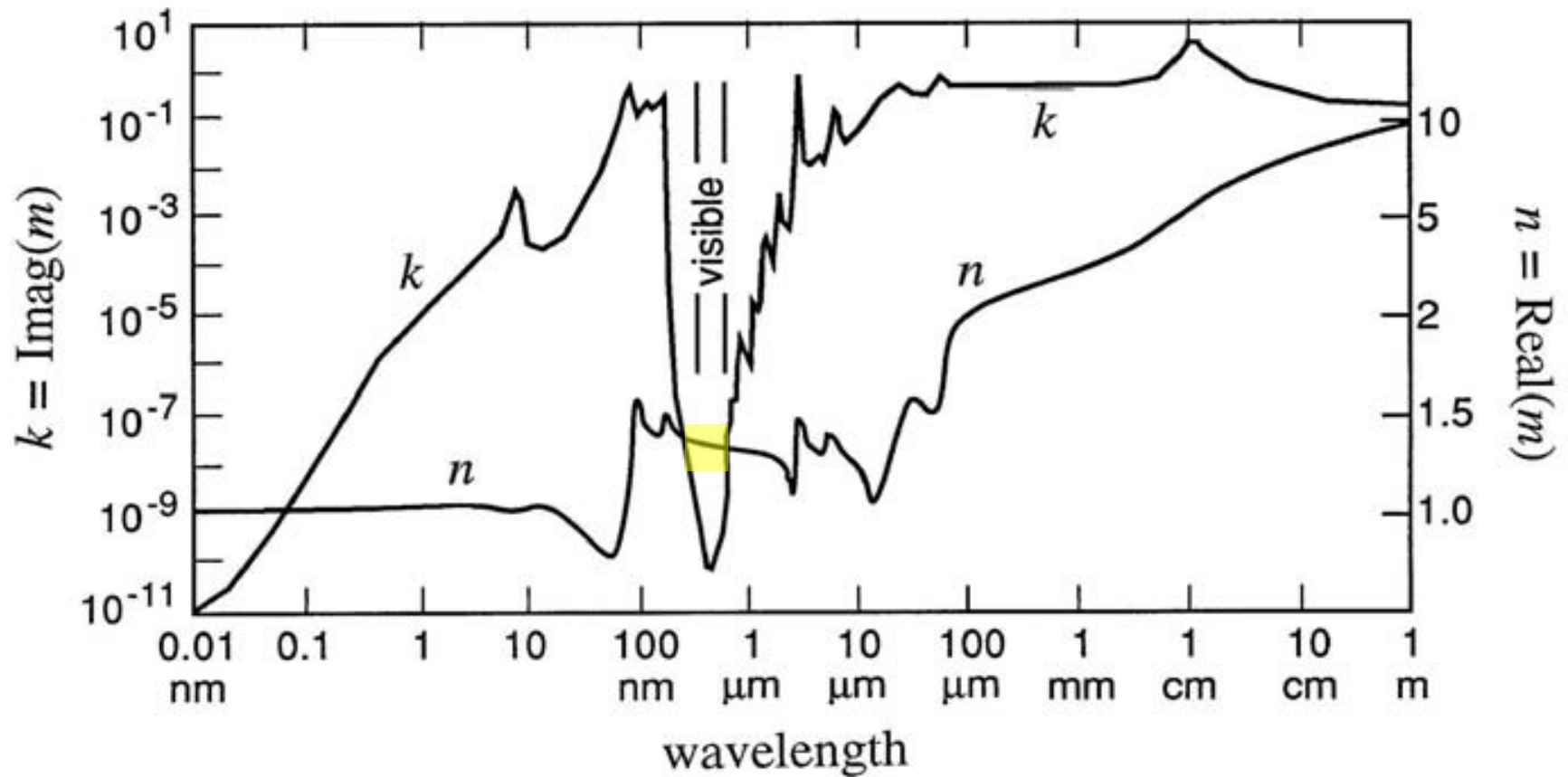


# Wave focusing of sunlight





# Refractive Index of Water



# Refractive index of water, $n$ , for the extreme values of pressure $p$ , temperature $T$ , salinity $S$ , and light wavelength $\lambda$ encountered in hydrologic optics

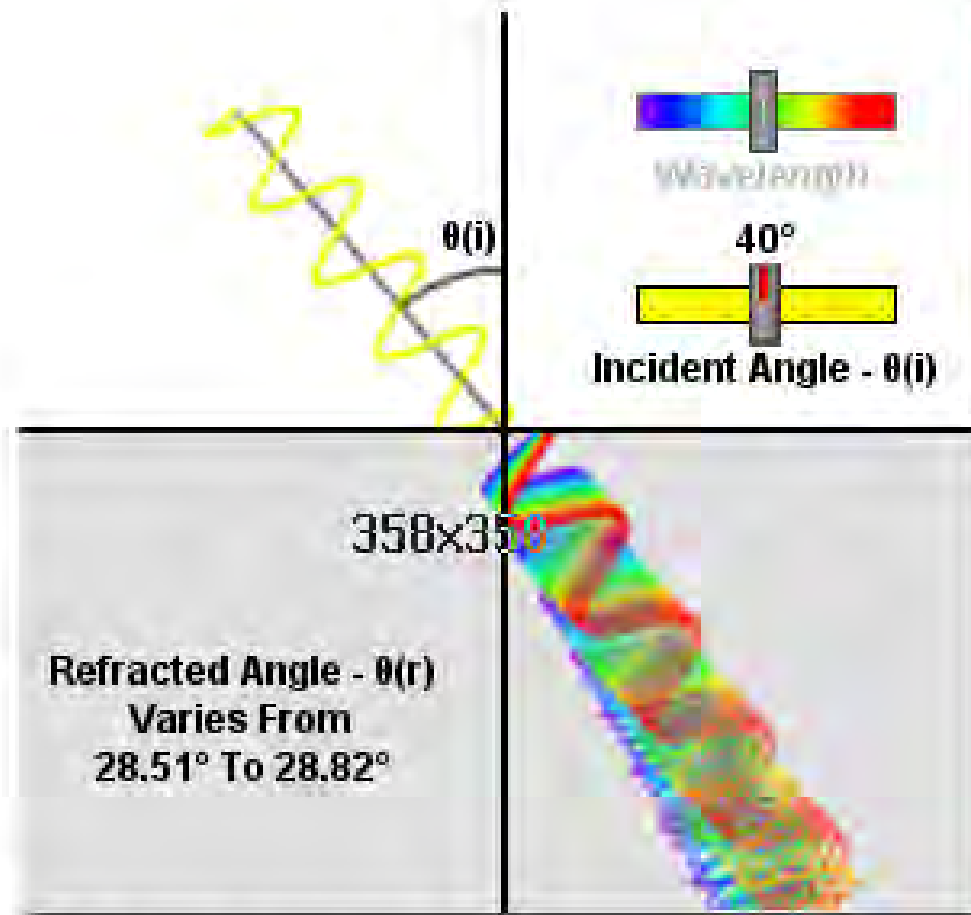
$p$ (Pa)	$T$ (°C)	$S$ (‰)	$\lambda$ (nm)	$n$
1.01×10 <sup>5</sup>	0	0	400	1.344186
1.01	0	0	700	1.331084
1.01	0	35	400	1.351415
1.01	0	35	700	1.337906
1.01	30	0	400	1.342081
1.01	30	0	700	1.329128
1.01	30	35	400	1.348752
1.01	30	35	700	1.335316
1.08×10 <sup>8</sup>	0	0	400	1.360076
1.08	0	0	700	1.346604
1.08	0	35	400	1.366885
1.08	0	35	700	1.352956
1.08	30	0	400	1.356281
1.08	30	0	700	1.342958
1.08	30	35	400	1.362842
1.08	30	35	700	1.348986

The extreme values of  $n$  are 1.329128 and 1.366885, so  $n$  varies by less than 3% over the entire parameter range relevant to hydrologic optics

Typically, for most ocean optics applications the constant value of  $n = 1.34$  is assumed. This value is very close to the mean value of the first 8 values in the table which correspond to pressure of 1 atm (approximately near-surface conditions)

<sup>a</sup> Reproduced from Austin and Halikas (1976).

# Dispersion



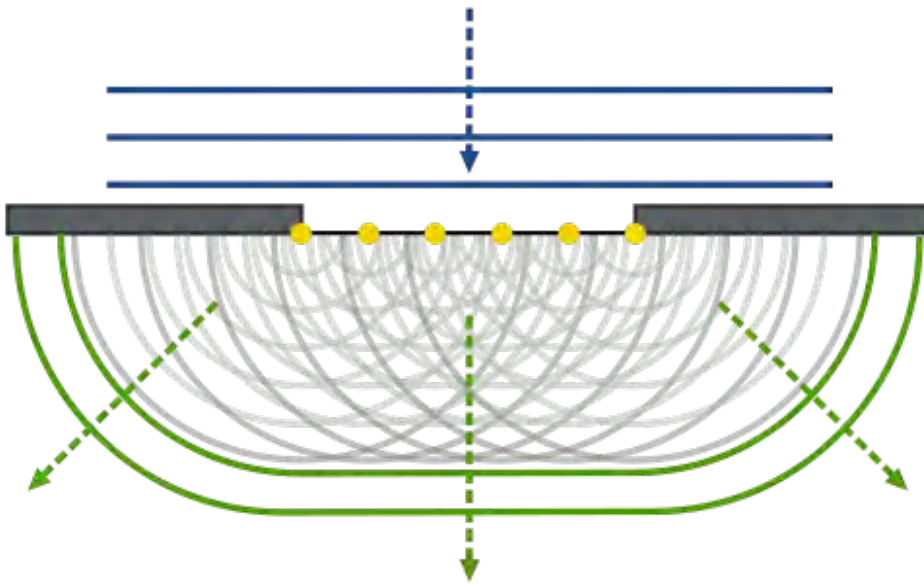
- White Light
- Monochromatic

Choose A Material (RI)

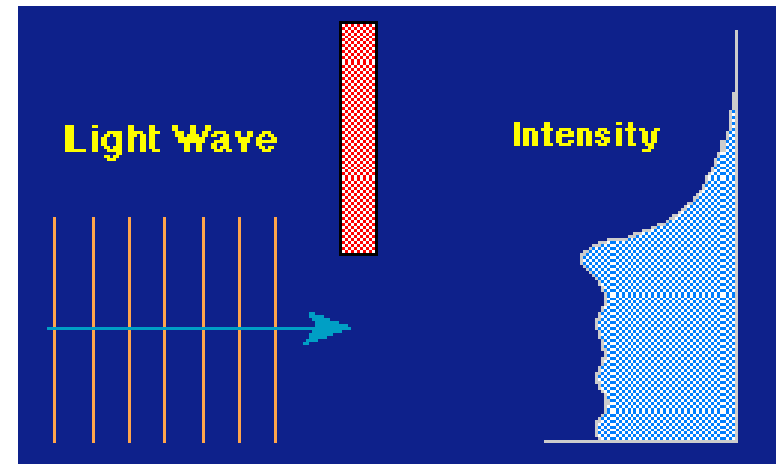
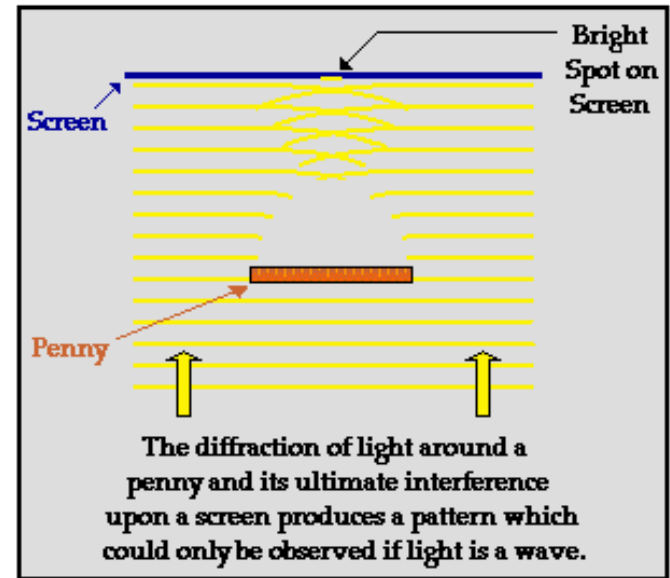
Water 1.3330

# Diffraction

(Visualization of Huygens-Fresnel Principle)

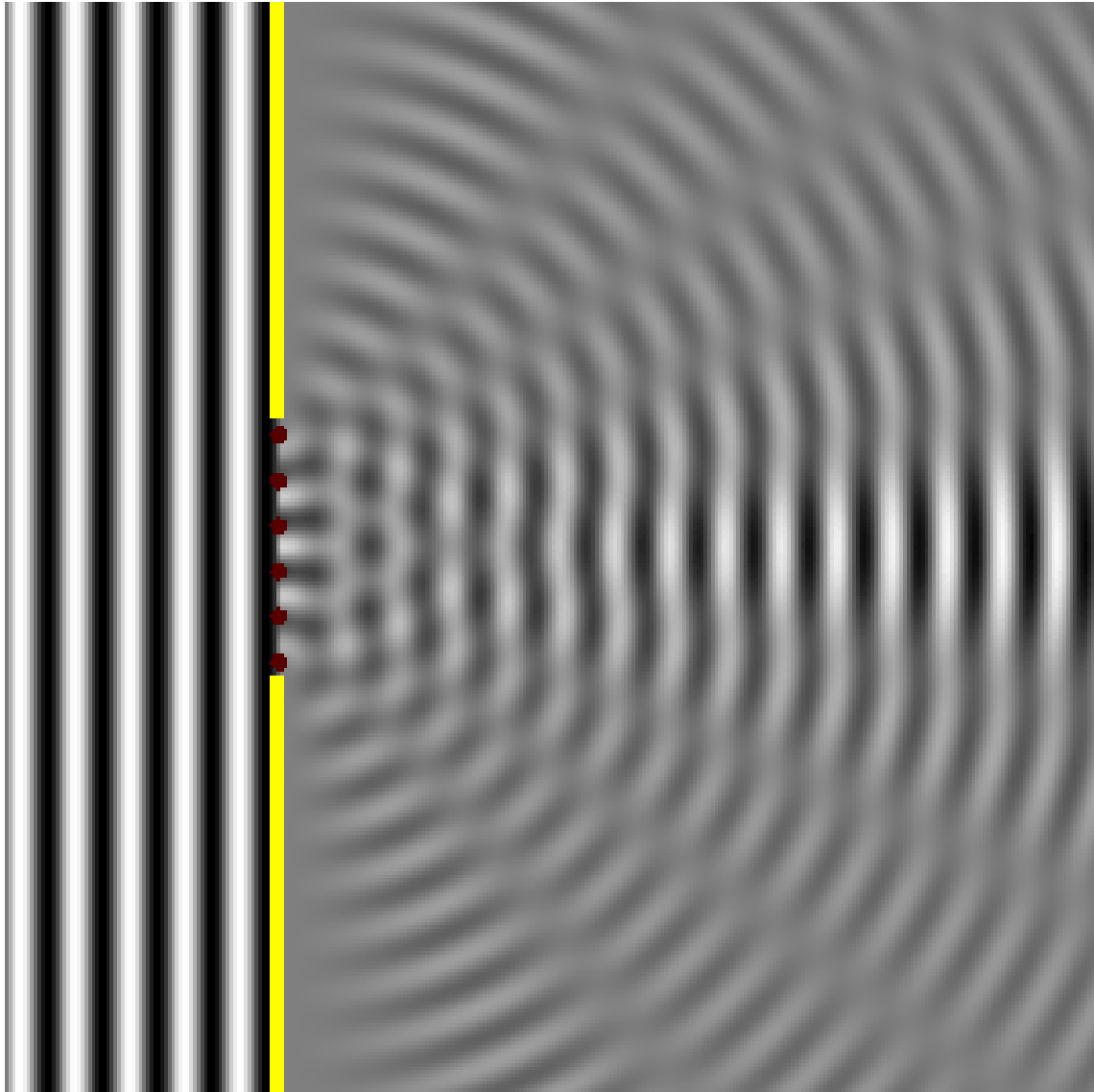


The intensity of light behind the barrier is not zero in the shadow region due to diffraction (light wave has a capability to “bend around corners”)



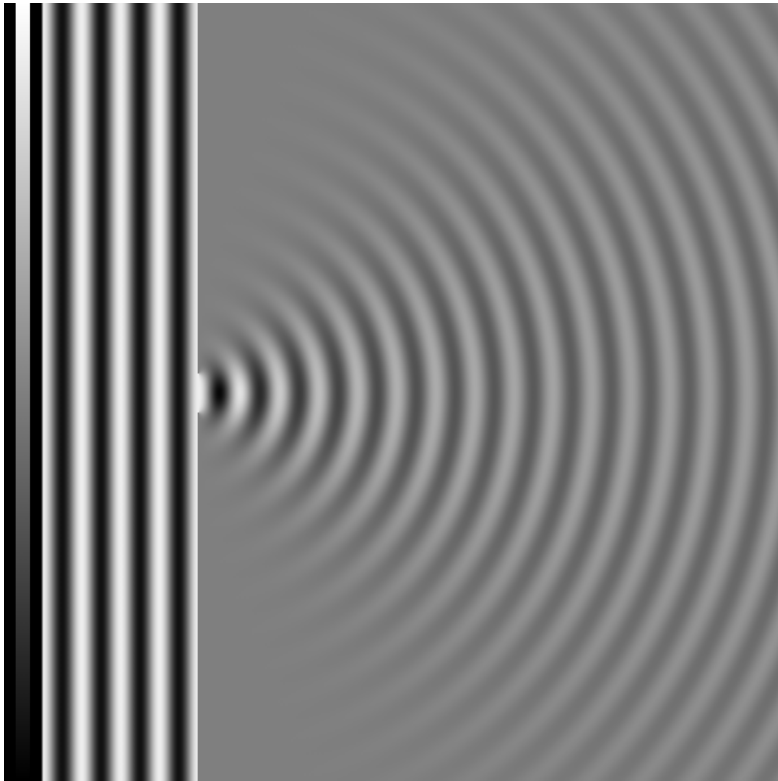


# Simulation of diffraction illustrating the Huygens-Fresnel Principle

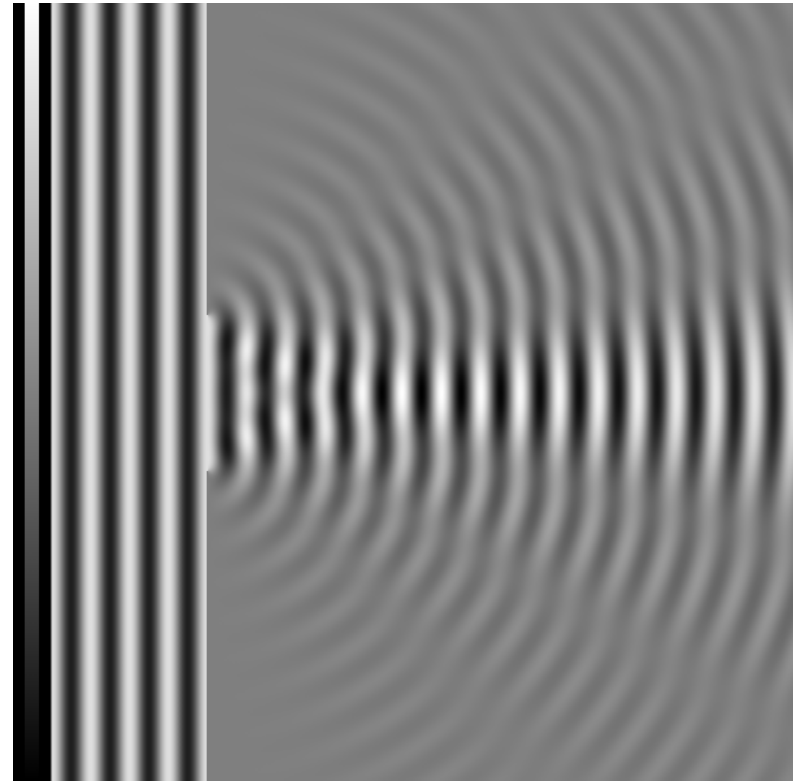


# Approximation of a diffraction of a planar wave on a single slit

Slit width = 1 wavelength



Slit width = 4 wavelengths



Diffraction simulation with adjustable light wavelength and slit width:

**Go to:** <https://www.compadre.org/osp/EJSS/4480/268.htm>

# Diffraction

