

## **Guidelines for Proposing a New IOCCG Working Group**

A major focus of the IOCCG has been the formation of specialized scientific working groups to investigate various aspects of ocean-colour technology and its applications. The end product of these working groups is usually the publication of an IOCCG report (see previous reports in the IOCCG website at: <https://ioccg.org/what-we-do/ioccg-publications/ioccg-reports/>)

The IOCCG Committee considers proposals for new working groups at its annual Committee Meetings (usually held in January/February each year). Generally, only one to two new working groups can be funded each year. Individuals or groups proposing new working groups should take into account the following guidelines and suggestions to improve their likelihood of success.

The outline for a new IOCCG working group proposal should include the following sections and should be 2 to 4 pages in length:

- Proposed by (name, affiliation, and contact information)
- Working group title
- Scientific and programmatic background and rationale
- Terms of reference
- Proposed membership
- Draft timeline

Proposals should be submitted to the Project Scientist, Raisha Lovindeer ([raisha@ioccg.org](mailto:raisha@ioccg.org)) with a copy to the current IOCCG Chair. All proposals must be received by the IOCCG Project Office at least three months prior to the annual Committee meeting to allow for the necessary consultations. Further information for proposing a new IOCCG working group is given below, adapted from the SCOR Guidelines for new SCOR Working Groups (<http://www.scor-int.org/>). The IOCCG is an Affiliated Program of SCOR, and thus adopts many of its protocols.

### **Background and Rationale**

Proposals should explain the scientific and societal importance of the proposed working group, and why the working group is timely (e.g., recent data available or new technologies etc.). In addition, it should include why the activity is better done through an IOCCG working group rather than through some other mechanism, how the project will benefit from an international approach, and how the proposed working group would relate to other existing and planned international activities.

### **Terms of Reference**

The terms of reference (ToR) should be proposed for the working group to specify the primary themes of the working group's activity (5-8 items) and should be achievable within a 1 to 2-year period. The ToR should be concise and clearly define the tasks to be accomplished. A draft timeline for the group's activities should also be included. The proposal clearly describe how the terms of reference will be met.

Proposals are more likely to succeed if they consider an issue in a global context, rather than in a limited geographic area.

### **Working Group Membership**

IOCCG working group members volunteer their time. An IOCCG working group should consist of no more than 10 members, including the Chair. To the extent possible, individuals should attempt to locate their own travel support to attend working group meetings. The IOCCG has limited funding for individuals who cannot provide their own travel support. The working group membership should include individuals with the expertise to meet the proposed terms of reference and should have good geographic and agency representation (where applicable). It is not required that proponents are IOCCG members, although in the past, at least one IOCCG member has been included in all working groups. Other scientists can also be invited to contribute to the writing of the report, even though they are not working group members. The proposal must also include the name of someone who would be willing to chair the group if it is established.

### **Functioning of a Working Group**

In general, IOCCG working group members will meet for one face-to-face working group meeting, after which most of the group's activities will be carried out through correspondence (email, telephone conference calls etc.) as far as possible. In special cases, a second working group meeting may be held, but groups are encouraged to take advantage of "meetings of opportunity" for working group members to get together. Working group meetings require the prior approval of the IOCCG Executive Committee or IOCCG Chair. To the extent possible, all working group members should seek financial support for their attendance at the meeting from national or other appropriate sources. In the case of working group meetings held in conjunction with other meetings, expenses should be allocated reasonably between the two meetings. The working group Chair must inform the IOCCG Chair and Project Scientist two months before the meeting of how many members require support using IOCCG funds. IOCCG working groups may also be established in cooperation with other organizations (e.g. a past GEOHAB/IOCCG working group) that can contribute to their financial support and participate in membership decisions.

Working group members shall normally make their own travel arrangements, following approval by IOCCG, and seek reimbursement after the meeting. In some cases, prepaid airline tickets may be arranged. Due to grant funding limitations, working group members from the United States should always use US air carriers (or code sharing airlines) and non-US travellers should use US carriers if the working group meeting is located in the United States. The cost of meetings should be kept to a minimum by the use of reduced fares and the careful selection of venue.

### **Reporting Procedures**

Chairs of all IOCCG working groups are requested to submit a short annual progress report (1-3 pages) on the current status of the group's activities and future plans. Progress reports must be received by the Project Office no later than one month prior to the annual IOCCG Committee meeting. Chairs of IOCCG working groups are welcome to attend all IOCCG Committee meetings and to make a presentation to the IOCCG Committee on the progress of their working group. However, travel expenses will only be reimbursed for the working group Chair to attend a maximum of two IOCCG Committee meetings.

### **Final Deliverable**

When a working group has discharged its terms of reference, it shall submit a deliverable to the IOCCG Committee. This deliverable can take various forms:

- A final report to be published as part of the IOCCG series of reports (see <https://ioccg.org/what-we-do/ioccg-publications/ioccg-reports/>)
- a technical memorandum in PDF format, or
- a living document on the web.

### **Guidelines for Final IOCCG Report**

The final report submitted to IOCCG should be no longer than 120 printed pages, including the references, appendices and list of acronyms. All reports will be reviewed by the IOCCG Committee as well as by other contributing authors, and all comments must be taken into account in the final document. Draft documents should be prepared in MS Word for circulation to working group members as well as the IOCCG committee for comment. The final document can also be submitted in MS Word format, although LaTeX format is preferred. Figures should be submitted as separate files, preferably using postscript fonts to ensure high print quality. Do not embed figures in MS Word documents. Low quality scans, files in obscure formats, or low resolution pictures from the Internet are unacceptable. The same font family (Helvetica or Myriad Pro recommended) and overall style should be used for all figures.

Authors are requested to obtain copyright permission for all figures previously published in journals or books. Some publishers require a fee, which will be paid by IOCCG upon request. If you are an author of the article in question, permission is often granted free of charge, subject to certain acknowledgements. Please check with the publisher for each figure to be republished and submit the copyright permission, as well as the credit line, along with each figure. For this reason, it is often easier to use unpublished material in IOCCG reports.

Tables and figures captions should be self-explanatory; e.g. abbreviations and acronyms must be re-defined. Figure captions should be provided on a separate page, in numerical order.

References should appear in a separate bibliography at the end of the report (NOT at the end of each chapter), and if possible, should be submitted in the form of a database (BibTex, EndNote). All

referenced works should be accessible to readers ("pers. com." or "unpublished technical report" are not acceptable references).

### **Termination of a Working Group**

In general, working groups are expected to complete their deliberations and produce a draft report, technical note or living document on a website, within 1-2 years from the time of approval of the working group proposal. Extensions beyond two years would require approval by the IOCCG Executive Committee. The progress reports from the working group chairs should help the IOCCG Executive Committee to decide whether the working group should be renewed for another year.