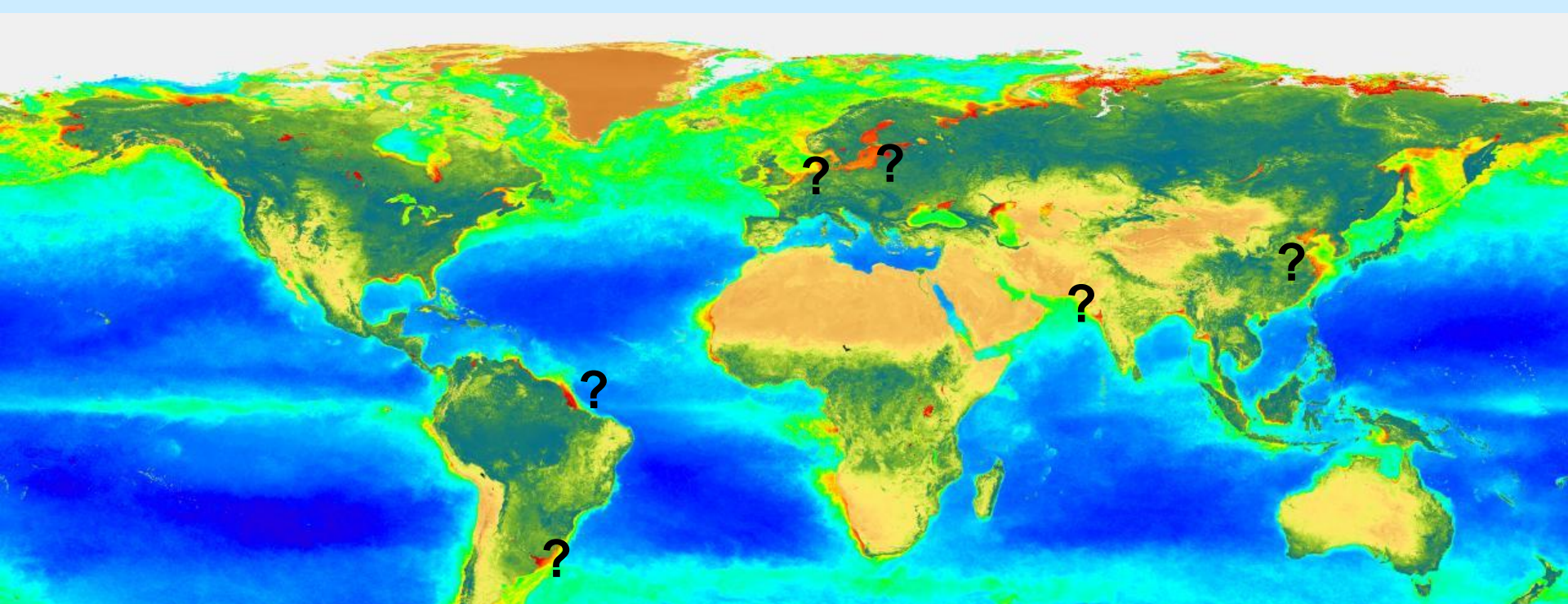


The problems of turbid waters (from a global CHL perspective)



Two problems:

1. Atmospheric correction in turbid waters
2. CHL retrieval in high non-algal particle absorption waters

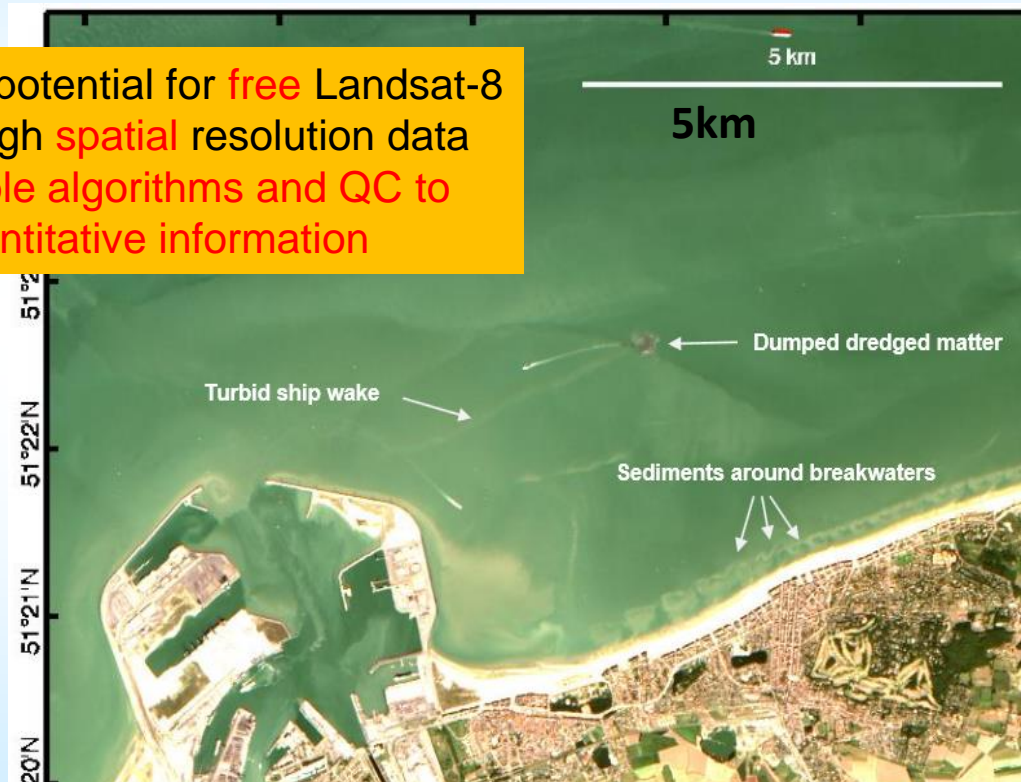
e.g. SeaWiFS CHLa composite Sept1997-Aug1998, v1 processing

RED=high CHLa (or NOT?)

The New (high resolution) World of turbid waters

- Many coastal/inland apps are very nearshore: EU WFD 1 n. mile
- New sediment transport features become visible at high spatial resolution, e.g. Sentinel-2 10m (ports, estuaries, dredging plumes, windmill wakes, ...)

HUGE application potential for **free** Landsat-8 and Sentinel-2 high **spatial** resolution data **BUT need reliable algorithms and QC to provide quantitative information**



Landsat-8
(30m...15m)
around port of
Zeebrugge

Vanhellemont Q. & Ruddick K. (2014). Landsat-8 as a Precursor to Sentinel-2: Observations of Human Impacts in Coastal Waters. In: Submitted for the proceedings of the Sentinel-2 for Science Workshop held in Frascati, Italy, 20-23 May 2014, ESA Special Publication SP-726.

Optical

~~Ocean Colour~~ Remote Sensing in
(coastal and inland) **Turbid Waters**

by Kevin Ruddick

with support from RBINS-REMSEM researchers, past and
present

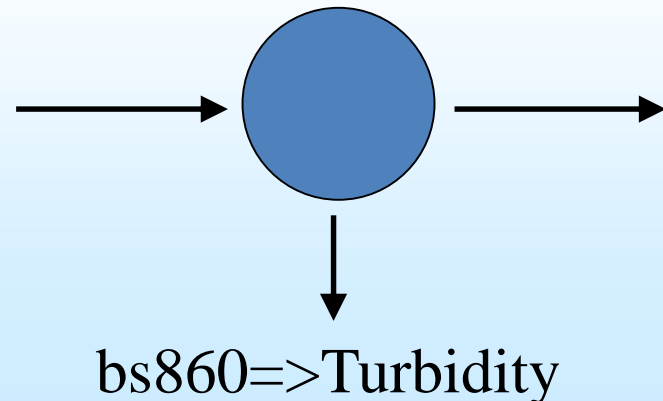
(Ana Dogliotti, Héloïse Lavigne, Bouchra Nechad, Griet Neukermans, Youngje Park, Dimitry Vanderzande, Quinten Vanhellemont, Barbara Van Mol) and
BELCOLOUR/HIGHROC/HYPERMAQ project partners

Overview of the Lectures

- Scope = issues specific to turbid waters, especially:
 - Chlorophyll and Suspended Particulate Matter conc. retrieval in turbid waters
 - Atmospheric correction in turbid waters: Quinten's practical
 - ALSO new parameters, applications, etc.
- Assumes basic knowledge of:
 - Absorption, scattering and reflectance [Boss, Slivkoff, Stramski, Twardowski]
 - Ocean Colour algorithms [Hedley, Lee]
- Lecture organisation:
 - Weds 4th 14:00-14:45 Lecture 1 - Introduction to turbid waters (Kevin)
 - Weds 4th 14:45-15:30 Lecture 2 - ACOLITE intro and demo (Quinten)
 - Weds 4th 16:00-17:30 ACOLITE practical (The Students)
 - Thurs 5th 09:00-09:30 MORS Excel water colour model intro (Kevin)
 - Thurs 5th 09:30-10:30 MORS Excel water colour modelling (The Students)
 - (Thurs 5th 17:30+ Quinten and Kevin available for ACOLITE practical follow-up)
 - Friday 6th 14:00-15:30 Student presentations of ACOLITE/Copernicus practicals
 - Friday 6th 16:00-17:30 Student presentations of ACOLITE/Copernicus practicals

What are “turbid” waters

- Wikipedia:
 - Turbidity=“cloudiness or haziness of a fluid caused by individual particles (suspended solids) ..., similar to smoke in air. The measurement of turbidity is a key test of water quality.”
- International Standards Organisation (ISO 7027:1999):
 - “Reduction of transparency of a liquid by the presence of undissolved matter”
 - Measured via $90^{\circ} \pm 2.5^{\circ}$ scattering at 860nm (<60nm bandwidth) relative to Formazine (Formazine Nephelometric Units)
 - PLEASE DO NOT USE broadband tungsten lamps (US EPA protocol)



Degrees of turbidity

- Unofficial (but very useful) definitions

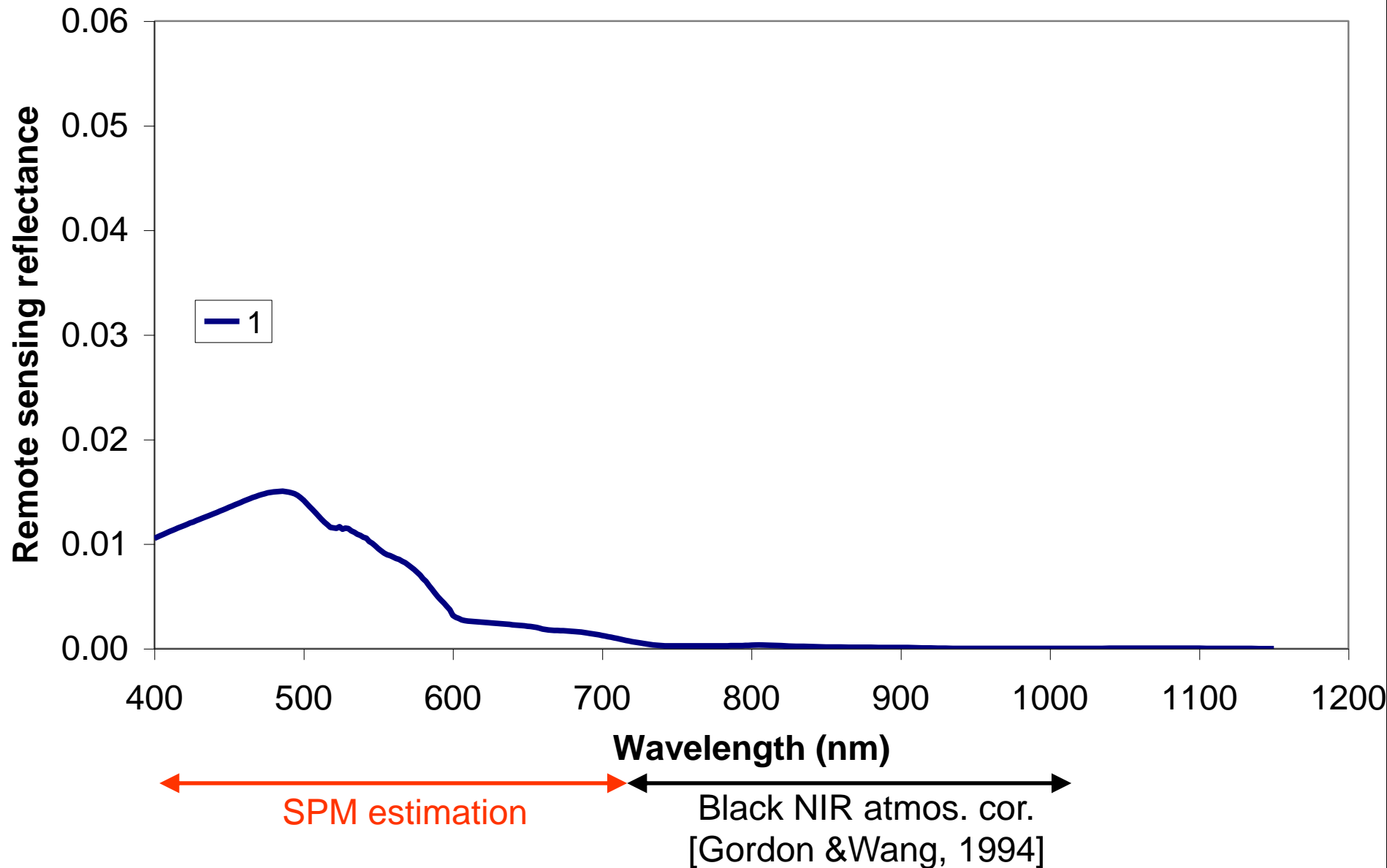
| Description | Turbidity, bs (FNU) | Suspended Particulate Matter, SPM (g/m ³) | Secchi depth (m) | Scattering, b ₅₅₅ (m ⁻¹) | Backscattering, bb ₅₅₅ (m ⁻¹) | Water Reflectance at 778nm=PI*R _{s778} |
|-------------------|---------------------|---|------------------|---|--|---|
| Clear | <1.1 | <1 | >10m | <0.5 | <0.01 | <0.0008 |
| Moderately turbid | 1.1-11 | 1-10 | 2-10m | 0.5-5 | 0.01-0.1 | 0.0008-0.008 |
| Very turbid | 11-110 | 10-100 | 20cm-2m | 5-50 | 0.1-1 | 0.008-0.06 |
| Extremely turbid | 110-1100+ | 100-1000+ | <0.5cm-20cm | 50-500+ | 1-10 | 0.06-0.2 |

NB. Rough values only, mass-specific optical properties do vary

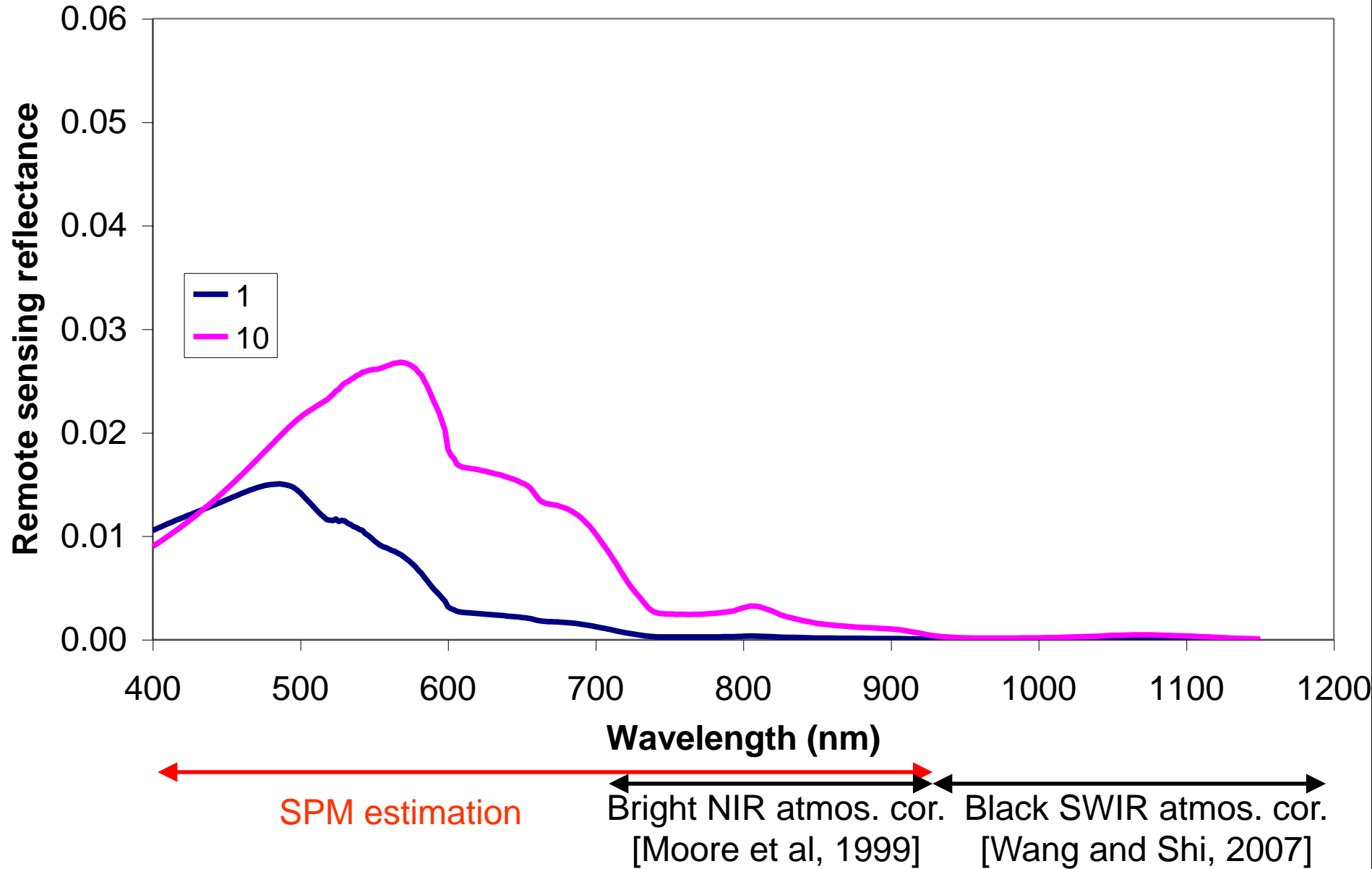
Neukermans et al (2012). In situ variability of mass-specific beam attenuation and backscattering of marine particles with respect to particle size, density, and composition. *Limnol Oceanogr.* 57, 124–144

Babin, et al (2003). Light scattering properties of marine particles in coastal and oceanic waters as related to the particle mass concentration. *Limnol Oceanogr.* 48, 843-859

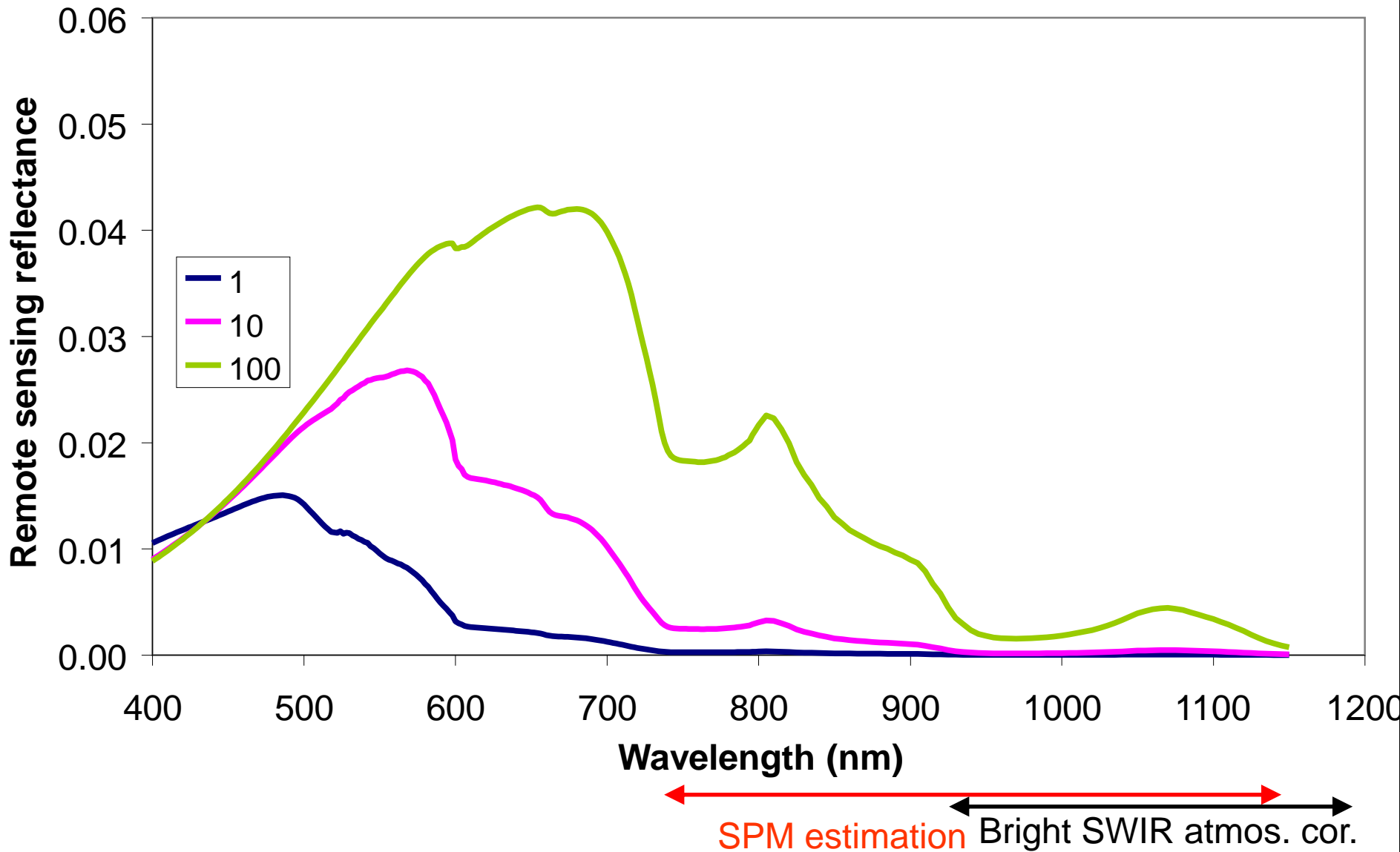
Varying Total Suspended matter concentration (mg/m³)



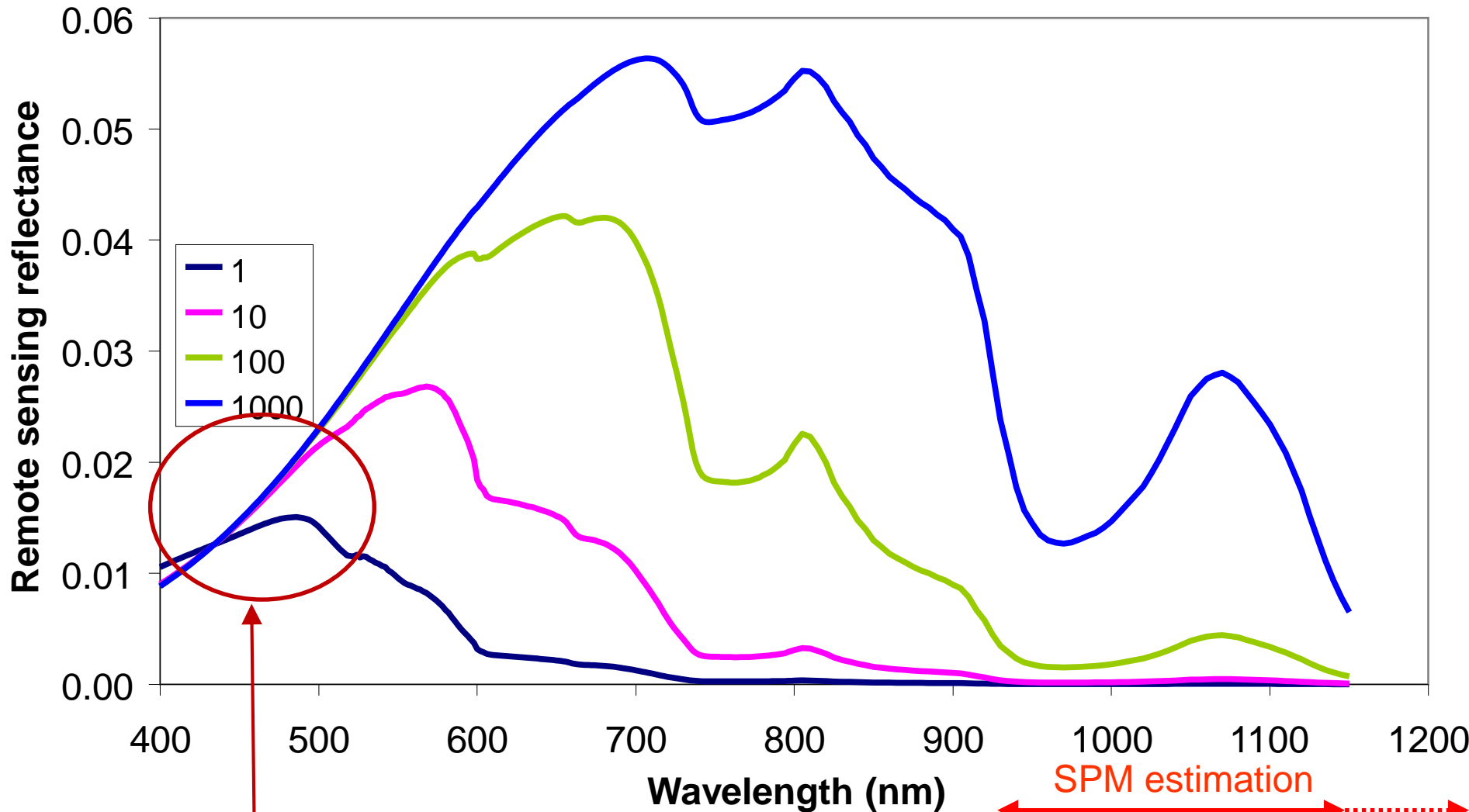
Varying Total Suspended matter concentration (mg/m³)



Varying Total Suspended matter concentration (mg/m³)



Varying Total Suspended matter concentration (mg/m³)



"saturation"

[Luo, Doxaran et al, 2018]

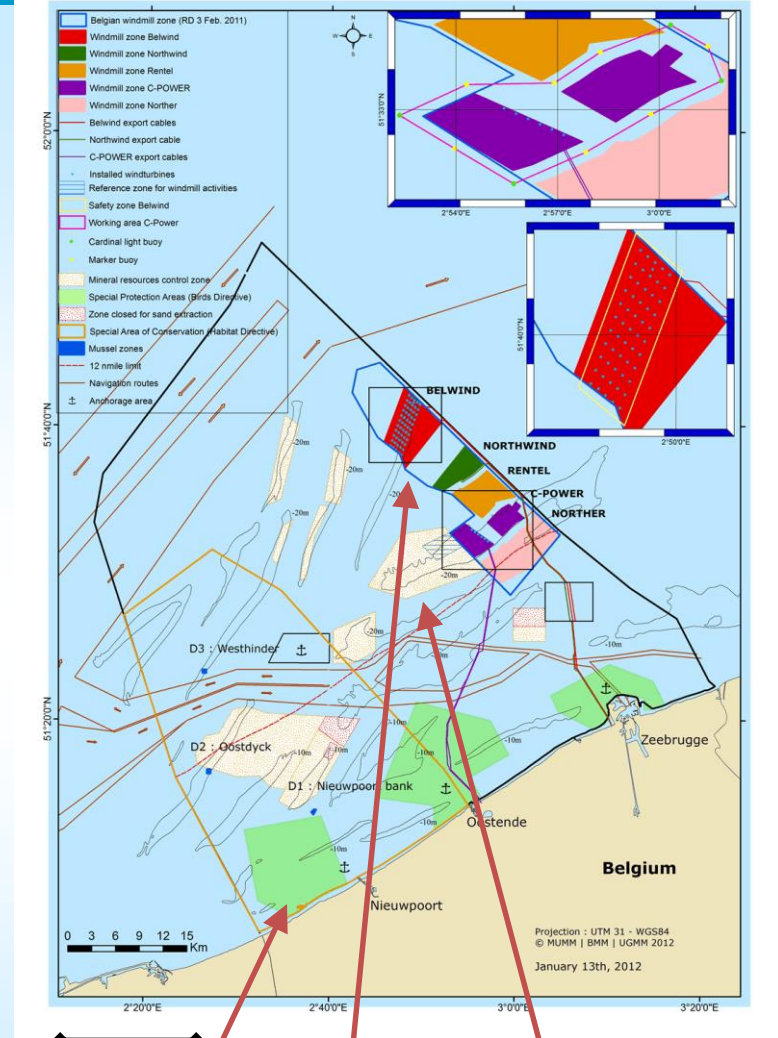
[Knaeps et al, 2012] measured non-zero
1020nm reflectance, proportional to SPM

Where to find turbid water

| Description | Suspended Particulate Matter, SPM (g/m ³) | Typical cases |
|-------------------|---|--|
| Clear | <1 | Non-bloom oceanic |
| Moderately turbid | 1-10 | Oceanic bloom, "clear" lake, Tidal seas (~20-50m) |
| Very turbid | 10-100 | Tidal seas (<20m), lakes River plumes, estuaries |
| Extremely turbid | 100-1000+ | Major plumes, estuaries (Amazon, La Plata, Yangtze) |

Motivation for turbid waters

- **Human pressures and interests are most intense** for coastal, estuarine and inland waters, many of which are turbid
 - Eutrophication monitoring (EU Water Framework Directive, etc.)
 - High biomass harmful algal blooms
 - Sediment transport, dredging, coastal engineering (port, windmill constructions, etc.)
 - Riverine sediment plumes (organic carbon flux, impact on euphotic depth, ...)
 - Fish larvae nursery/spawning grounds
 - Coastal fisheries and aquaculture
 - Tourism



15km
Belgium: windmills, sand extraction, nature [MUMM/BMDC]

Problems AND advantages for remote sensing

- In turbid waters:
 - **Chlorophyll retrieval** by blue:green (Case 1) algorithms fails because absorption from algal particles + **non-algal particles**
=> Need red/near infrared or multispectral (inc red) algorithms
 - **Atmospheric correction** is more difficult because near infrared (NIR) marine reflectance is not zero
=> Need turbid water algos, e.g. “bright pixel”, SWIR dark pixel, coupled ocean-atmosphere multispectral, etc.
- BUT:
 - Water reflectance signal is also stronger compared to atmosphere
=> Can more easily see turbid waters
- AND:
 - **Many new applications and parameters** compared to Case 1 waters

Aquatics Applications – Spectral resolution

| Application | User | Parameter |
|--|---|---|
| EU Environment Directive (MSFD/WFD) reporting | National govt | CHL – multitemporal (90 percentile) Turbidity (TUR) |
| Carbon cycle modelling and Ocean acidification | Ecosystem modellers | CHL ... ocean CO ₂ , air-sea flux and ph PAR, PAR attenuation, euphotic depth |
| Harmful Algae Blooms near real-time alert | National govt Fisheries Aquaculture | CHL ... (Harmful?) Algae Bloom |
| Marine Science support | Marine scientists (esp. biology) | CHL |
| Coastline/Bathymetry change, dredging/dumping | Sediment transport modellers | Suspended Particulate Matter (SPM) or Turbidity (TUR) for model val/initial |
| Offshore construction (environmental impact) | Govt + Offshore industry | Suspended Particulate Matter (SPM) or Turbidity (TUR) |
| Diving ops; Detection of subs, mines; marine animal vision | Diving industry Military, Biologists | Underwater visibility |

Need many λ and high S:N

Need 2-3 λ

Need 2-3 λ

Useful parameters

Remote sensing reflectance

Total Absorption, a

Spectral or PAR

Total Backscatter, b_b

Diffuse atten., K_{ed}
(Euphotic depth, Bottom PAR, etc.)

Algal Particle Absorption, a_{ph}

Chlorophyll a, CHL
Phyto species?

Particulate backscatter, b_{bp}

Susp Part. Matt. Conc,
SPM

Particulate sidescatter, b_{bs}

Particle size/type?
Settling velocity??

ISO Turbidity, TUR

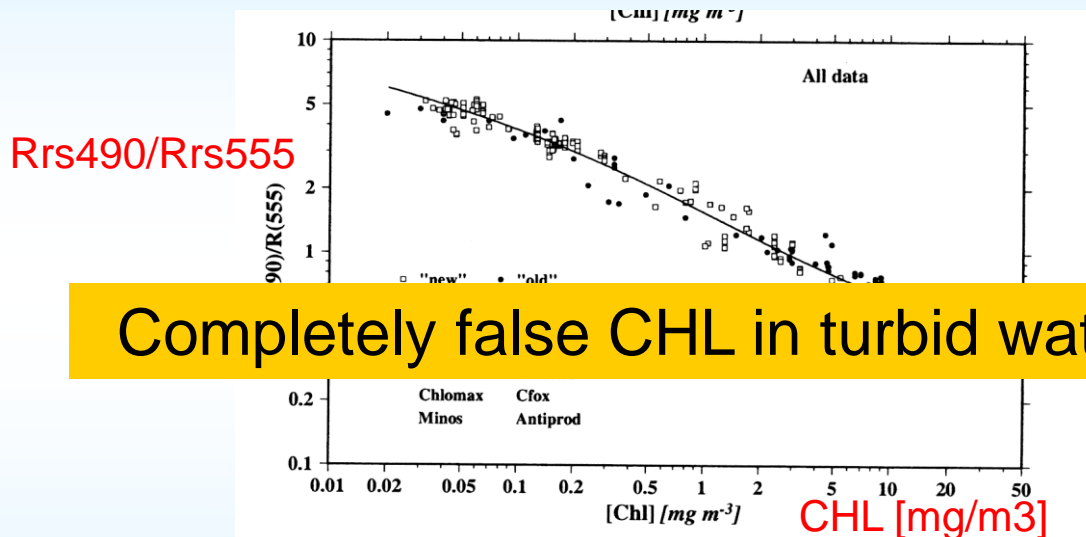
CDOM absorption,
 a_{CDOM}

Chlorophyll a (CHL) retrieval

(for IOP inversion approaches see Lecture by Lee,
CHL can then be derived from a_phyto using
Relationships in "BGC" lecture by Boss)

Chlorophyll a retrieval: blue/green ratios

- In case 1 waters (phytoplankton only, no non-algae particles, CDOM correlated with phytoplankton), CHL varies continuously with **blue:green reflectance** ratio, e.g. Rrs490:Rrs555



[Morel and Antoine, 2000]

Completely false CHL in turbid waters

$$\log_{10} (CHL - a_4) = a_0 + a_1 R + a_2 R^2 + a_3 R^3 \quad [\text{O'Reilly et al, 1998}]$$

$$R = \log_{10} \left(\max \left(R_{rs}^{443}, R_{rs}^{490}, R_{rs}^{510} \right) / R_{rs}^{555} \right)$$

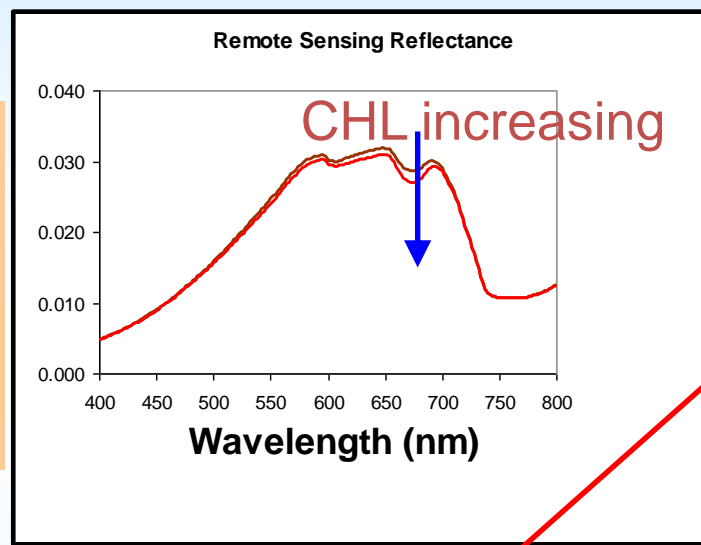
$$(a_0, a_1, a_2, a_3, a_4) = (0.4708, -3.8469, 4.5338, -2.4434, -0.0414)$$

Chlorophyll a retrieval: red/Near infrared ratios

- In turbid (case 2) waters with high NAP or CDOM absorption, CHL does not affect blue:green reflectance ratio, but CHL does affect **red:near infrared** ratio [Computer Exercise]

MERIS/OLCI/S2
709nm very useful

MODIS 748nm
less useful



$$CHL = \frac{1}{0.016} \left\{ \frac{R_{rs}^{708nm}}{R_{rs}^{664nm}} \left(0.70 + b_b \right) - 0.40 - b_b^{1.06} \right\}$$

$a_{\phi} 664nm/CHL$

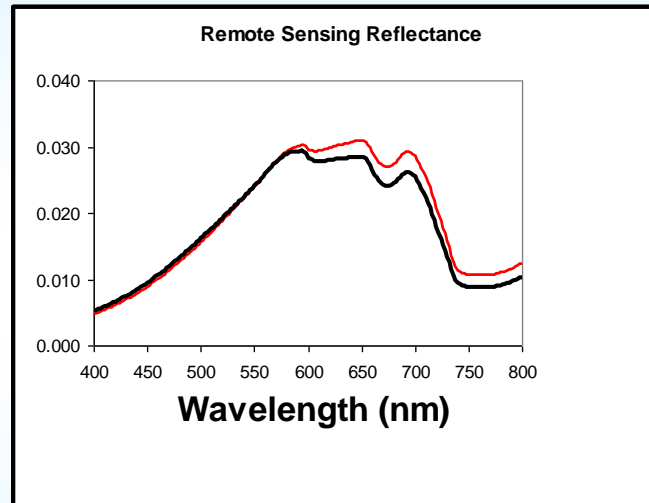
$$b_b = \frac{1.61 * \pi * R_{rs}^{778nm}}{0.082 - 0.6 * \pi * R_{rs}^{778nm}}$$

[Gons et al, 2005]

Also Gitelson, Gilerson, etc.

Chlorophyll a retrieval: multispectral fitting

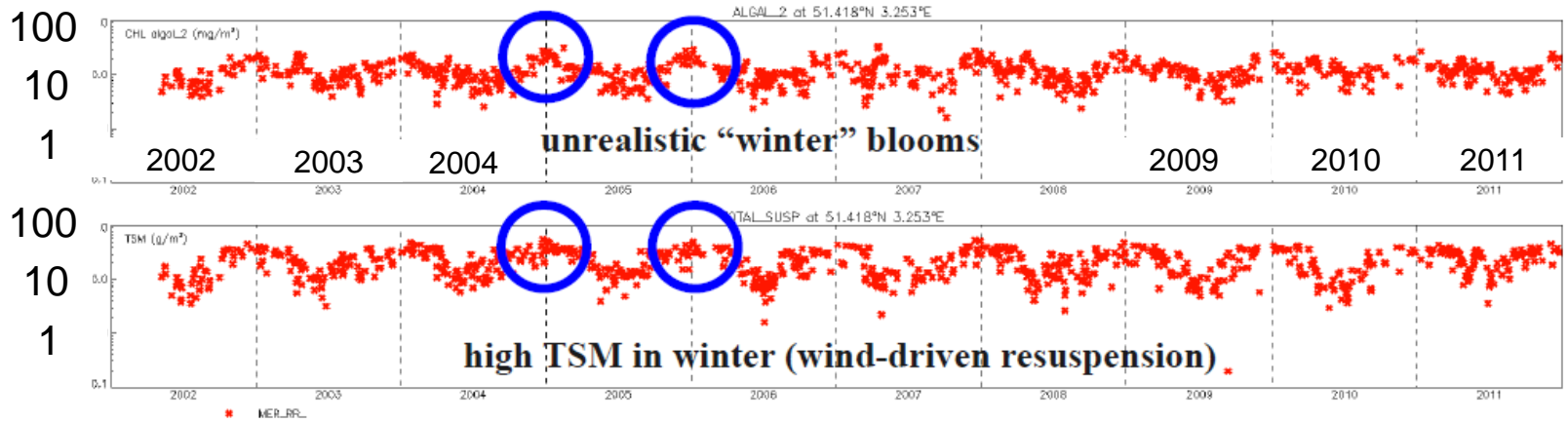
- In more general case, can use all wavelengths to estimate chlorophyll a, non-algae particles and CDOM simultaneously:
 - Computer Exercise: you performed this interactively
 - Some processors, e.g. S3/OLCI Neural Network, do this automatically



- Best approach for global processing for all waters?
- BUT what about multiple solutions? Understanding of physics ?
- Natural limits (CHL detection limit in high NAP/CDOM waters)

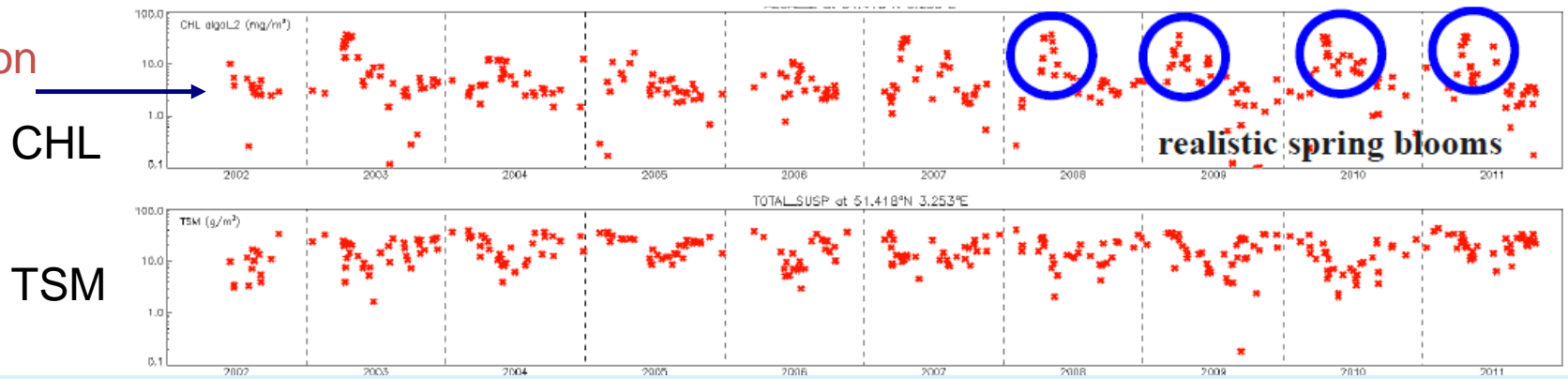
Some typical problems (Belgian turbid coastal location)

Time series from MERIS (R3, MEGS8.1)



Time series from MERIS (R2, MEGS7.5)

Detection limit of about 3µg/l



[Vanhellemont Q. (2012). Invalidation of the MEGS 8.0 chlorophyll product in turbid waters. In: Proceedings of the 3rd MERIS/(A)ATSR and OCLI-SLSTR prep workshop, ESA SP-711]

Beyond CHL ... Phytoplankton functional types

- There is also a strong user need for information beyond CHL:

- Phytoplankton functional types
- Species composition
- Harmfulness
- BUT CHL is difficult enough in turbid waters and species identification generally possible only in special cases (high biomass, distinctive IOPs)

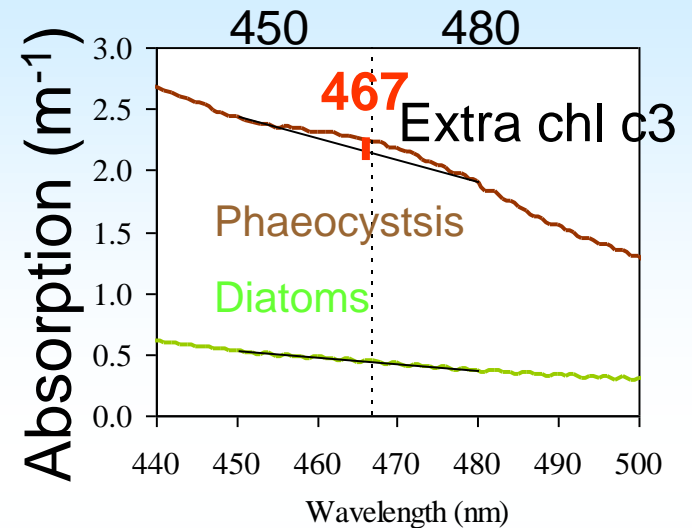
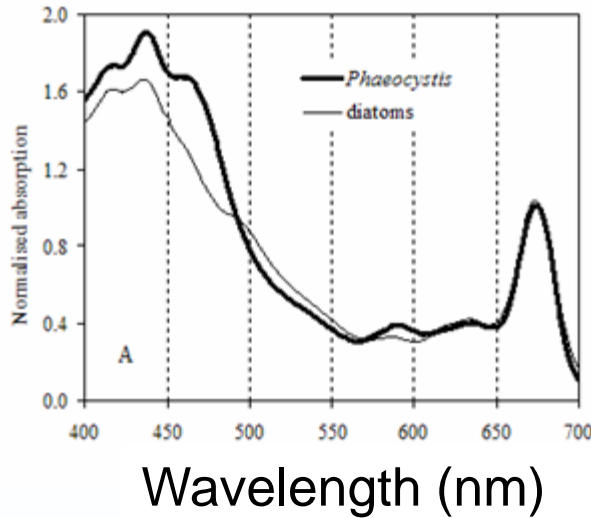
E.g.

- “Red tide” *Noctiluca scintillans* [Van Mol et al, 2007]
- High biomass (10-50µg/l) *Phaeocystis globosa* [Lubac et al, 2008; Astoreca, 2009]
- Highly scattering *Coccolithophores* [Lecture Neukermans]
- *Karenia mikimotoi* [Miller et al, 1998]
- IOCCG Report 15 [Sathyendranath et al, 2014] (but out of 156 pages, Case 2 waters are a 0.5 page section) and [Bracher et al, 2017] (but again very little info for turbid waters)

Phytoplankton species: Detection of *Phaeocystis globosa*

[Astoreca et al (2009). Development and application of an algorithm for detecting *Phaeocystis globosa* blooms in the Case 2 Belgian Waters. J Plankton Research, Vol. 31(3), pp. 287–300.]

Normalised absorption



Absorption algorithm

$$a_{c3}(467) = a_t(467) - 0.43 * a_t(450) - 0.57 * a_t(480)$$

Reflectance algorithm

$$a_{c3}(467) = [(1 / \rho_w(467)) - (0.43 / \rho_w(450)) - 0.57 / \rho_w(480)] * a_w(700) * \rho_w(700)$$

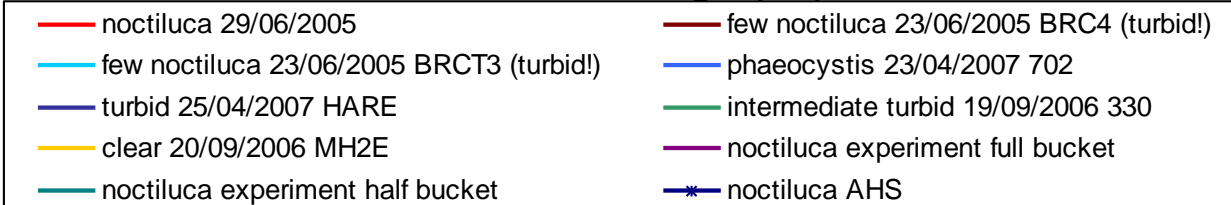
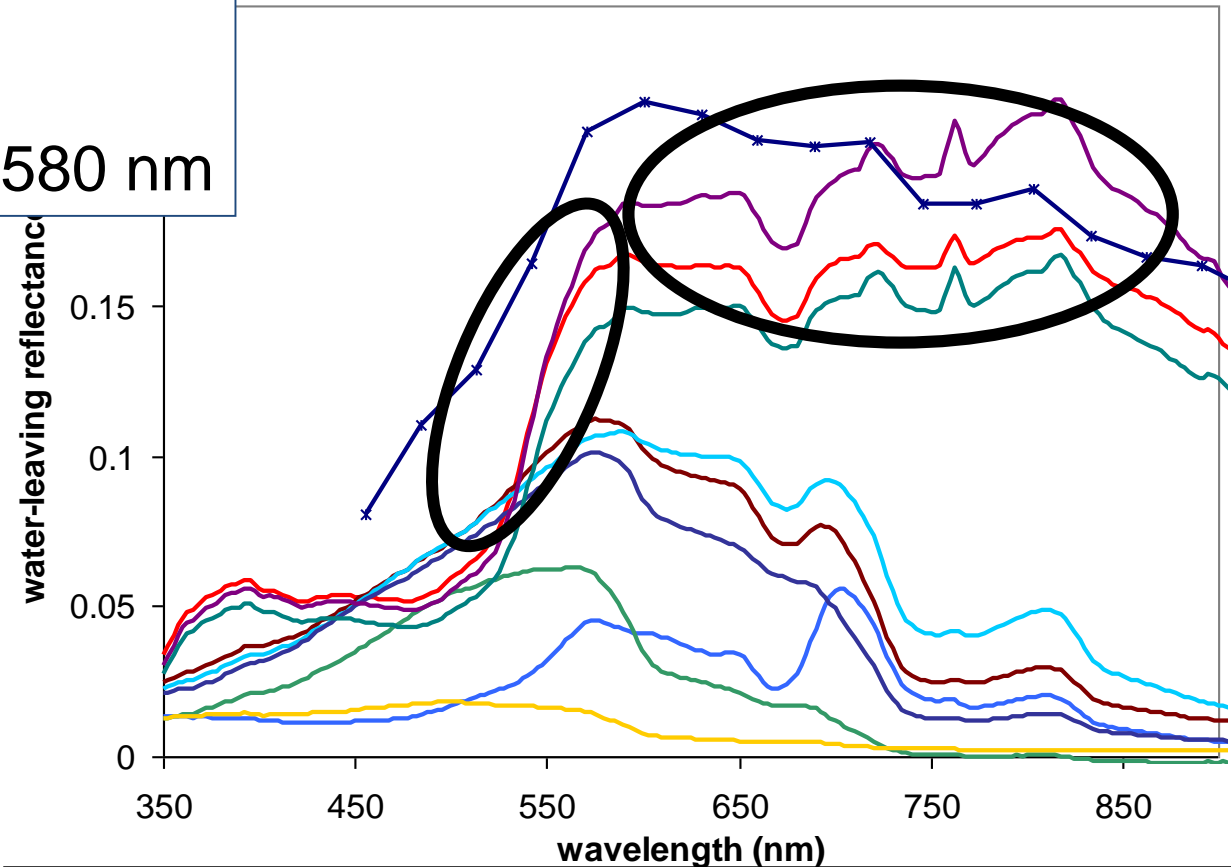
Plankton species: Detection of *Noctiluca scintillans*

[Van Mol et al (2007). Optical detection of a *Noctiluca scintillans* bloom. EARSel eProceedings, Vol. 6, pp. 130–137.]

Main differences

- high red and NIR
- sharp increase 520 -580 nm

24.5.2011, Oostende



Suspended Particulate Matter (SPM) conc retrieval

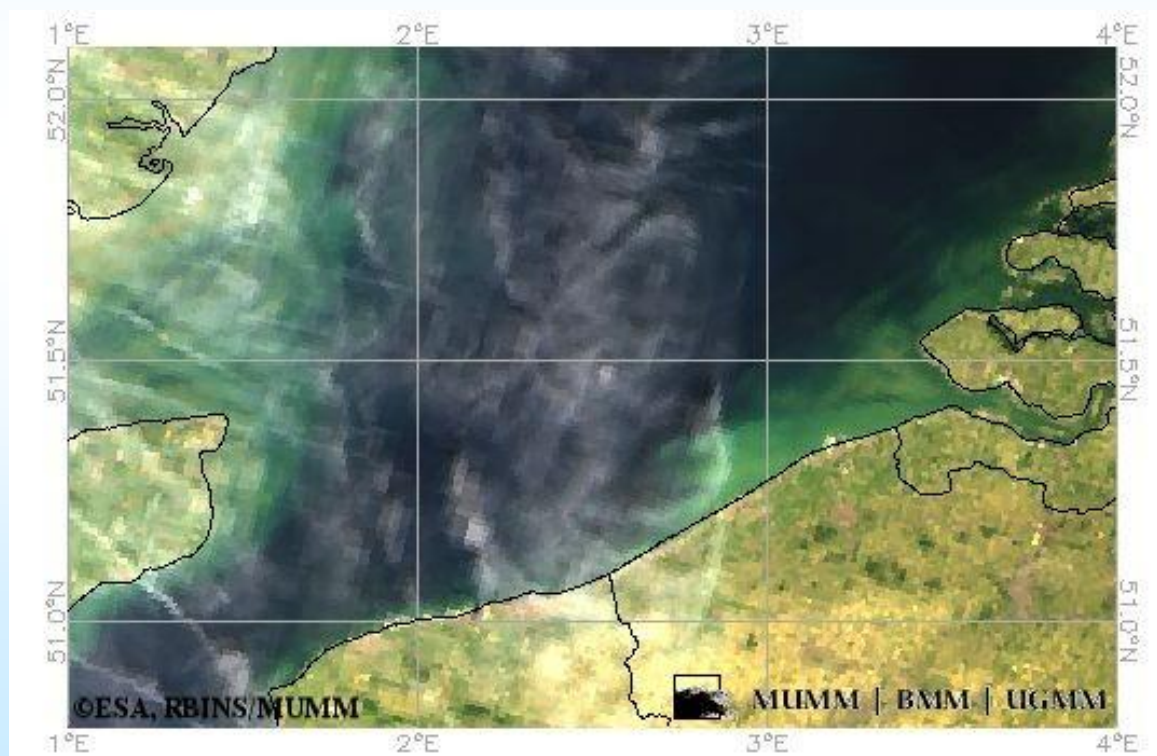
=Total Suspended Matter (TSM) conc.

=Total Suspended Solids (TSS) conc.

Also (strongly correlated) turbidity, PAR
attenuation, etc.

Suspended Particulate Matter (SPM) conc. retrieval

- SPM retrieval is generally "easier" than CHL in turbid waters because signal is strong - can be seen visually at top of atmosphere ("RGB" quasi true colour) or from aircraft
- e.g. MERIS top of atmosphere 11.6.2006 [Y.Park]



TSM-reflectance relationship

[Nechad et al (2010). Calibration and validation of a generic multisensor algorithm for mapping of total suspended matter in turbid waters. Rem Sens Env Vol. 114, pp. 854–866]

- Gordon/Morel reflectance model

$$R_{rs} = \gamma' \frac{b_b}{a + b_b} \quad \text{where} \quad \gamma' = \frac{f' \mathcal{R}}{Q}$$

- Decompose IOPs:

$$a = a_{np} + a_p^* S$$

$$b_b = b_{bp}^* S$$

Suspended
Particulate Matter

SPM-specific
scattering, absorption

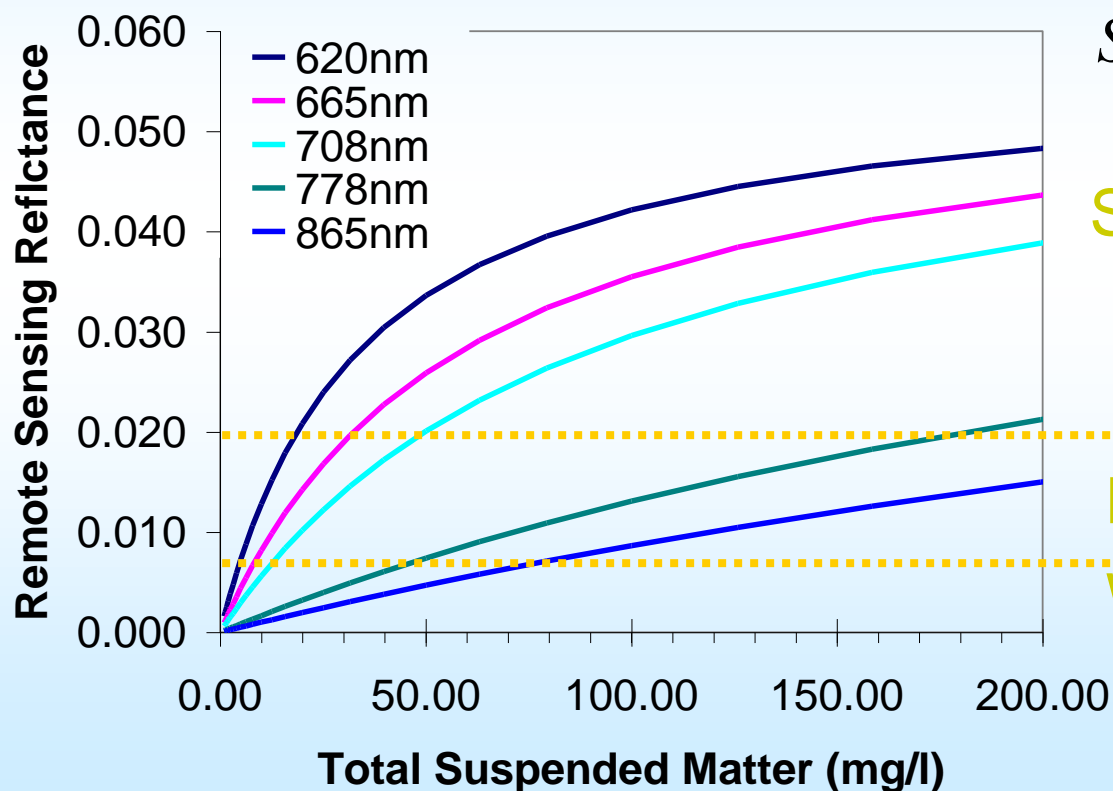
- Then

$$S = \left\{ \frac{A(\lambda)}{1 - R_{rs}(\lambda)/C} \right\} R_{rs}(\lambda) \quad \text{where} \quad A = \frac{a_{np}}{\gamma' b_{bp}^*}, \quad C = \frac{\gamma' b_{bp}^*}{a_p^* + b_{bp}^*}$$

↑ ↑
Calibration

TSM retrieval algorithms: single band

- Remote-sensing reflectance, R_{rs} , at any single wavelength, λ , is almost linearly related to Suspended Particulate Matter, S



$$S = \left\{ \frac{A(\lambda)}{1 - R_{rs}(\lambda)/C} \right\} R_{rs}(\lambda)$$

SATURATION

[Luo et al, 2018]

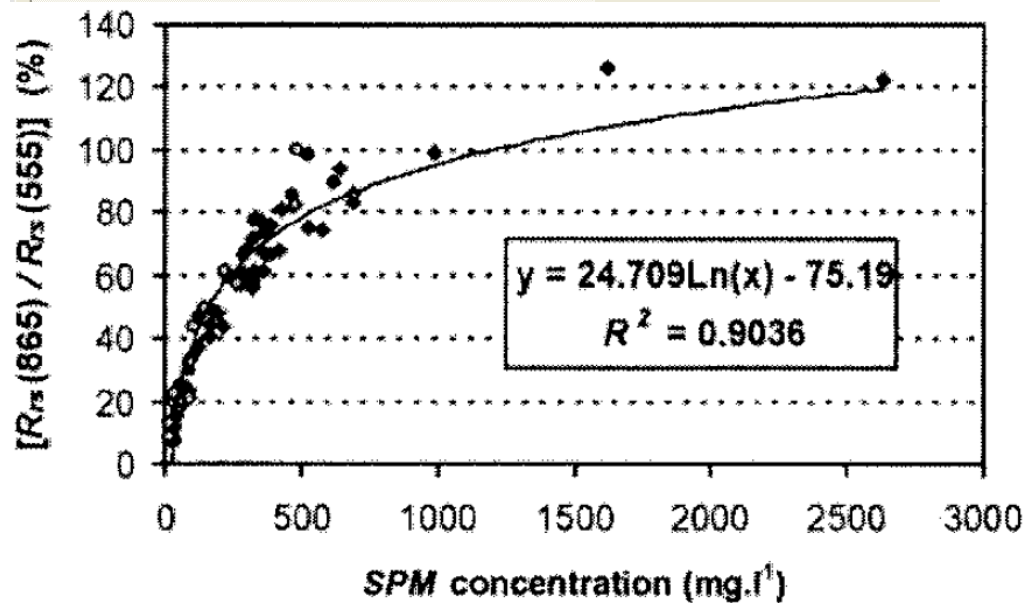
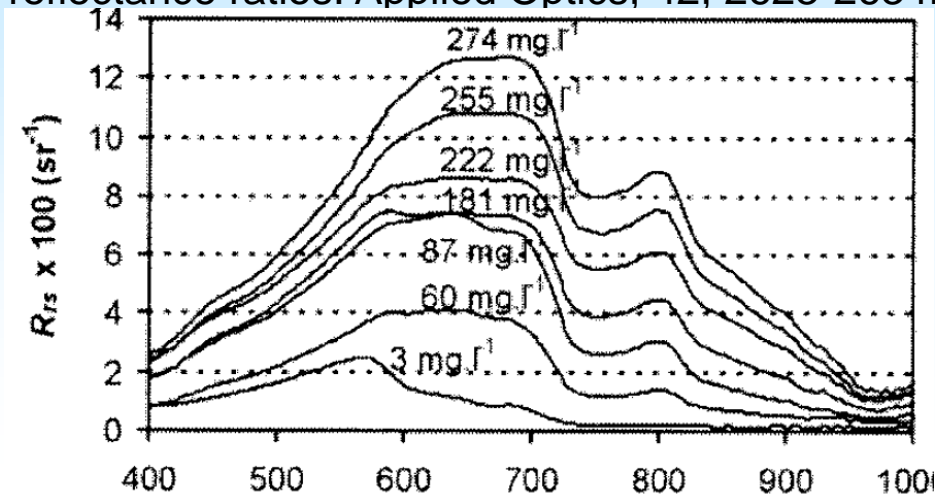
LINEAR (optimal)

WEAK SIGNAL

[Nechad et al, 2010;
Shen et al, 2010]

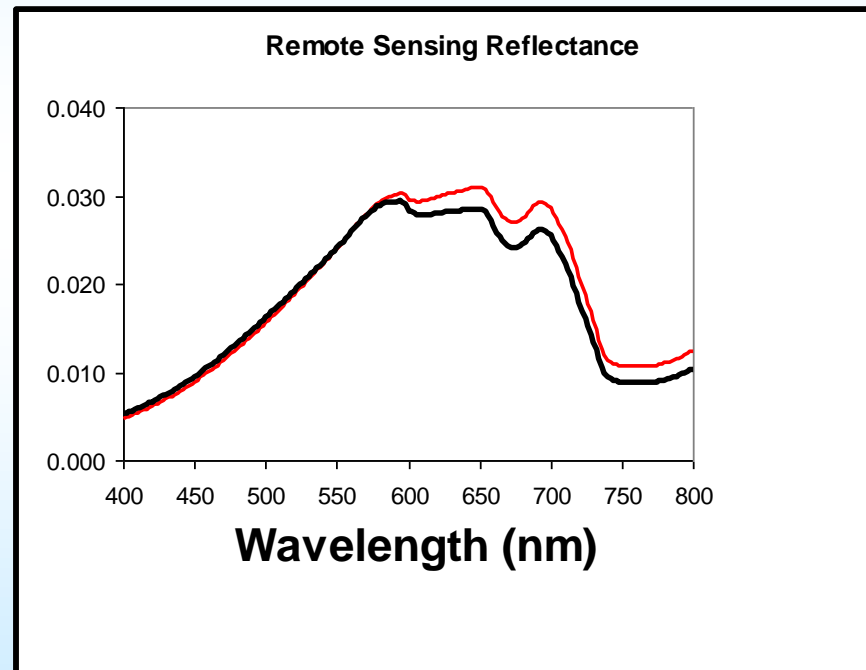
SPM retrieval – Band ratios, e.g. $SPM=f(R_{rs865}/R_{rs555})$

[Doxaran et al (2003). Remote sensing reflectance of turbid sediment- dominated waters. Reduction of sediment type variations and changing illumination conditions effects using reflectance ratios. Applied Optics, 42, 2623-2634.]



SPM retrieval: multispectral fitting

- As for CHL, SPM can also be estimated by fitting modelled spectrum to full spectrum measured by satellite as in Computer Exercise



Beyond SPM conc ... particle size, organic fraction, ...

- Sedimentologists and marine biologists want more than "just" SPM concentration
 - Particle Size Distribution?
 - Organic fraction?
 - Carbon content??
- Status is generally in situ not remote sensing, research in progress
- BUT some promising ideas based on:
 - Backscatter spectral slope (PSD)?
 - Absorption/Backscatter ratios?
 - Angular variation of scattering (multi-look sensors)?
 - Polarization ??

Underwater visibility

marine humans

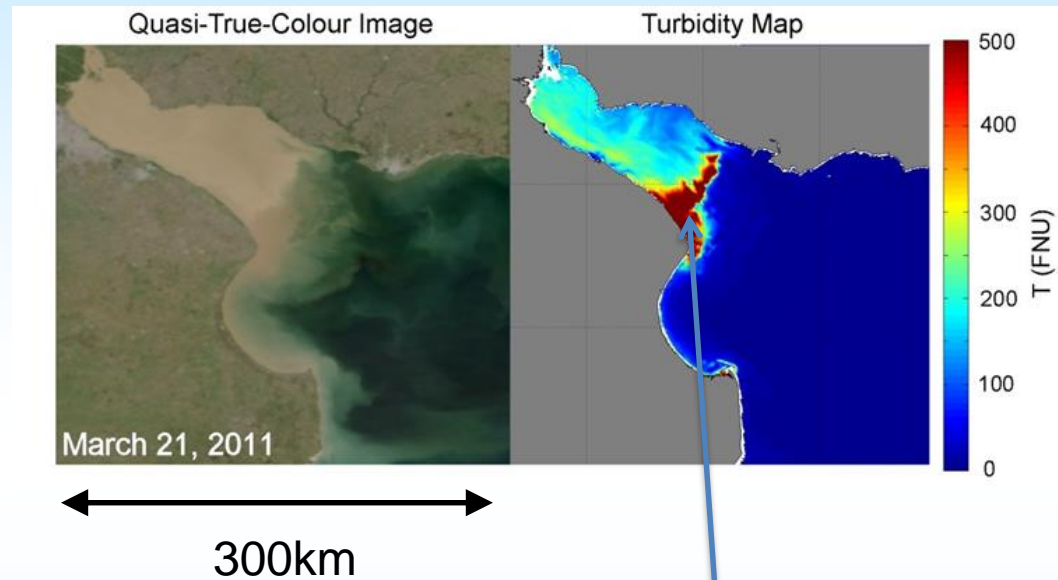
- RBINS receives requests for visibility predictions (“optimal diving window”) for various diving operations



From: [Subsea World News] “Specialist divers battling strong tides and **zero-visibility** have completed a year-long project to cover parts of an exposed underwater pipeline in the Humber estuary.”

... and other marine animals

La Plata turbidity mapping [Dogliotti et al, 2011]



Visual predators cannot see here, safe haven for prey , e.g. fish larvae

Larmuseau et al (2009) suggest that Wavelength of Maximally Transmitted Light may affect **genetic adaptation of fish** (rhodopsin in sand gobies)

Transparency/Visibility-related products

- Historically, the main focus of ocean colour had been oceanic CHL
- Current standard products for MODIS/MERIS/OLCI do not include turbid water transparency (just Case 1 Kd490)
- BUT **fast-growing interest in transparency-related products:**

| User | Product |
|---|--|
| Ecosystem modellers | Euphotic depth, PAR attenuation |
| Benthic biologists | bottom light availability (habitat) |
| Fish biologists | horizontal visibility (visual predation habitat) |
| Commercial/scientific divers | horizontal visibility |
| Water quality monitoring/Environmental Impact Assessment (windmill/port construction, dredging) | transparency/turbidity, even Secchi depth |

CHL and SPM algos - summary

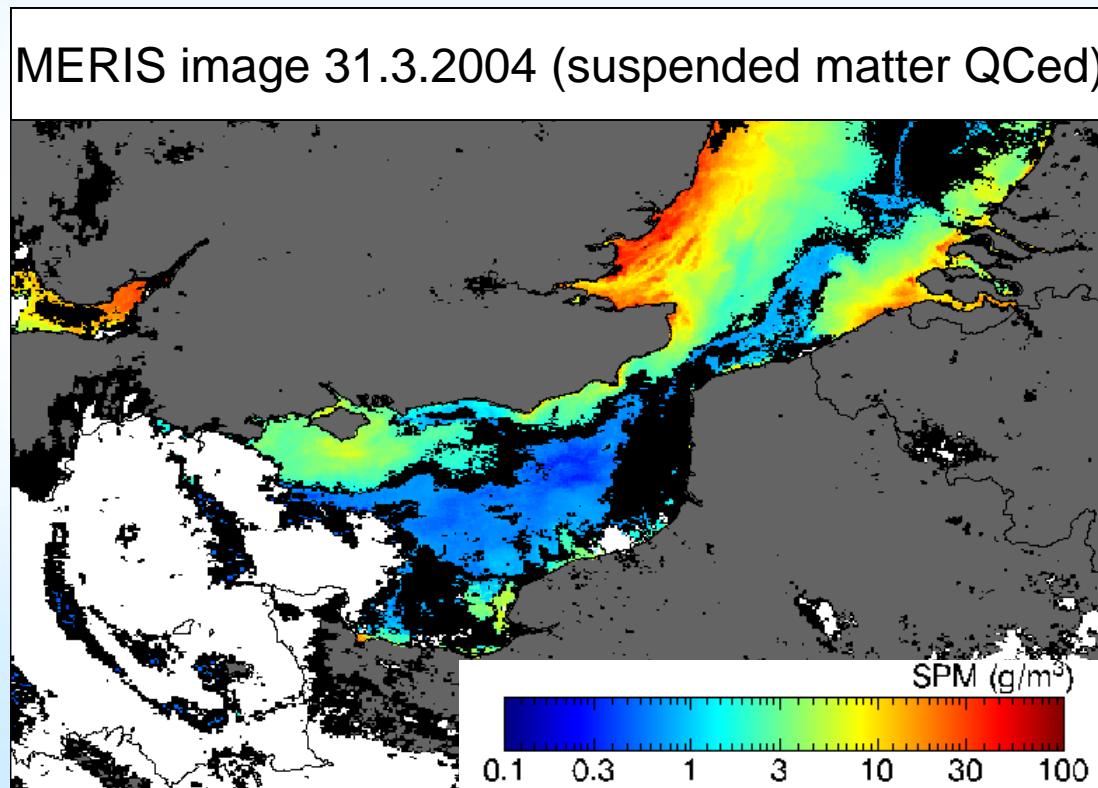
- CHL problems in turbid waters because of non-algae particle absorption
 - => use RED/NIR or multispectral algos, which include RED
- SPM retrieval in turbid waters is « easy »
 - Can use single band, band ratio, multispectral algos
 - Increase wavelength for increasing SPM
 - [Shen et al, 2010; Nechad et al 2010; Dogliotti et al, 2015]
- Transparency and/or diffuse attenuation algos for turbid waters are emerging (not so difficult)

Turbid waters - Miscellaneous

- **Cloud flagging** in turbid waters
 - Simple TOA 865nm reflectance thresholds (SeaDAS) do not work because turbid water is also bright
 - Raise threshold or use better multi-spectral algos, e.g. [Nordqvist et al, 2009] ... spatial heterogeneity, thermal bands (when present), etc.
- **Bidirectional effects**
 - Light field is more diffuse, BRDF less important than in Case 1 waters but some variability [Loisel and Morel, 2001; Park and Ruddick, 2005]
 - Case 1 CHL-based BRDF corrections, f/Q [Morel and Gentili], are not appropriate => DO NOT USE
 - Case 2 BRDF corrections are emerging, e.g. neural net-based [Fan et al, 2016], IOP-based [Lee et al, 2011]
- **Stratification**
 - Remote sensor sees “near-surface” (but depth depends on wavelength)

Miscellaneous

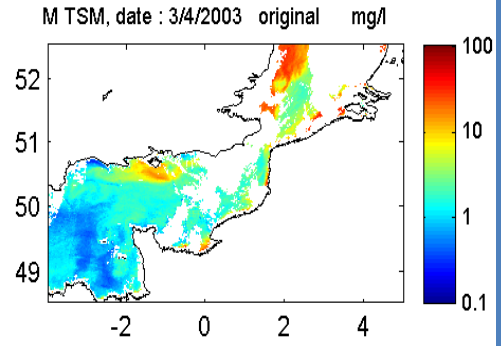
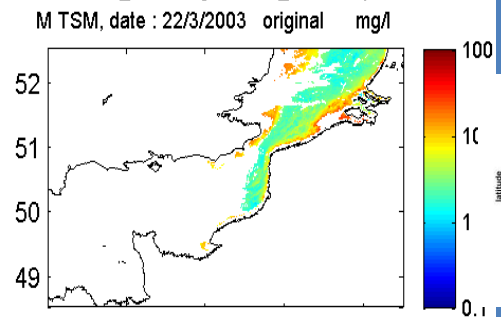
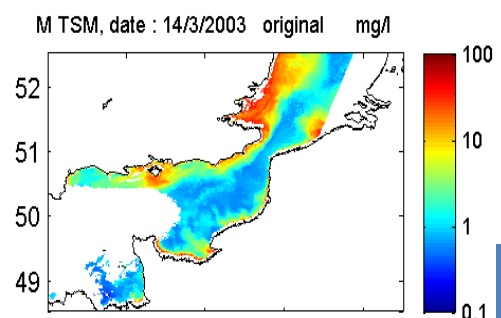
- Quality flagging and product uncertainty estimation are growing research field:
 - E.g. a) Spectral fit-based uncertainty, b) multitemporal EOF [Sirjacobs et al, 2011], c) theoretical *a priori* uncertainty estimation



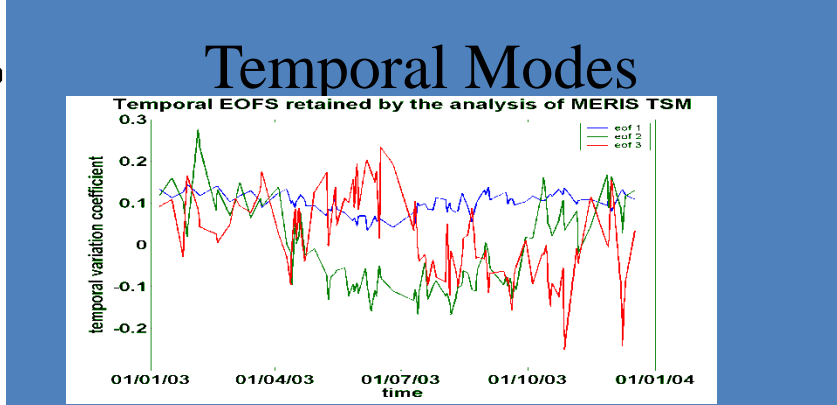
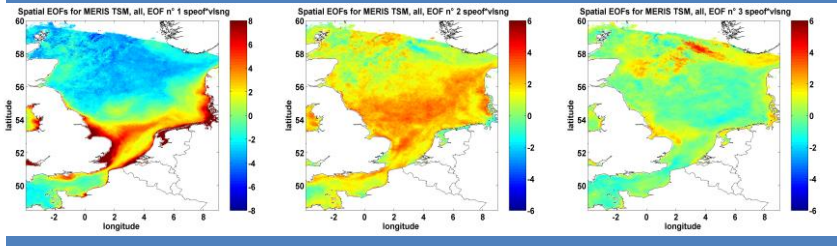
e.g. MERIS Product
Confidence Flag
[Processing: Y.Park]

D. Sirjacobs, et al. Cloud filling of ocean color and sea surface temperature remote sensing products over the Southern North Sea by the Data Interpolating Empirical Orthogonal Functions methodology. Journal of Sea Research, 65(1):114-130. 2011.

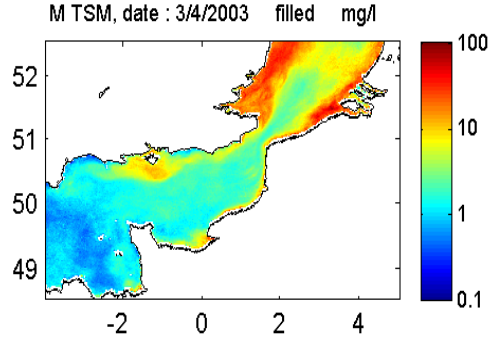
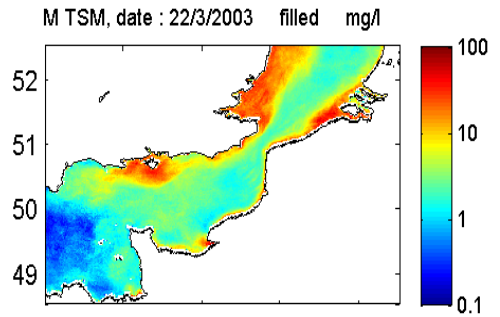
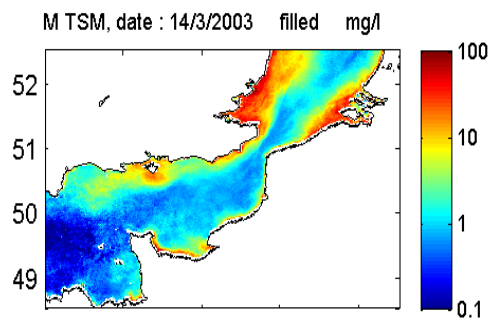
Filling clouds ... and quality control [Sirjacobs et al, 2011]



Mean = $\log_{10}(\mu\text{g/l})$
Spatial Modes



Singular Values



Ocean colour remote sensing in turbid waters

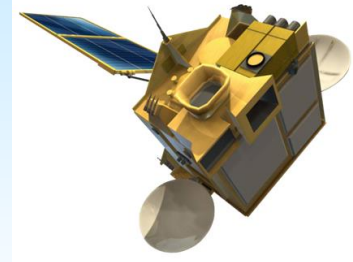
| | Capabilities | Limitations | Research |
|-----------|--|---|----------|
| Parameter | SPM, CHLA, Kd (and IOPs) | Just SPM, CHLa, Kd No vertical structure No flux info | |
| Temporal | ~Daily since 2003 Near Real Time (~2h) | Clouds! No tidal info | |
| Spatial | 300m -1000km 10m (some ~1m) | | |
| SPM Conc. | 0.1- 500 g/m ³ 2000 | Extreme high conc. | |
| Accuracy | Absolute: 30-50% SPM? Relative: good | | |
| Issues | | Near land (~1km) Atmospheric Corr. Sunlint | |

Optical Remote Sensing – future systems

Platform

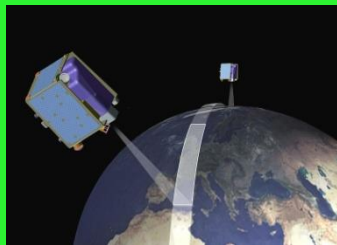


Geostationary



Hourly GOCI data since 2010
(Korea/Japan/China)
Europe/US plans not soon

Pointable minisats



e.g. Planetscope Constellation:
daily revisit, 4m

Unmanned airborne



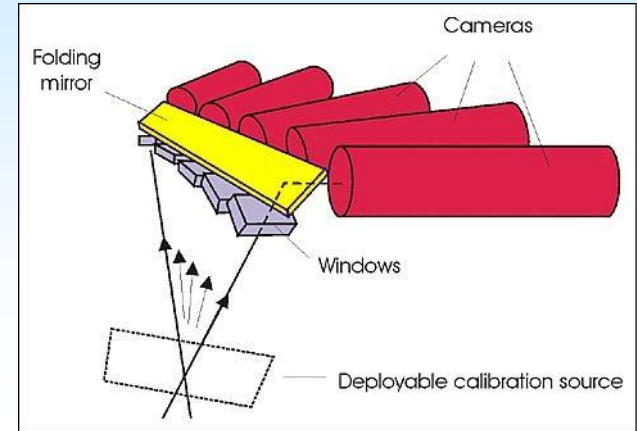
[www.gatewing.com]

Optical Remote Sensing – future systems

Platform



Sensor



Processing/Distribution

$$S = A \frac{1}{1 - \dots}$$

Higher **spatial resolution**

Better **signal:noise**

More spectral bands ... **hyperspectral**

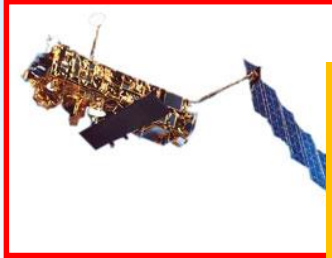
From **UV (350nm)** to **SWIR (2.3µm)**

Wavelength (nm)

Recent AB

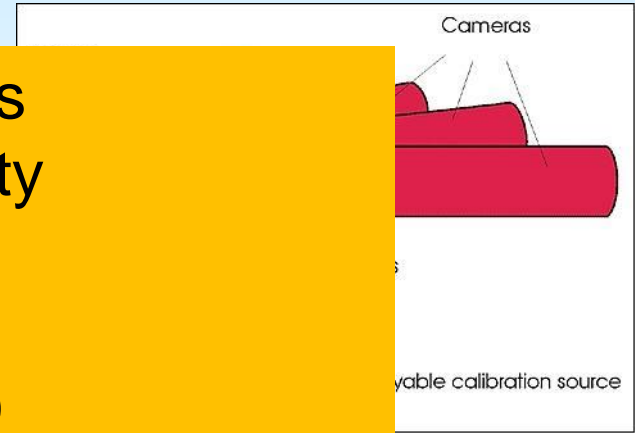
Optical Remote Sensing – future systems

Platform



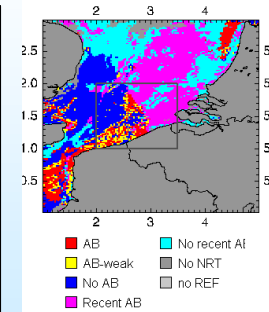
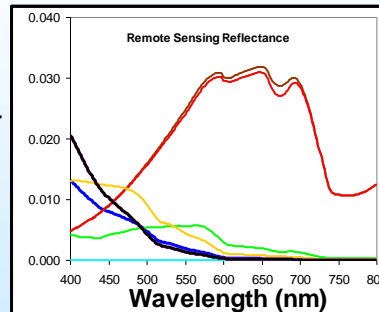
Algorithms for more products
Algorithms for QC/uncertainty
+
Multimission processing
(time series, synergies, etc.)

Sensor



Processing/Distribution

$$S = A \frac{\rho_w}{1 - \rho_w/C}$$



Multi-mission context for sediment transport (not exhaustive!)

| Satellite/Sensor | Period | Spatial Resolution | Temporal Resolution |
|-------------------|-----------|--------------------|---------------------|
| SeaWiFS | 1997-2010 | 1000m | Daily |
| MODIS-TERRA | 1999+ | 250m | Daily |
| MODIS-AQUA | 2002+ | 250m | Daily |
| ENVISAT-MERIS | 2002-2012 | 300m | ~4/week |
| VIIRS | 2011+ | 1000m | Daily |
| Sentinel-3AB/OLCI | 2015+ | 300m | ~4/week (1 sat) |
| PROBA-V | 2013+ | 100m | Every 5 days |
| Landsat-5 | 1984-2013 | 30m | Every 16 days |
| Landsat-8 | 2013+ | 30m | Every 8 or 16 days |
| Sentinel-2AB | 2015+ | 10m | ~3/week (2 sats) |
| Pléiades | 2011+ | 2m/70cm | On demand |
| SEVIRI-MSG | 2004+ | 5000m | Every 5 minutes |

CONCLUSIONS

- Turbid waters have high socio-economic importance
 - User need => more intensive use of r/s for science, monitoring, etc.
 - Processing problems include:
 - CHL retrieval in presence of high non-algal particle absorption
 - Aerosol correction where near infrared marine reflectance non-zero
 - Many new algorithms and products are emerging:
 - Inherent Optical Properties
 - Spectral diffuse attenuation, turbidity
 - Specific phytoplankton blooms
 - Quality and/or uncertainty estimates
- Programming skills are most important!**
- What does the future hold?
 - High frequency data from geostationary (SEVIRI, GOCI, ...)
 - More and more information on particles (size, type, organic content...)
 - High spatial resolution (Landsat-8, Sentinel-2, Pléiades, Rapideye/Planetscope, Unmanned Airborne Vehicles)
 - Hardware improvements very fast both for satellites and computers (Google Earth Engine!) ...