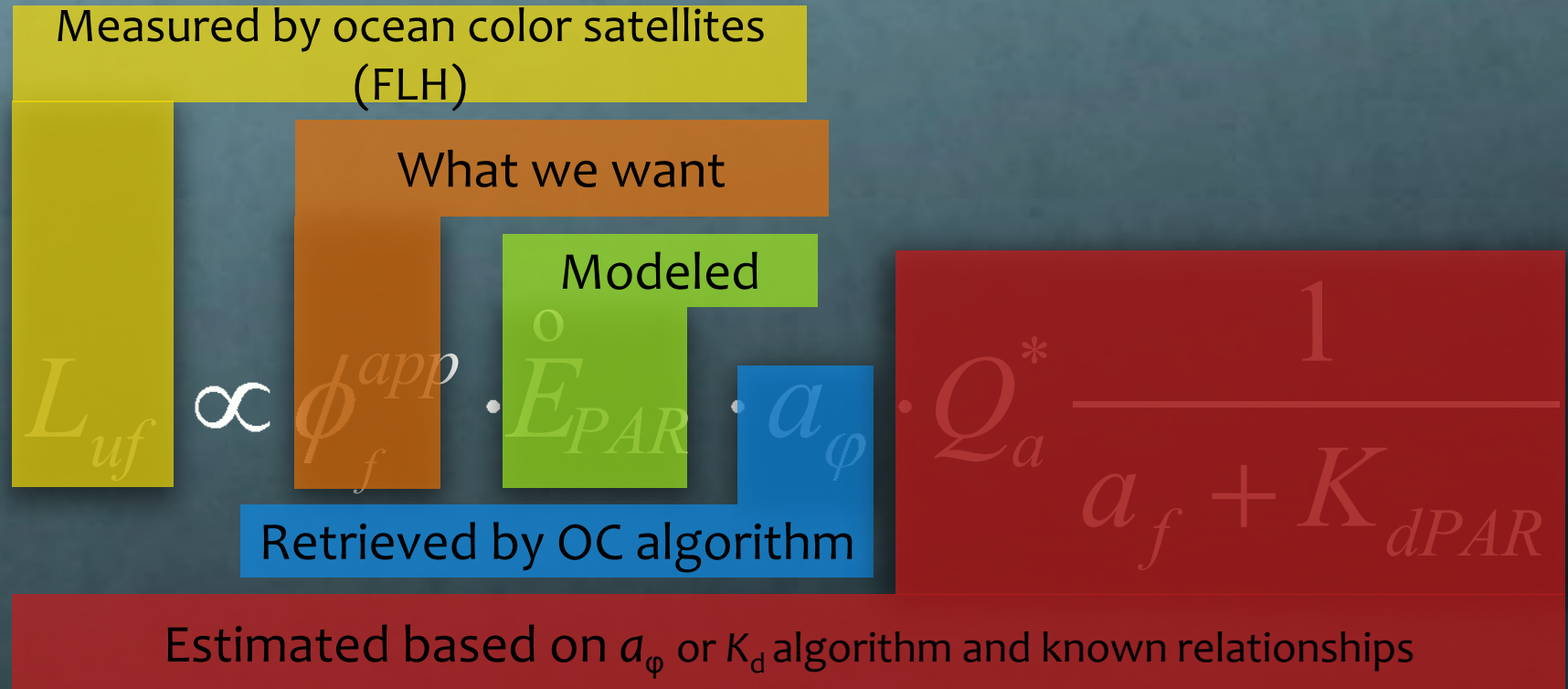


# Remote sensing of Sun-induced fluorescence.

## Part 2: from FLH to chl, $\Phi_f$ and beyond.

Yannick Huot  
Centre d'applications et de recherches en télédétection (CARTEL)  
Département de Géomatique  
Université de Sherbrooke

# How do current chl-based $\Phi_f$ satellite algorithms work?



Morel, A. and S. Maritorena (2001), *Journal of Geophysical Research* **106**(C4): 7163-7180.  
 Bricaud, A., M. Babin, et al. (1995). *Journal of Geophysical Research* **100**(C7): 13321-13332.

Original idea for this type of algorithm originates from : Babin, M, A Morel, and B Gentili. "Remote Sensing of Sea Surface Sun-induced Chlorophyll Fluorescence: Consequences of Natural Variations in the Optical Characteristics of Phytoplankton and the Quantum Yield of Chlorophyll a Fluorescence." *International Journal of Remote Sensing* 17, no. 12 (1996): 2417-2448

# In its simplest form

After a few assumptions a surprisingly compact form is obtained.

$$\phi_f^{app} = 0.68 \cdot 1.19 \cdot \frac{FLH_{nLw}}{Chl^{0.684}}$$

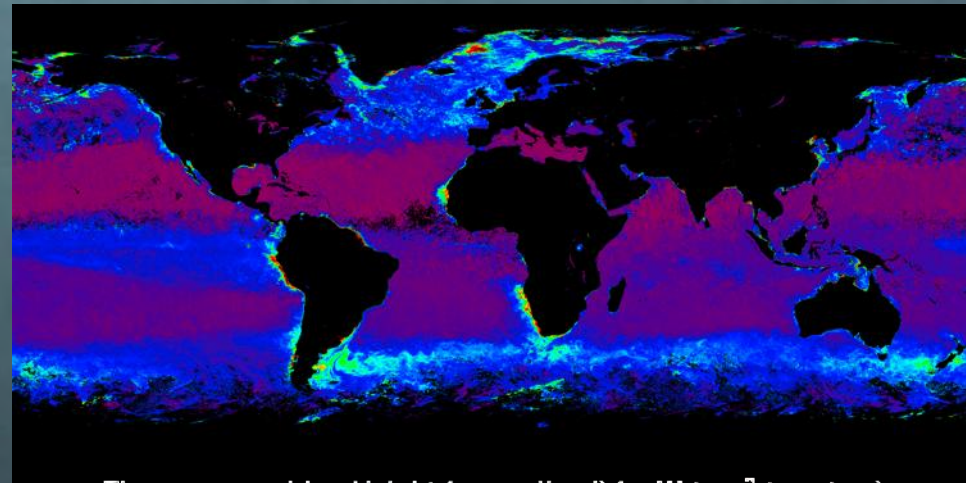
Where  $FLH_{nLw}$  is the FLH measured on the normalized water leaving radiance spectrum



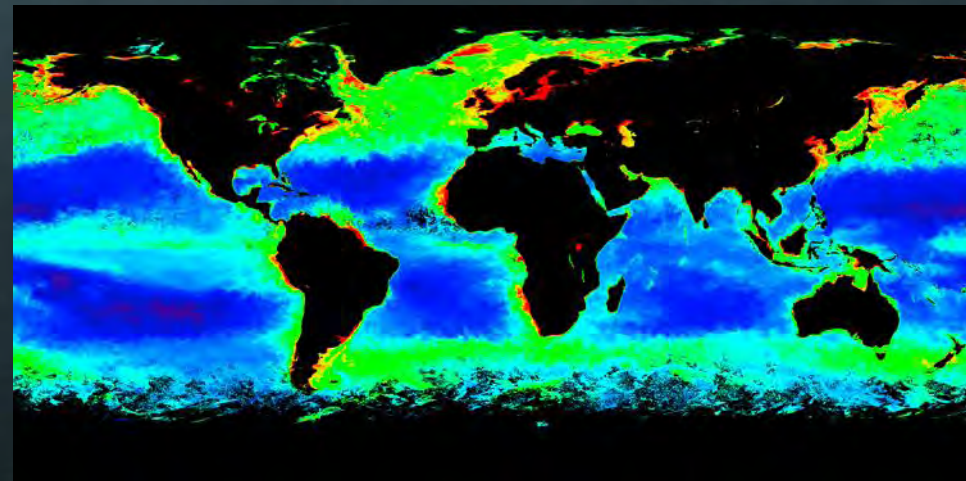
# Sun-induced fluorescence

Spring 2007

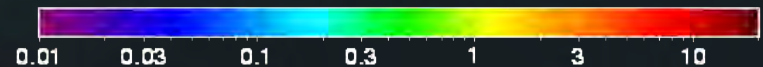
$FLH_{nLw}$  looks like chlorophyll



Fluorescence Line Height (normalized) (  $\text{mW} / \text{cm}^2 / \mu\text{m} / \text{sr}$  )



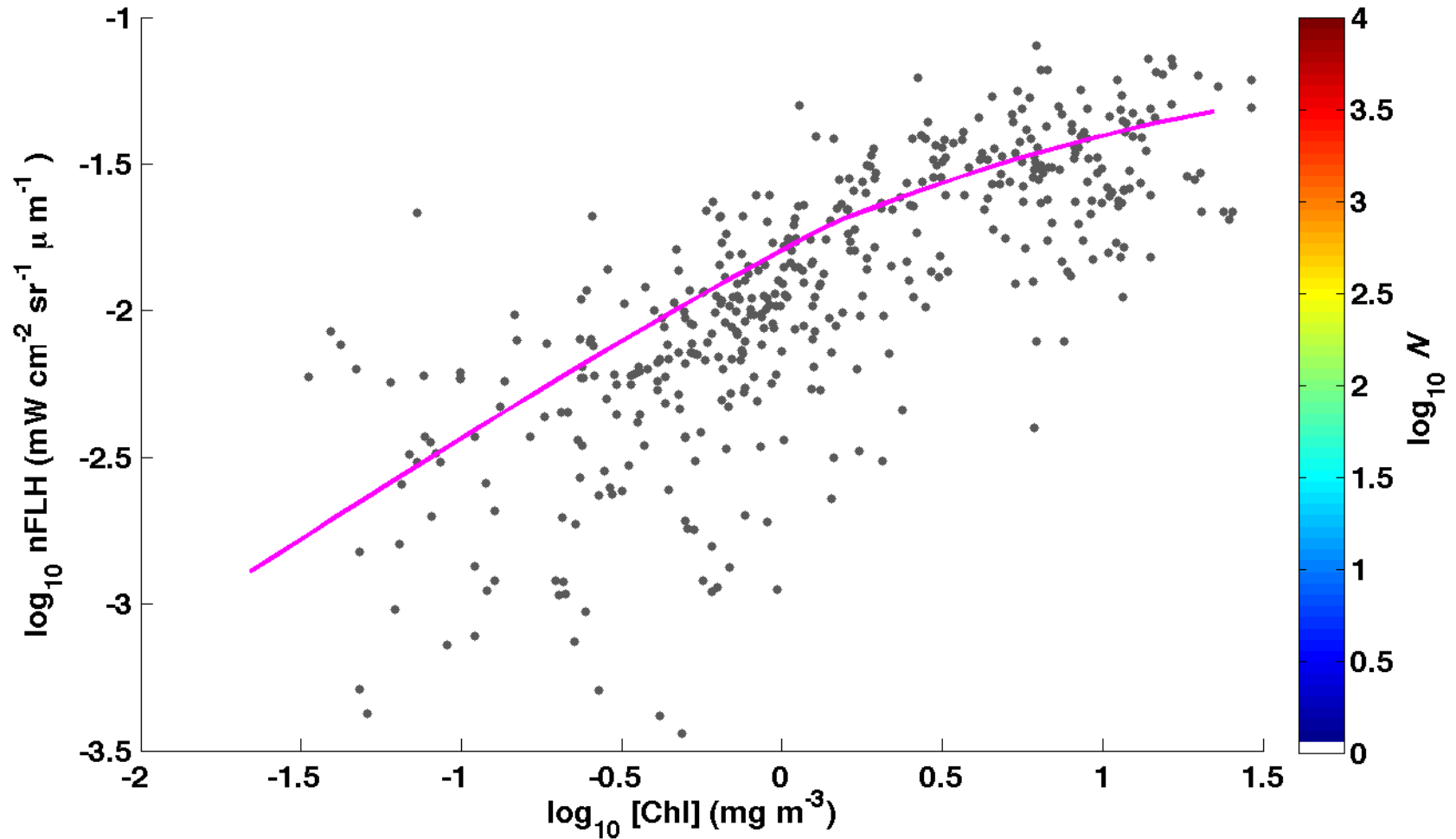
Chlorophyll a concentration (  $\text{mg} / \text{m}^3$  )



# A detour to look at Chl from FLH

$$Chl = \left[ 0.81 \cdot \frac{FLH_{nLw}}{\phi_f^{app}} \right]^{\frac{1}{0.684}}$$

# FLH<sub>Lwn</sub> vs chlorophyll



Not promising... but perhaps good enough in coastal waters

# Coastal waters



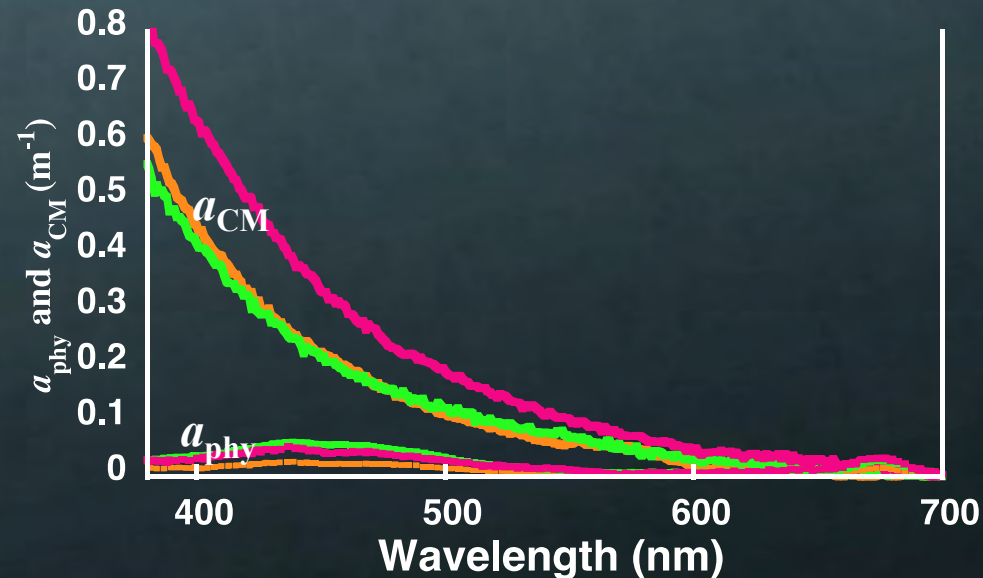
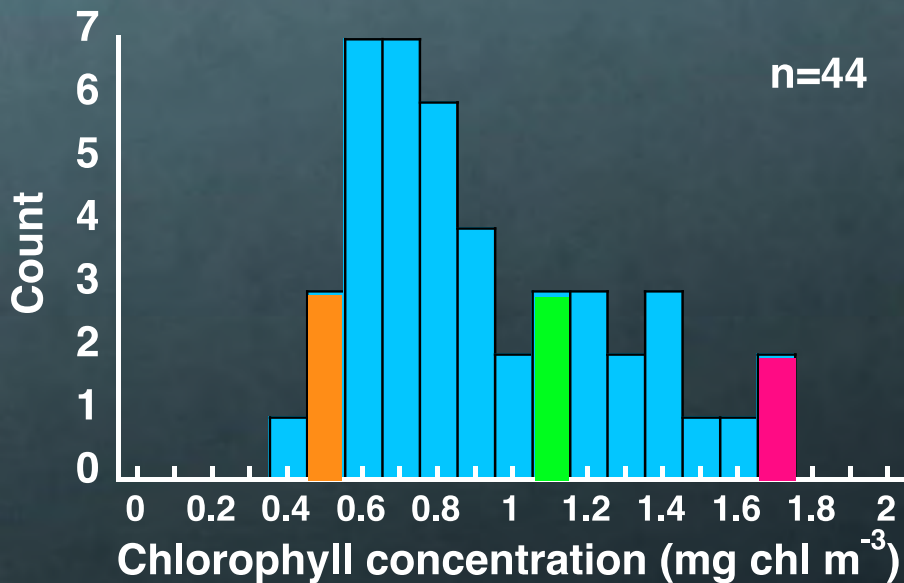
# Two challenges in Lunenburg Bay (Nova-Scotia, Canada)

Not much variability in the  
chlorophyll concentration  
(Factor  $\sim 4$ -5)

Algorithms using band ratios do not  
work

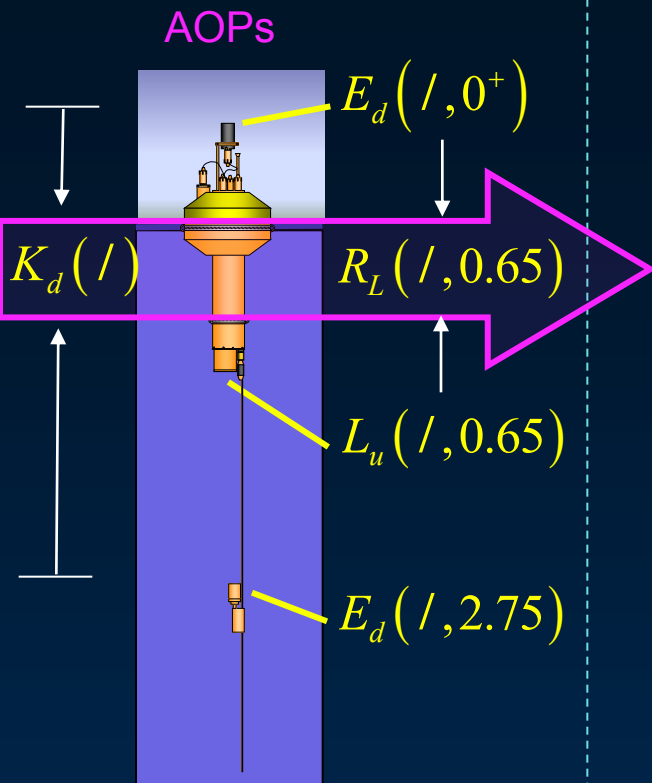
Surface chlorophyll at LMB1 buoy, May  
25 - Nov. 22, 2004

Phytoplankton absorption is small  
compared to CDOM absorption





## Measurements



## Forward and inverse models

Minimize the difference between model and measurements

$$K_d(I) - K_d^{\text{mod}}(I)$$

$$R_L(I) - R_L^{\text{mod}}(I)$$

By changing the amplitudes and shapes of the IOPs

To obtain

$$a_j, a_{\text{CDOM}}, b_b$$

Validation

Range of variability

Radiative transfer simulations

Creation of look up tables

## Simplified optical models

$$R_L^{\text{mod}} = R_{Lb}^{\text{mod}} + R_{Lf}^{\text{mod}}$$

$$K_d^{\text{mod}}$$

Analytical fluorescence model

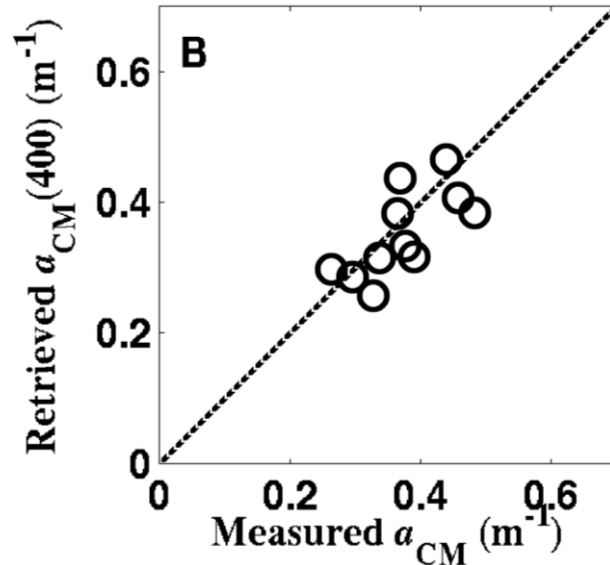
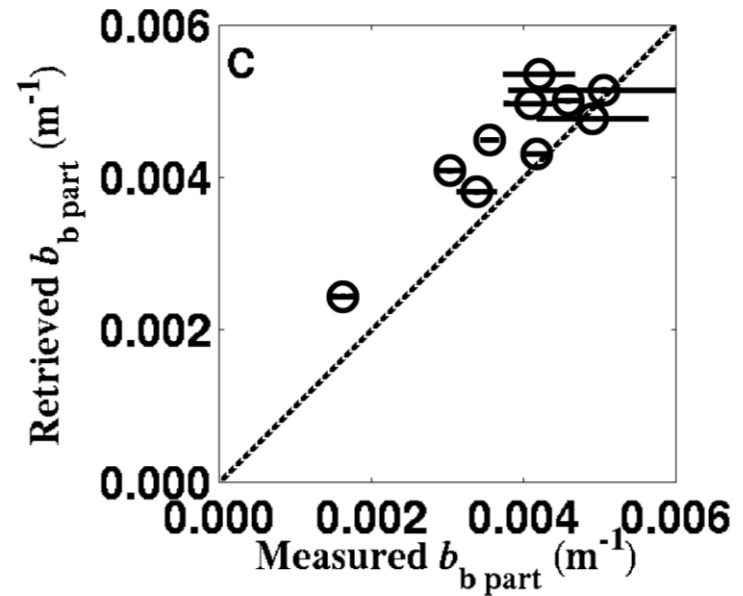
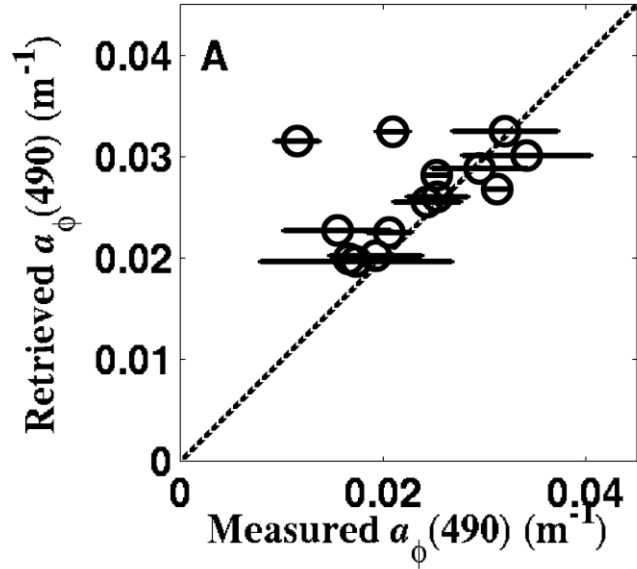
$$R_{Lf}^{\text{mod}}(I, 0.65, a, b_b, q_s, j)$$

+

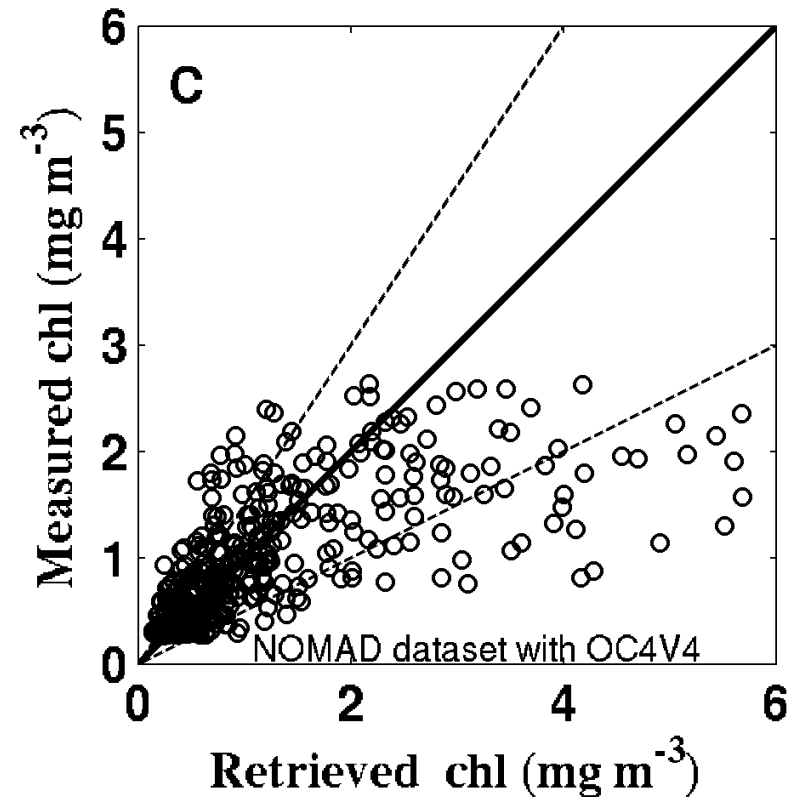
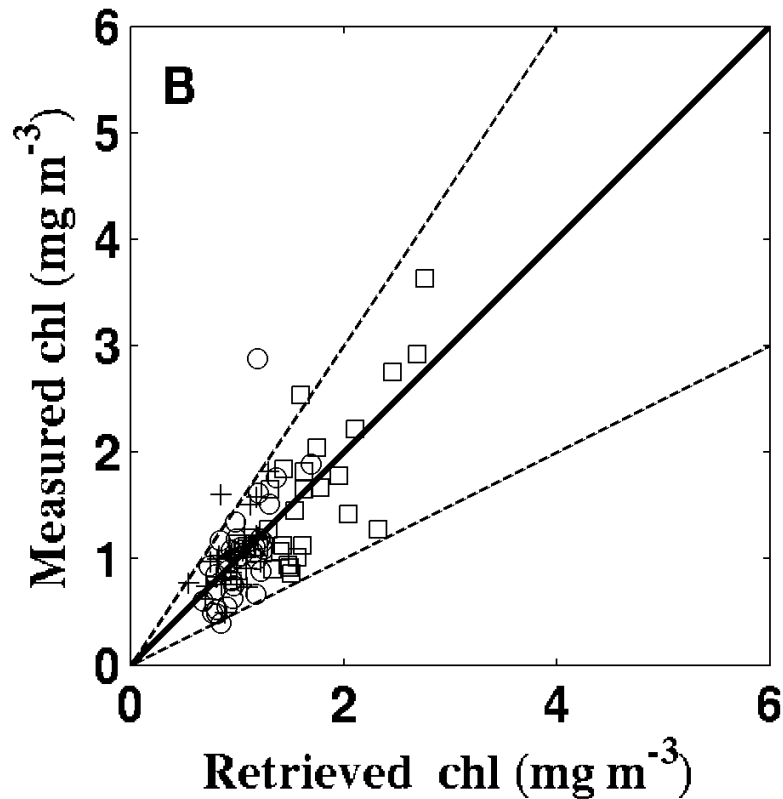
$$R_{Lb}^{\text{mod}}(I, 0.65, a, b_b, q_s)$$

$$K_d^{\text{mod}}(I, a, b_b, q_s)$$

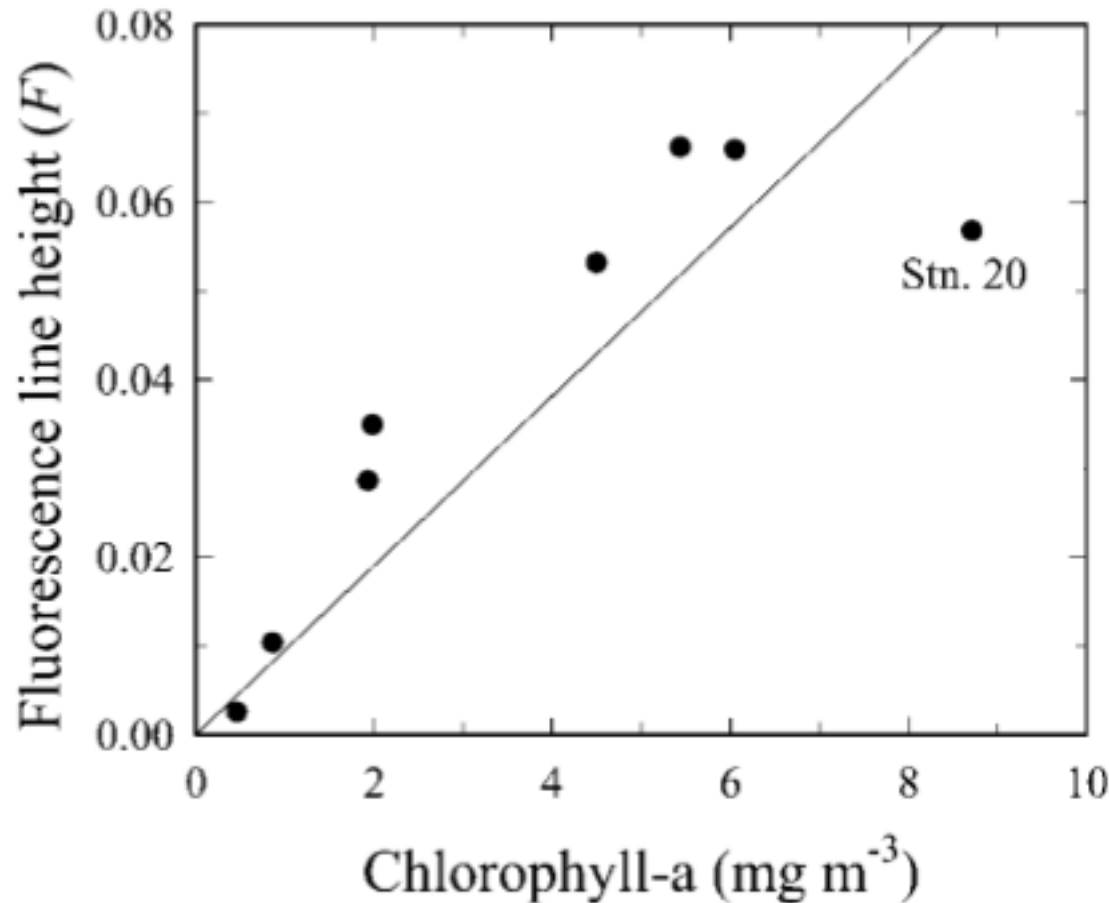
# Summer 2001 at one buoy



# Validation in 2004 for three buoys



# Similar results in Saanich inlet



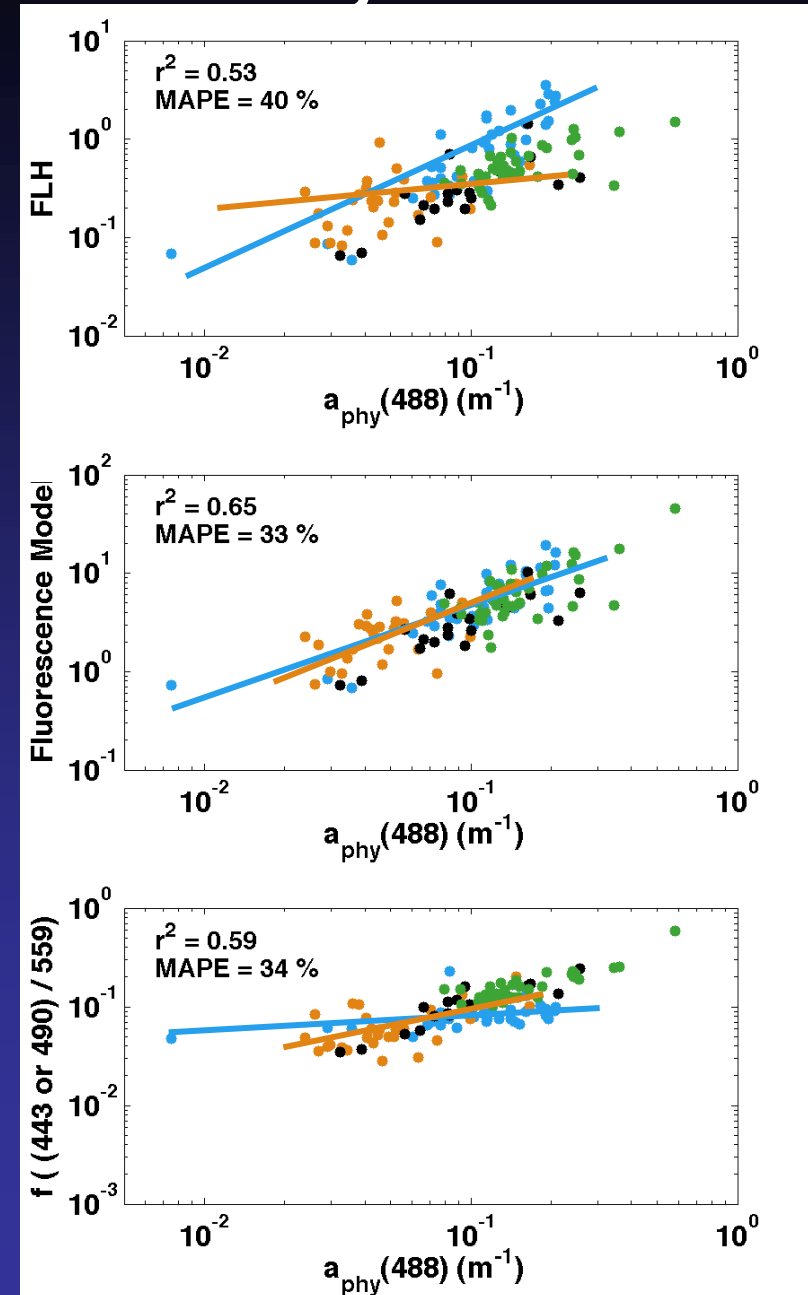


# Coastal waters only



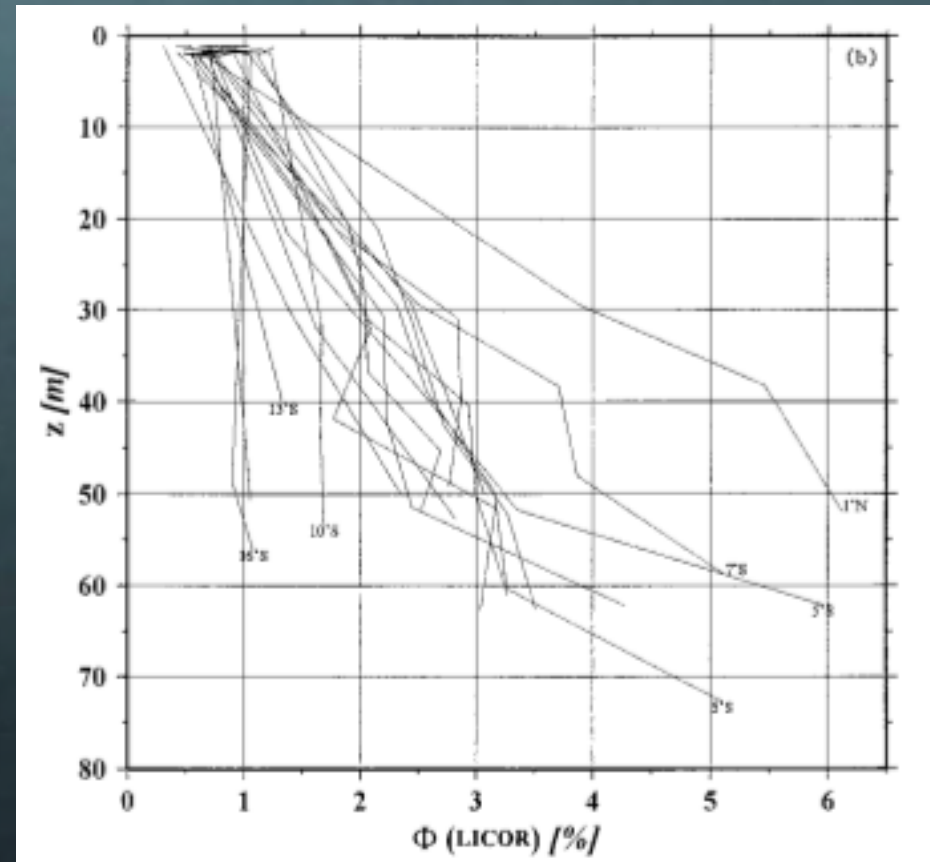
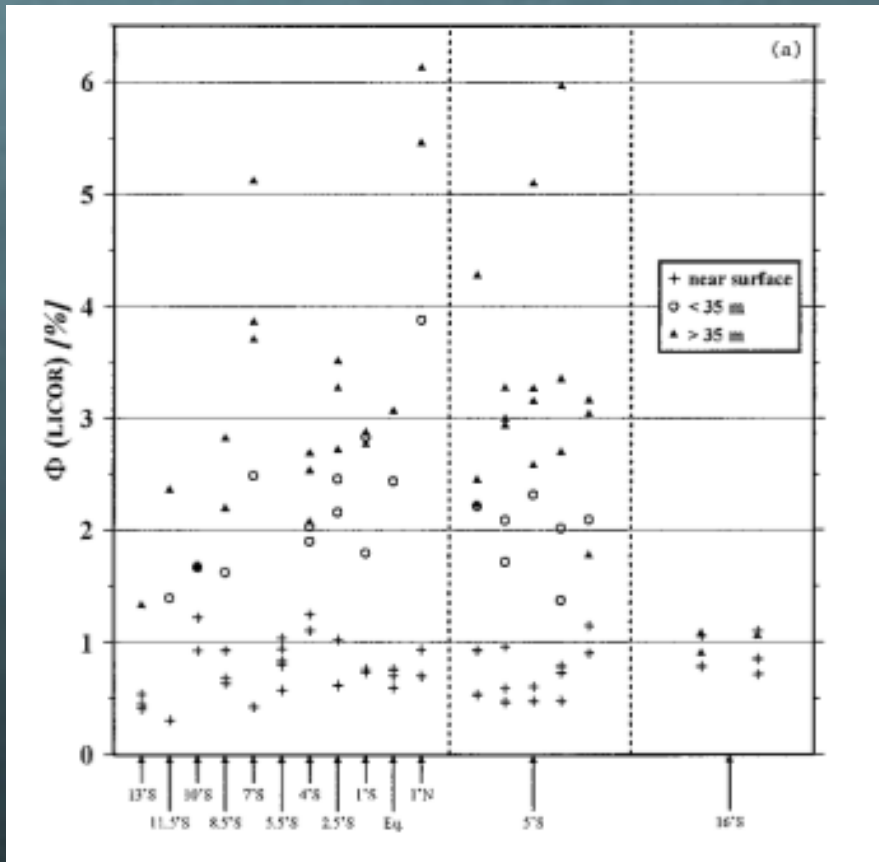
Similar or slightly better than a blue to green ratio algorithm

E. Devred is pursuing this work...



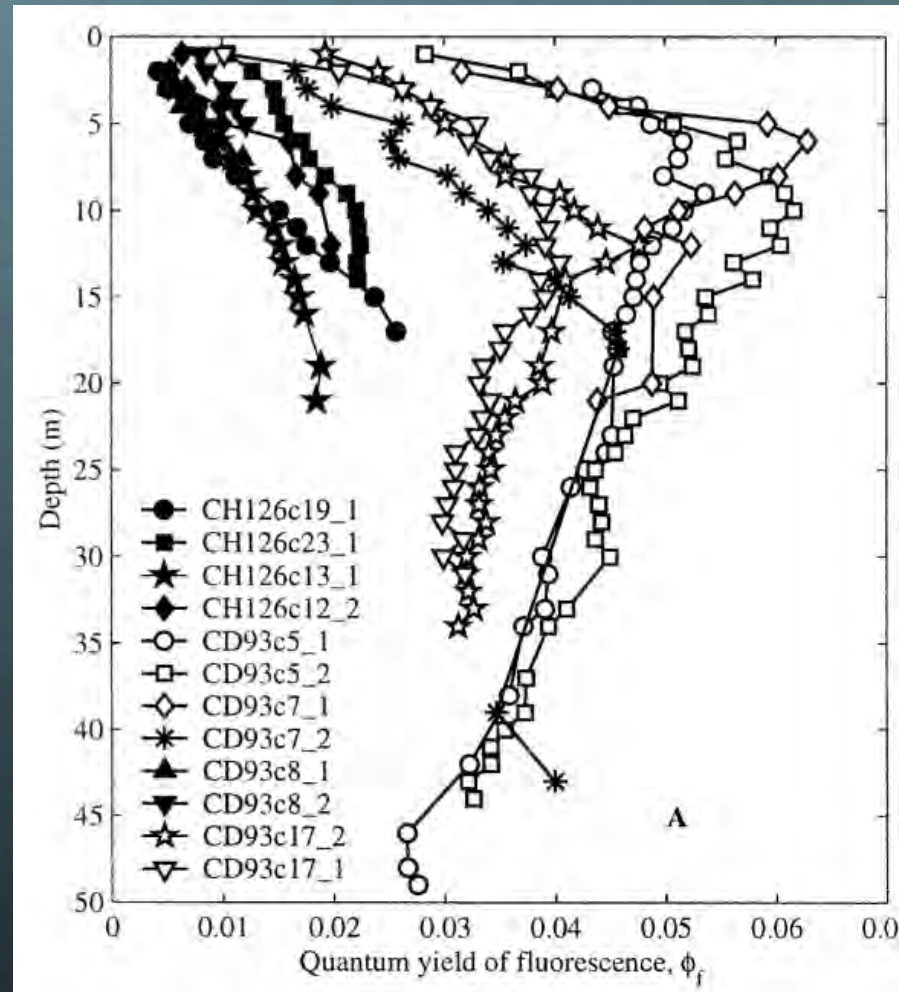
# The quantum yield of fluorescence

# In situ estimates



Maritorena, Stéphane, A Morel, and Bernard Gentili. "Determination of the Fluorescence Quantum Yield by Oceanic Phytoplankton in Their Natural Habitat." *Applied Optics* 39, no. 36 (2000): 6725-6737

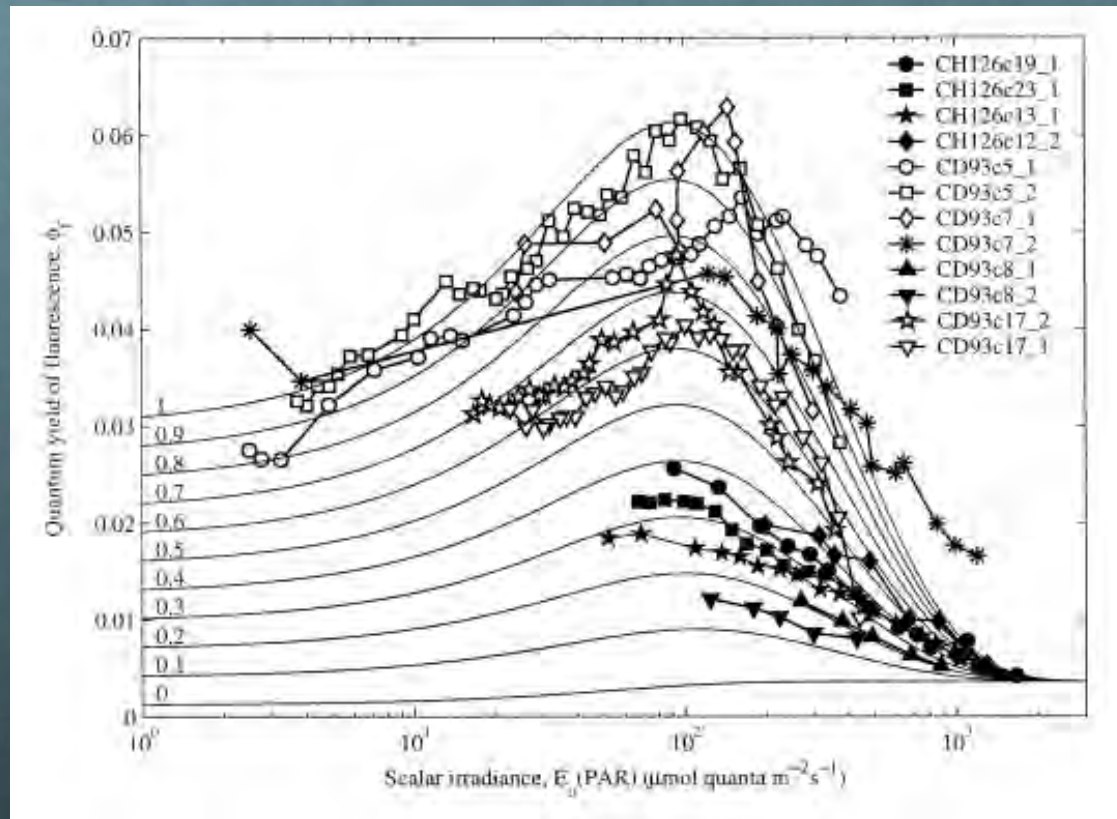
# Quantum yields vs depth



Morrison, J R. "In Situ Determination of the Quantum Yield of Phytoplankton Chlorophyll a Fluorescence: A Simple Algorithm, Observations, and a Model." *Limnology and Oceanography* 48, no. 2 (2003): 618-631



# Describing irradiance vs quantum yield function



$$f_f^{app} = \left( q_I e^{-\frac{\dot{E}}{E_T}} \right) \left( f_{f \min} A + f_{f \max} [1 - A] \right)$$

$$A = e^{-\frac{\dot{E}}{E_k}}$$

Version of the model adopted in :Morrison, J R, and D S Goodwin.  
 "Phytoplankton Photocompensation From Space-based Fluorescence  
 Measurements." *Geophysical Research Letters* 37, no. 6 (2010):  
 doi:10.1029/2009GL041799

# Comparison of QY descriptions

From Part 1:

$$\begin{aligned}\phi_f^{app} &= \phi_f \frac{{}^{PS}\bar{a}_{PSII}}{\left({}^{PS}\bar{a}_{PSII} + {}^{PS}\bar{a}_{PSI} + {}^{PP}\bar{a}_{PSII} + {}^{PP}\bar{a}_{PSI}\right)} \\ &= \frac{k_f}{k_f + Ak_p + k_H + Zk_{NPQ} + Ck_{qI}} \frac{{}^{PS}\bar{a}_{PSII}}{\left({}^{PS}\bar{a}_{PSII} + {}^{PS}\bar{a}_{PSI} + {}^{PP}\bar{a}_{PSII} + {}^{PP}\bar{a}_{PSI}\right)}\end{aligned}$$

From Morrison :

$$\phi_f = \left(q_I e^{-\dot{E}/E_T}\right) \left(\phi_{f\min} A + \phi_{f\max} [1 - A]\right)$$

$$A = e^{-\dot{E}/E_k}$$

# Comparison of QY descriptions

From Part 1:

$$\begin{aligned}
 f_f^{app} &= f_f \frac{{}^{PS}\bar{a}_{PSII}}{\left( {}^{PS}\bar{a}_{PSII} + {}^{PS}\bar{a}_{PSI} + {}^{PP}\bar{a}_{PSII} + {}^{PP}\bar{a}_{PSI} \right)} \\
 &= \frac{k_f}{k_f + A k_p + k_H + Z k_{NPQ} + C k_{qI}} \frac{{}^{PS}\bar{a}_{PSII}}{\left( {}^{PS}\bar{a}_{PSII} + {}^{PS}\bar{a}_{PSI} + {}^{PP}\bar{a}_{PSII} + {}^{PP}\bar{a}_{PSI} \right)}
 \end{aligned}$$

From Morrison :

$$\begin{aligned}
 f_f &= \left( q_I e^{-\dot{E}/E_T} \right) \left( f_{f\min} A + f_{f\max} [1 - A] \right) \\
 &\neq f_f^{app} \qquad A = e^{-\dot{E}/E_k}
 \end{aligned}$$

Morrison described the variability in the inherent quantum yield not in the apparent quantum yield of fluorescence

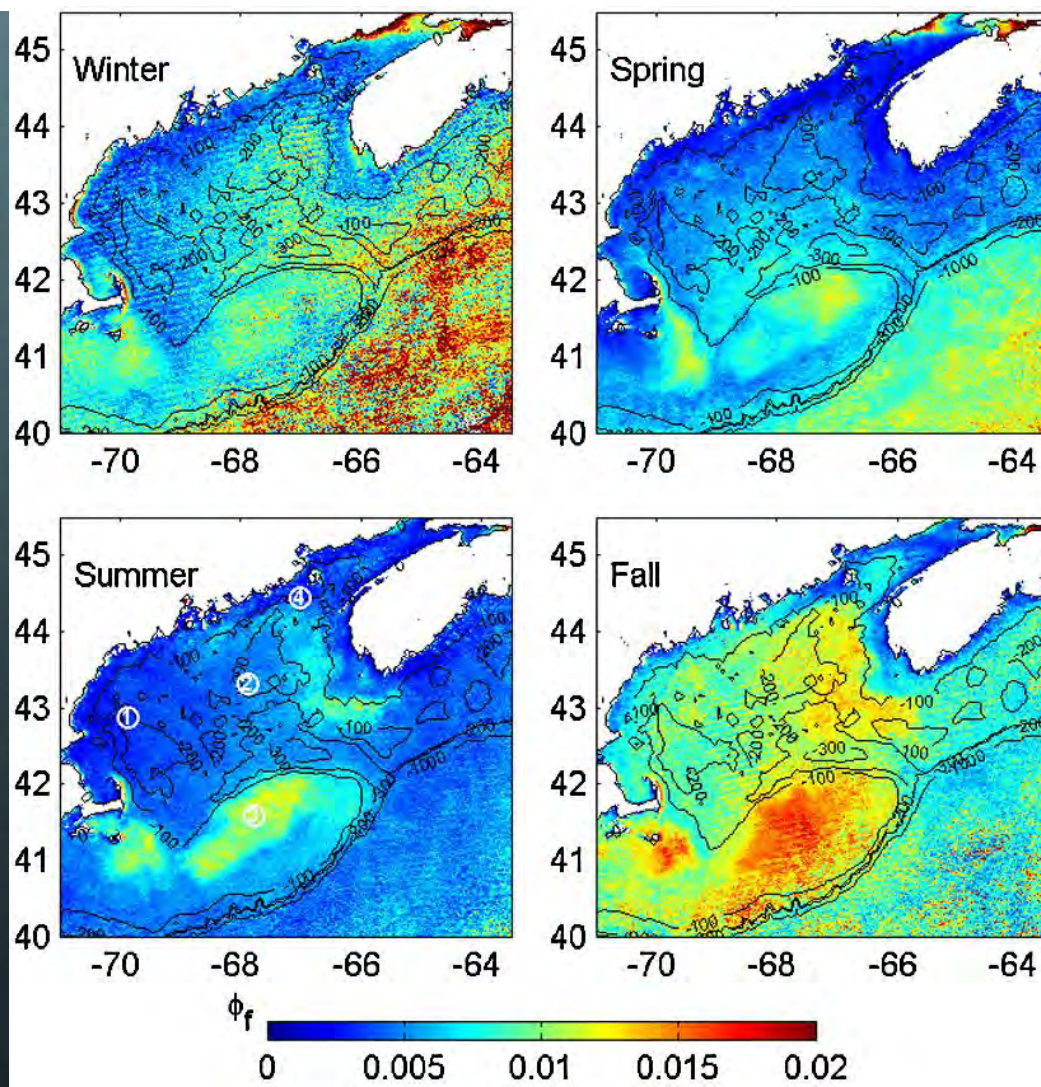
# Interpreting variability in $\Phi_f$



Click

# Phytoplankton photocompensation from space-based fluorescence measurements

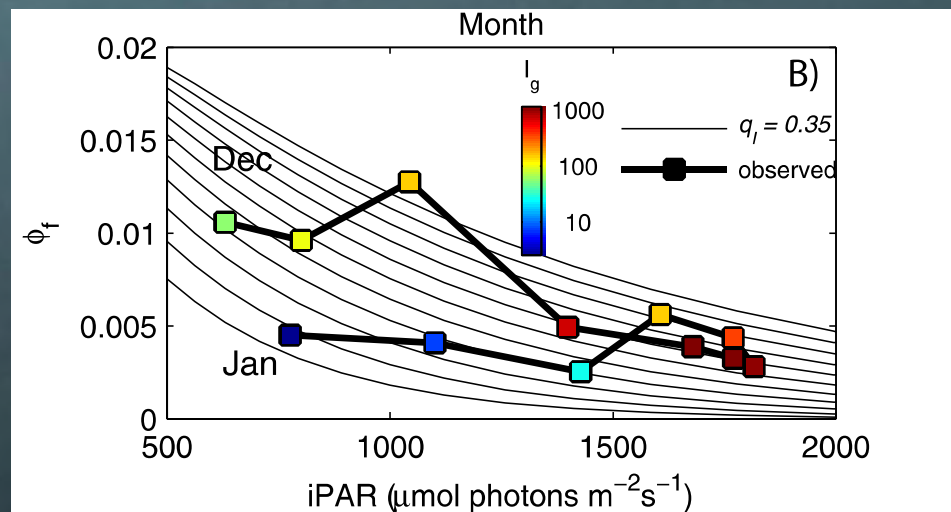
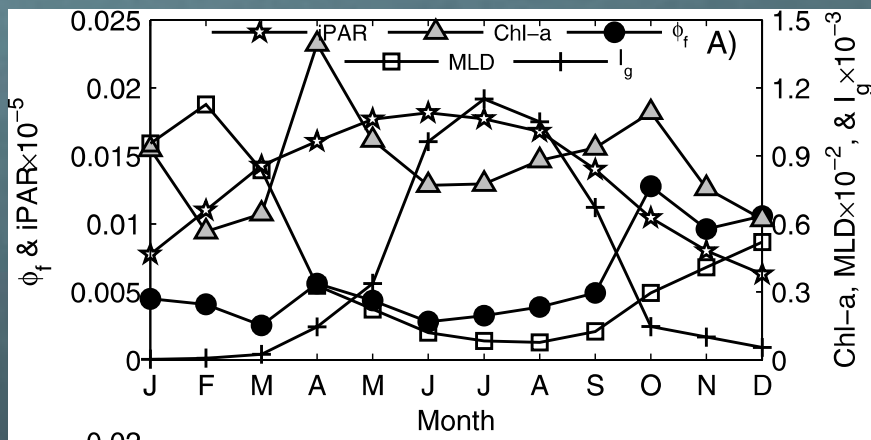
J. Ruairidh Morrison<sup>1</sup> and Deborah S. Goodwin<sup>2</sup>



Click

# Phytoplankton photocompensation from space-based fluorescence measurements

J. Ruairidh Morrison<sup>1</sup> and Deborah S. Goodwin<sup>2</sup>



$$f_f = \left( q_l e^{-\frac{\dot{E}}{E_T}} \right) \left( f_{f \min} A + f_{f \max} \left[ \frac{1-A}{1} \right] \right)$$

$$A = e^{-\frac{\dot{E}}{E_k}}$$

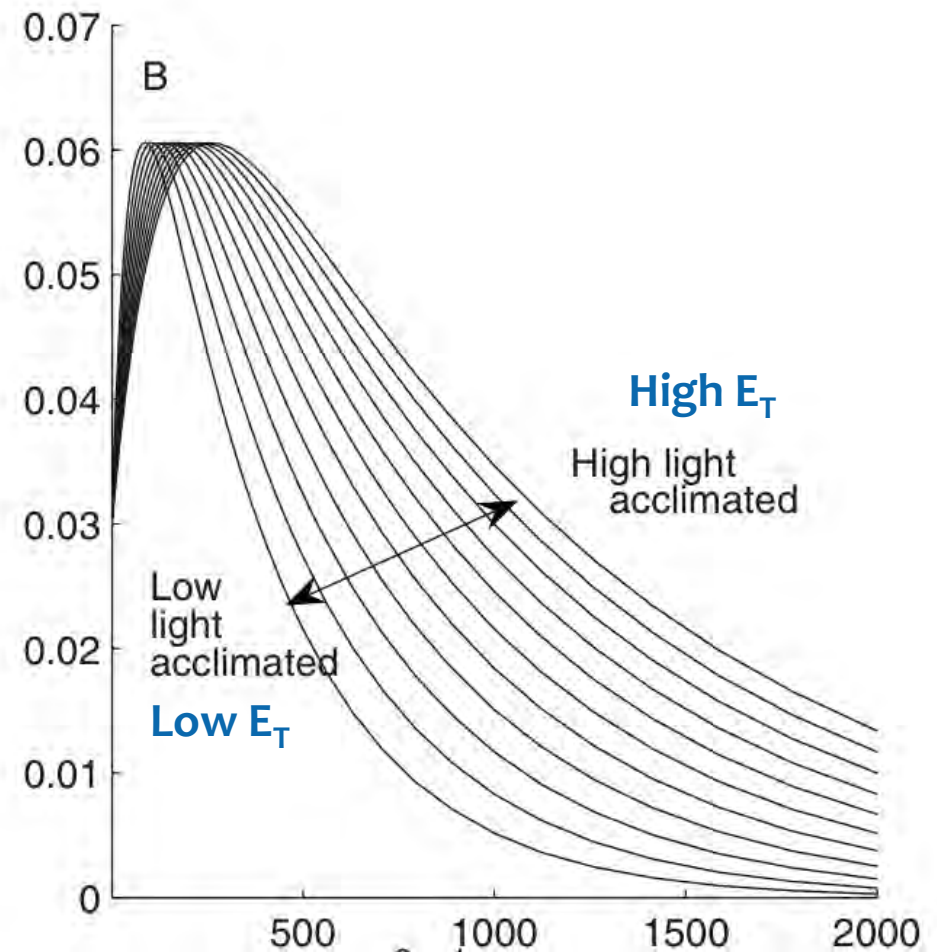
Assume  $\dot{E} \gg E_k$  leads to  $A=0$   
 Assume  $q_l$  is constant = 0.35

Click

# Phytoplankton photocompensation from space-based fluorescence measurements

J. Ruairidh Morrison<sup>1</sup> and Deborah S. Goodwin<sup>2</sup>

$$\phi_f = 0.032 e^{-\dot{E}/E_T}$$

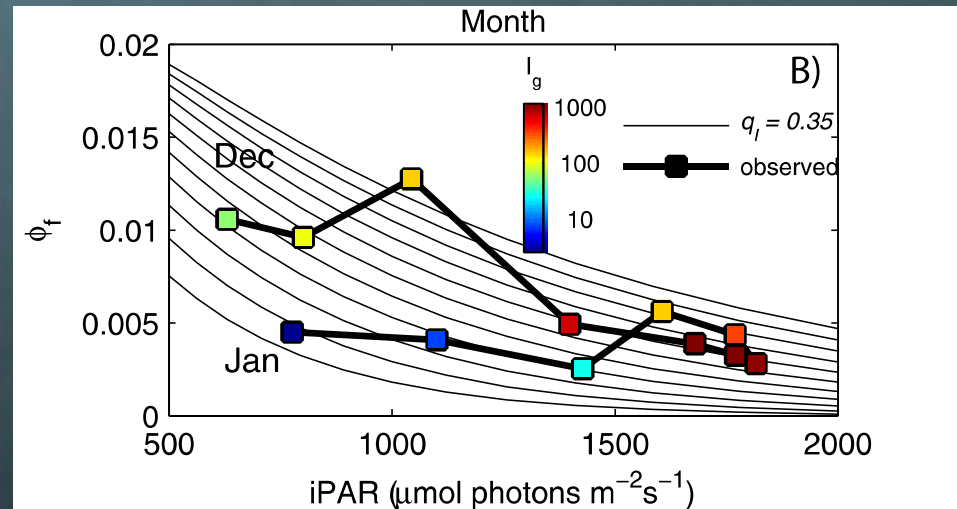
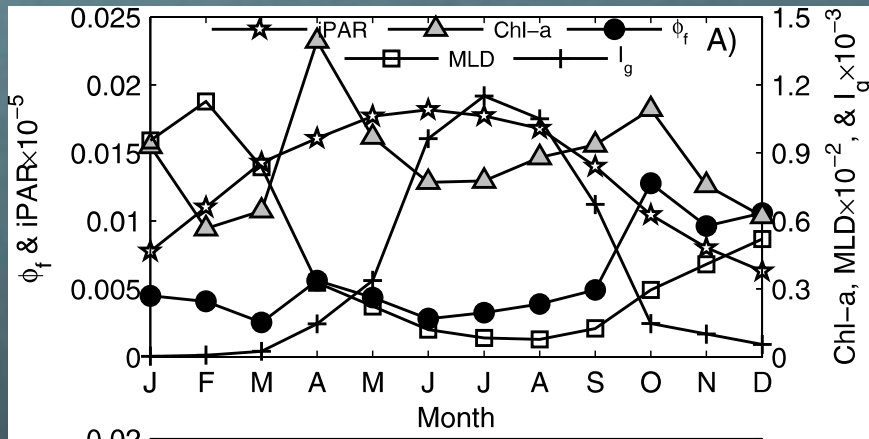




Click

# Phytoplankton photocompensation from space-based fluorescence measurements

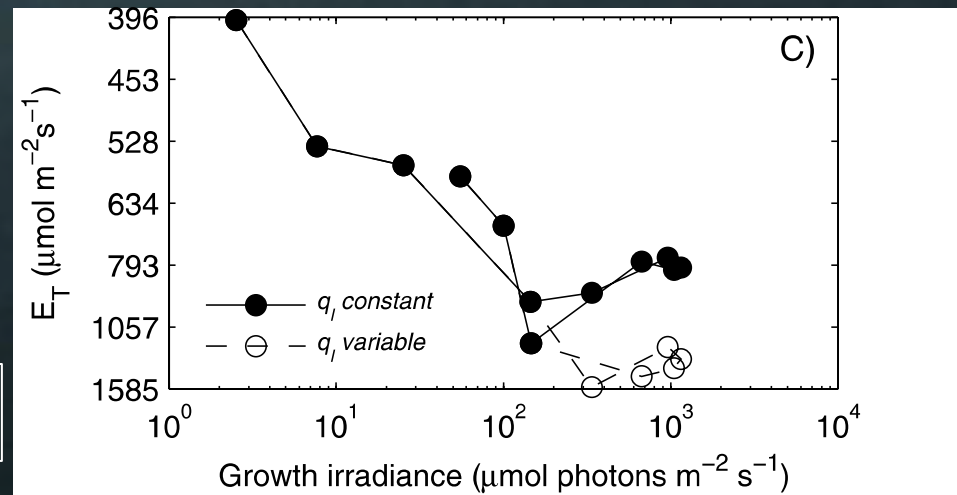
J. Ruairidh Morrison<sup>1</sup> and Deborah S. Goodwin<sup>2</sup>



$$\phi_f = 0.032 e^{-\dot{E}/E_T}$$

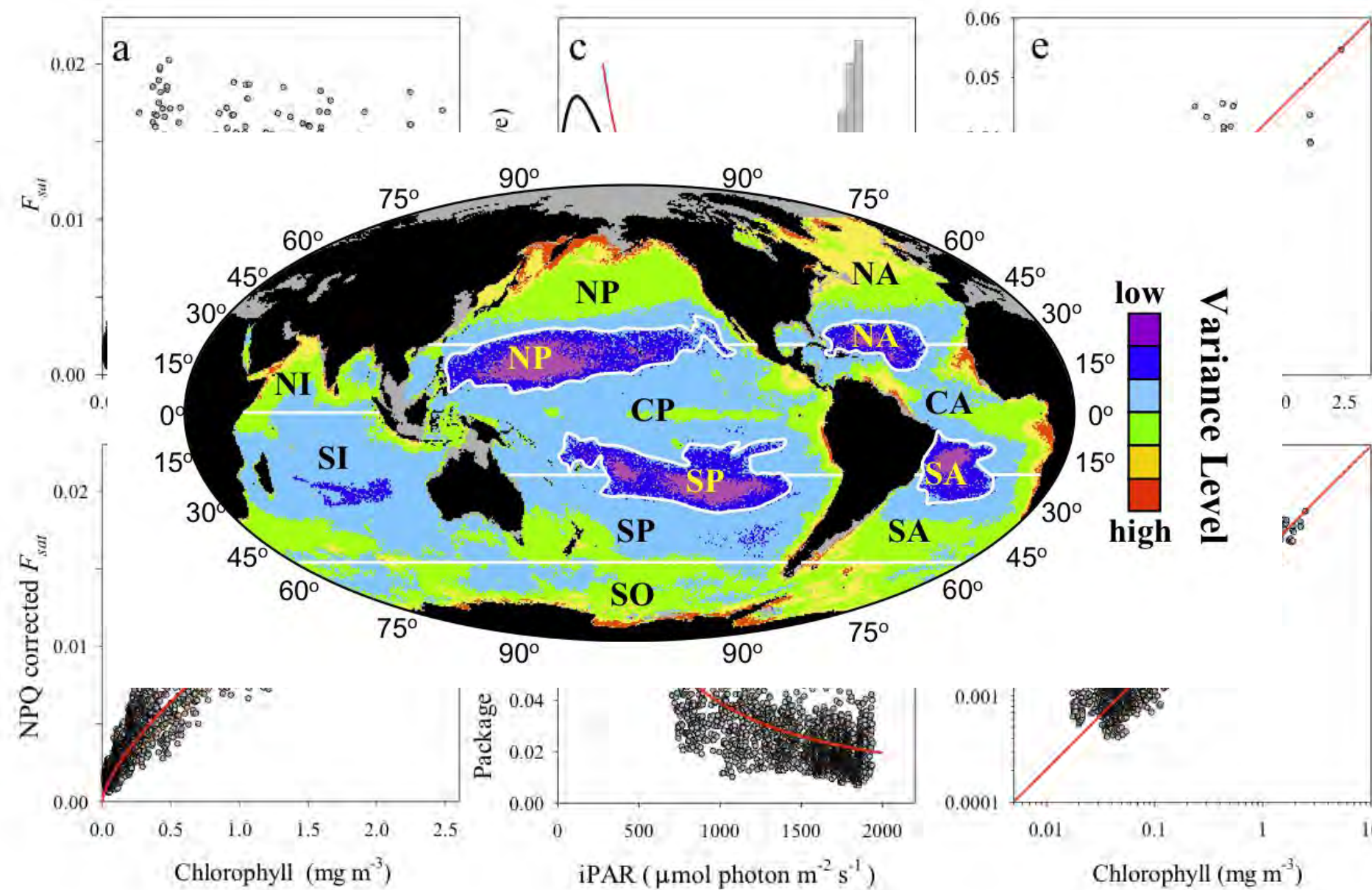
This allows them to retrieve  $E_T$ .

$E_T$  varies with growth irradiance

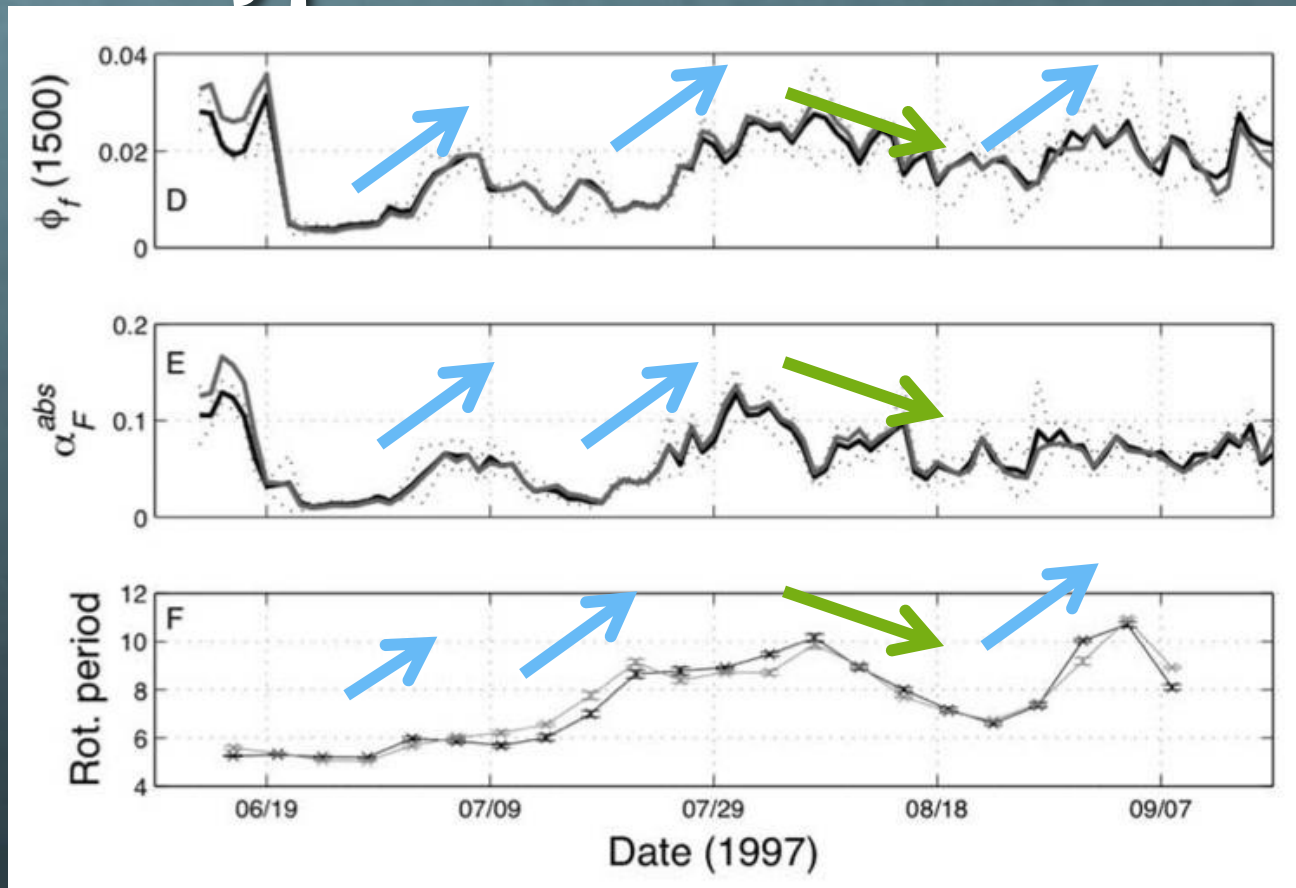




# Satellite-detected fluorescence reveals global physiology of ocean



# Similar results, a different hypothesized cause

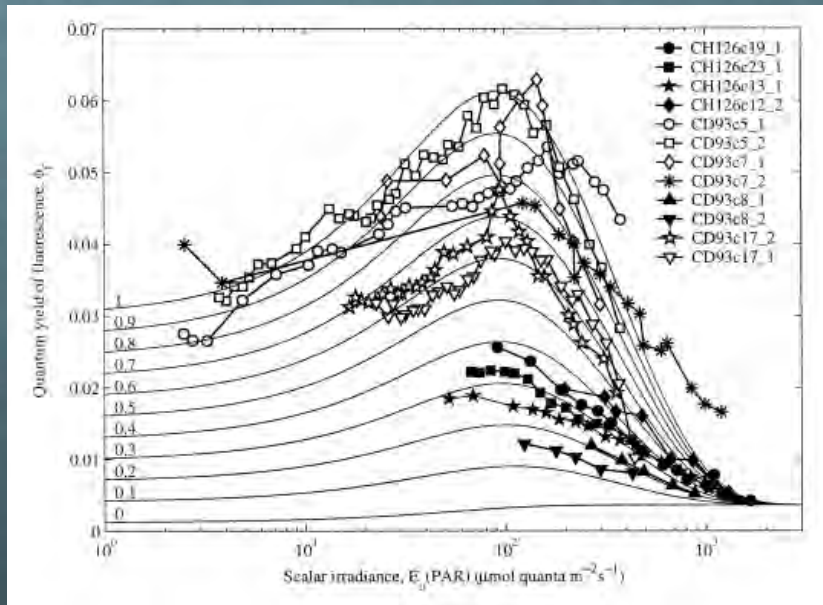


When the eddy slows ([period increases](#))  $q_l$  quenching is reduced by nutrient stress and this leads to [an increase](#) in  $\Phi_f$ .

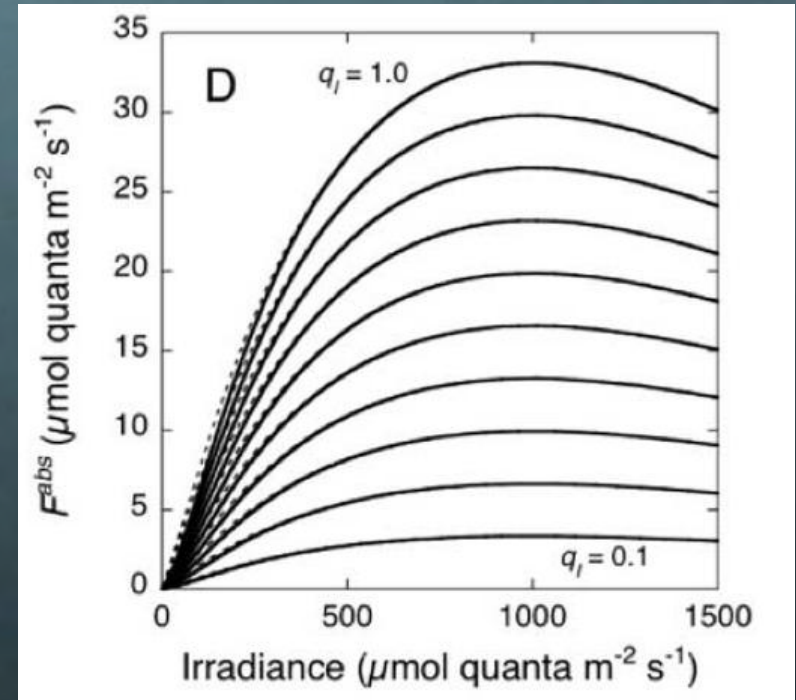
Schallenberg, Christina, M R Lewis, D E Kelley, and J J Cullen. "The Inferred Influence of Nutrient Availability on the Relationship Between Sun-induced Fluorescence and Incident Irradiance in the Bering Sea." *Journal of Geophysical Research* 113 (2008): doi:10.1029/2007JC004355



# One current hypothesis: reduced ql quenching or downregulation under nutrient stress accounts for most of the variability?



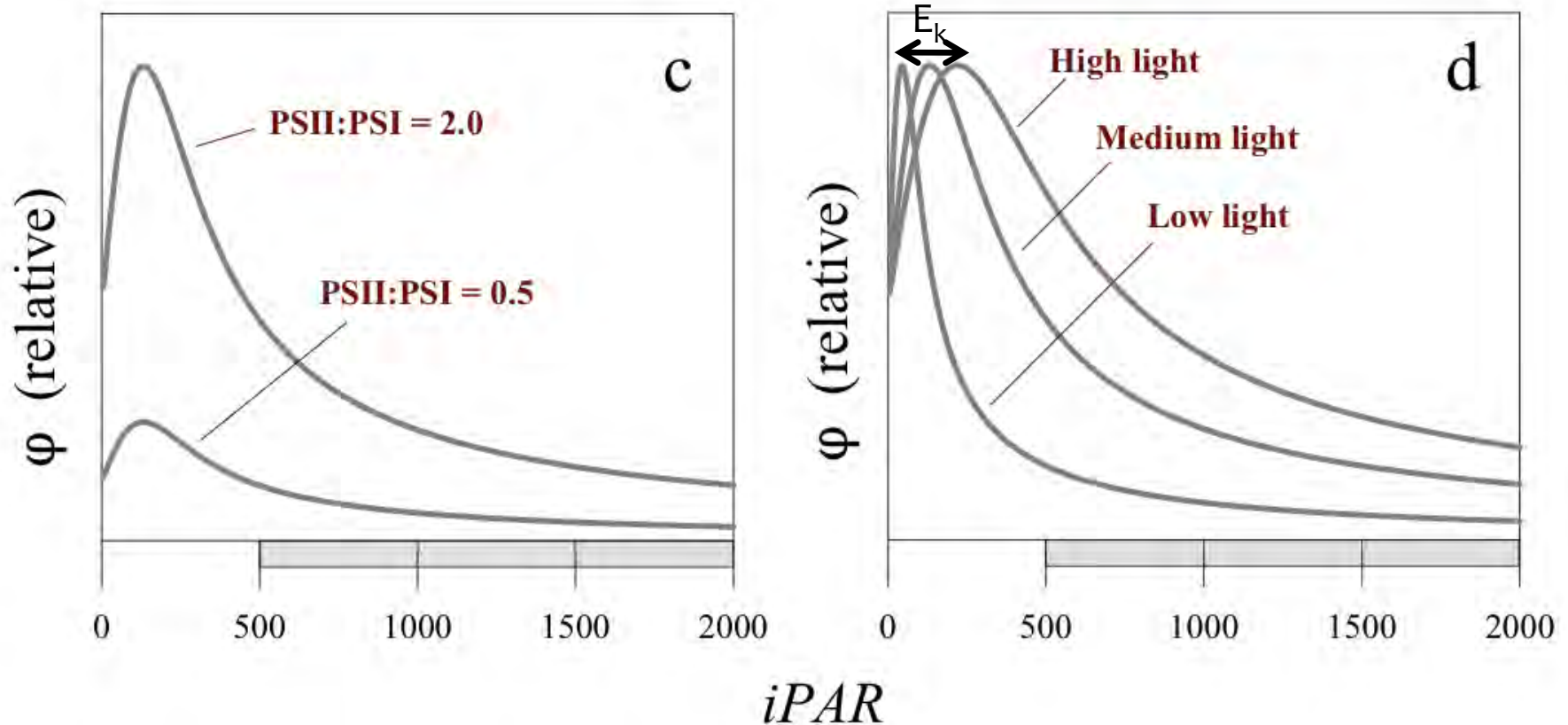
Morrison, J R. "In Situ Determination of the Quantum Yield of Phytoplankton Chlorophyll a Fluorescence: A Simple Algorithm, Observations, and a Model." *Limnology and Oceanography* 48, no. 2 (2003): 618-631



Schallenberg, C, M R Lewis, D E Kelley, and J J Cullen. "The Inferred Influence of Nutrient Availability on the Relationship Between Sun-Induced Fluorescence and Incident Irradiance in the Bering Sea." *Journal of Geophysical Research* 113 (2008): doi:10.1029/2007JC004355

# Satellite-detected fluorescence reveals global physiology of ocean phytoplankton

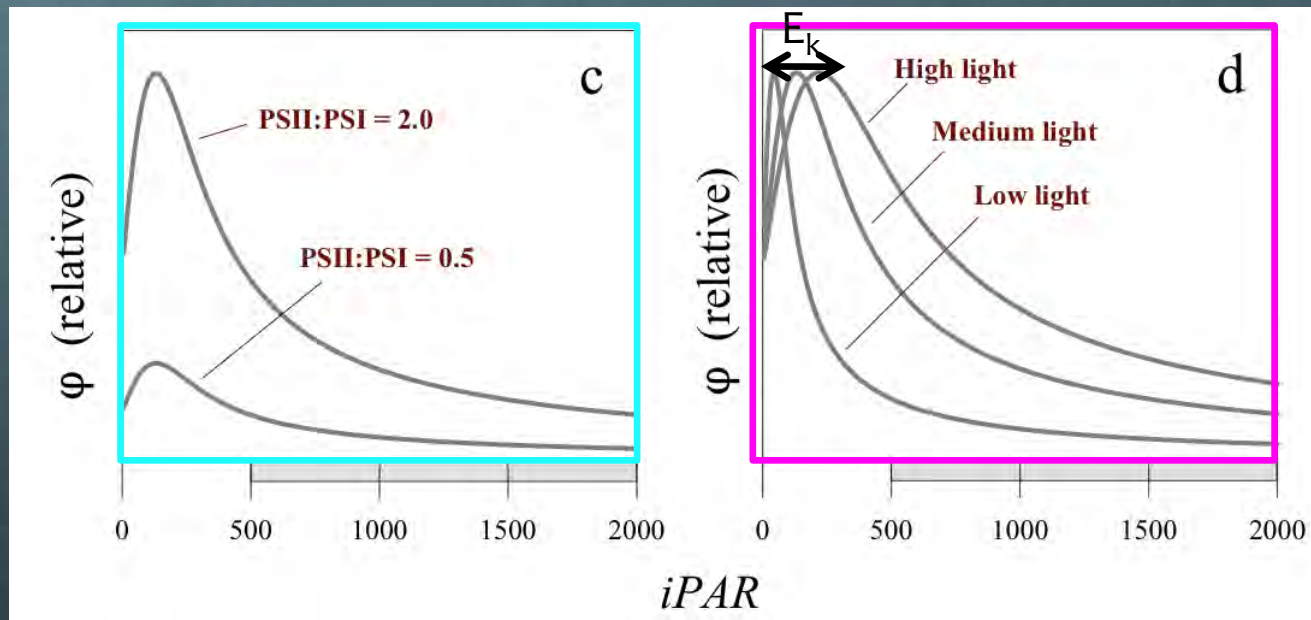
M. J. Behrenfeld<sup>1</sup>, T. K. Westberry<sup>1</sup>, E. S. Boss<sup>2</sup>, R. T. O'Malley<sup>1</sup>, D. A. Siegel<sup>3</sup>, J. D. Wiggert<sup>4</sup>, B. A. Franz<sup>5</sup>, C. R. McClain<sup>5</sup>, G. C. Feldman<sup>5</sup>, S. C. Doney<sup>6</sup>, J. K. Moore<sup>7</sup>, G. Dall'Olmo<sup>1</sup>, A. J. Milligan<sup>1</sup>, I. Lima<sup>6</sup>, and N. Mahowald<sup>8</sup>





# Satellite-detected fluorescence reveals global physiology of ocean phytoplankton

M. J. Behrenfeld<sup>1</sup>, T. K. Westberry<sup>1</sup>, E. S. Boss<sup>2</sup>, R. T. O'Malley<sup>1</sup>, D. A. Siegel<sup>3</sup>, J. D. Wiggert<sup>4</sup>, B. A. Franz<sup>5</sup>, C. R. McClain<sup>5</sup>, G. C. Feldman<sup>5</sup>, S. C. Doney<sup>6</sup>, J. K. Moore<sup>7</sup>, G. Dall'Olmo<sup>1</sup>, A. J. Milligan<sup>1</sup>, I. Lima<sup>6</sup>, and N. Mahowald<sup>8</sup>

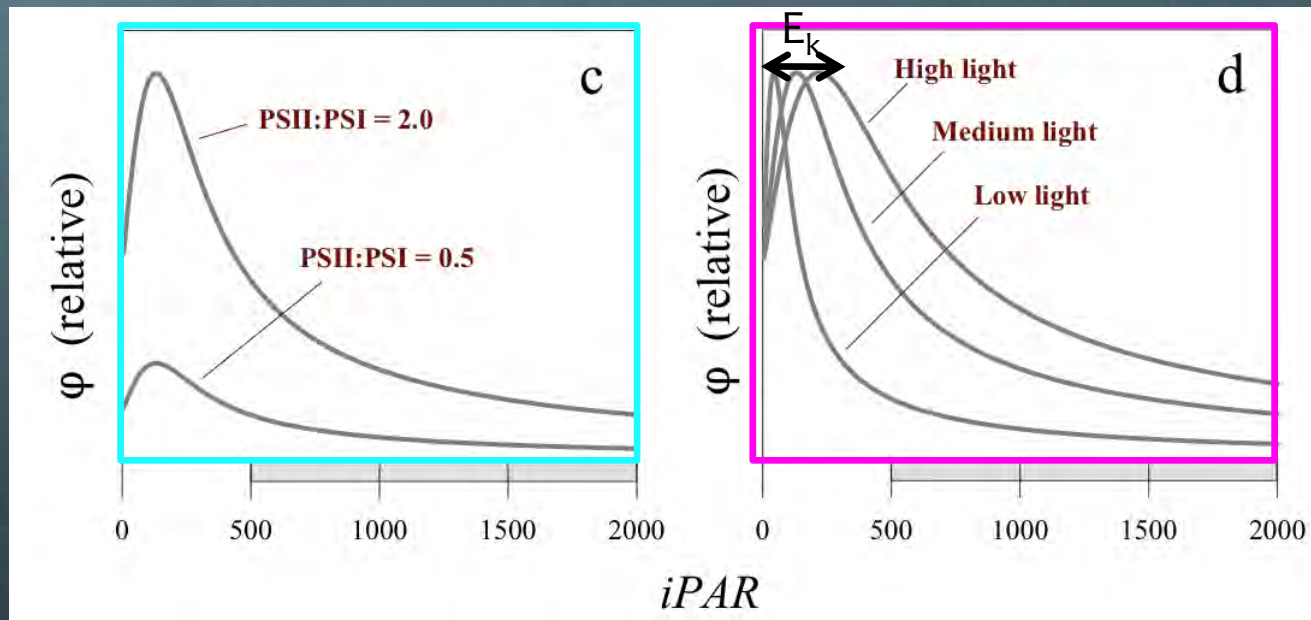


$$f_f^{app} = \frac{k_f}{k_f + A k_p + k_H + Z k_{NPQ} + C k_{ql}} \left( \frac{PS \bar{a}_{PSII}}{PS \bar{a}_{PSII} + PS \bar{a}_{PSI} + PP \bar{a}_{PSII} + PP \bar{a}_{PSI}} \right)$$

In the text they also discuss how iron stress could decrease  $ql$  and increase the PSII photosynthetic absorption cross-section (in high macronutrient regions).

# Satellite-detected fluorescence reveals global physiology of ocean phytoplankton

M. J. Behrenfeld<sup>1</sup>, T. K. Westberry<sup>1</sup>, E. S. Boss<sup>2</sup>, R. T. O'Malley<sup>1</sup>, D. A. Siegel<sup>3</sup>, J. D. Wiggert<sup>4</sup>, B. A. Franz<sup>5</sup>, C. R. McClain<sup>5</sup>, G. C. Feldman<sup>5</sup>, S. C. Doney<sup>6</sup>, J. K. Moore<sup>7</sup>, G. Dall'Olmo<sup>1</sup>, A. J. Milligan<sup>1</sup>, I. Lima<sup>6</sup>, and N. Mahowald<sup>8</sup>

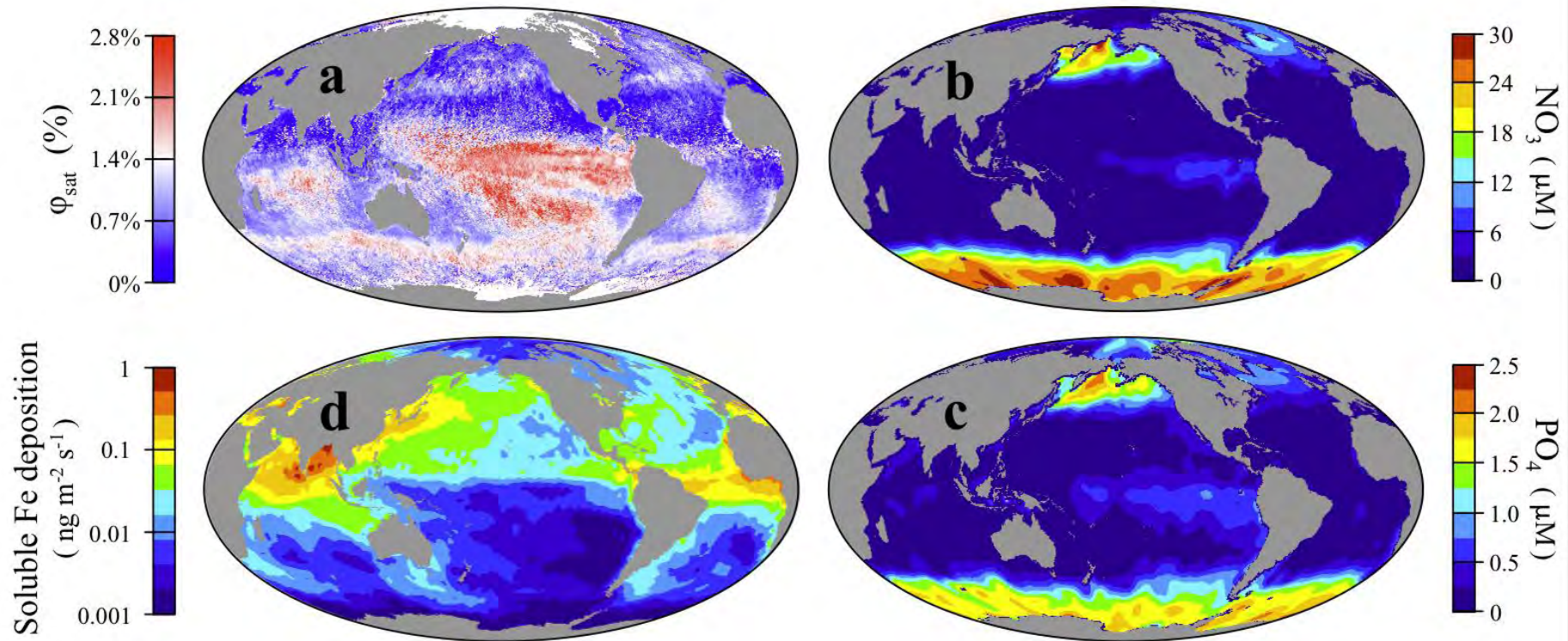


$$f_f^{app} = \frac{k_f}{k_f + A k_p + k_H + Z k_{NPQ} + C k_{ql}} \left( \frac{PS \bar{a}_{PSII}}{PS \bar{a}_{PSII} + PS \bar{a}_{PSI} + PP \bar{a}_{PSII} + PP \bar{a}_{PSI}} \right)$$

In summary, according to them, whatever the process, **iron stress is expected to increase the apparent quantum yield of fluorescence.**

# Satellite-detected fluorescence reveals global physiology of ocean phytoplankton

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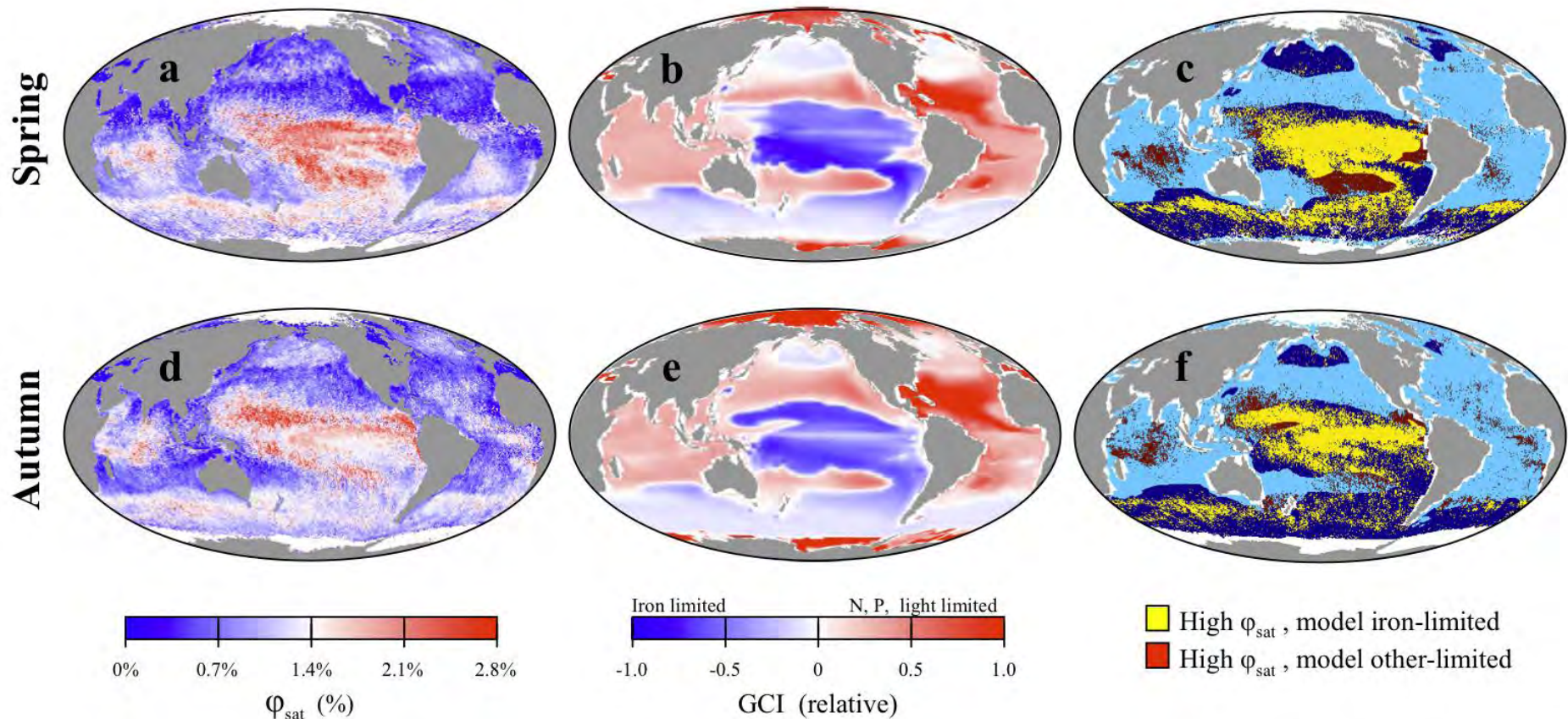


Observed quantum yield did not show clear relationship with macronutrient concentration



# Satellite-detected fluorescence reveals global physiology of ocean phytoplankton

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# Two general hypotheses

1. Growth irradiance is responsible for much of the variability in the quantum yield of Sun-induced fluorescence - Morisson and Goodwin
2. Nutrient limitation is responsible for much of the limitation in the quantum yield of Sun-induced fluorescence
  - a. Macro-Nutrient - Schallenberger et al. (effect on qI), Letelier and Abbott (effect on PQ)
  - b. Iron - Behrenfeld and others

**Others possible?**

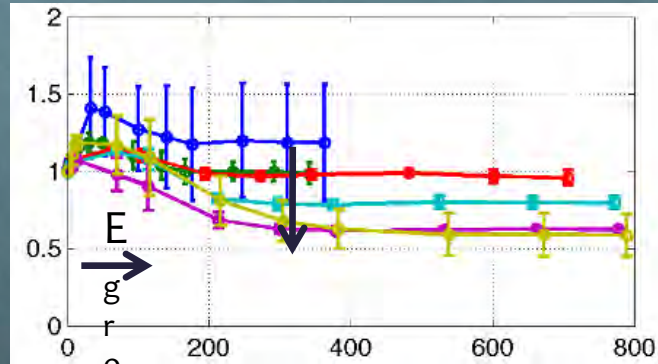
# Physiology vs ecology: species composition another possibility?

3 species, 6 growth irradiances

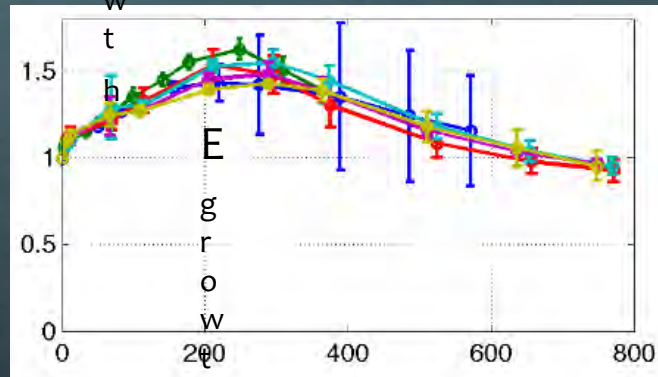
$F_s$

NPQ

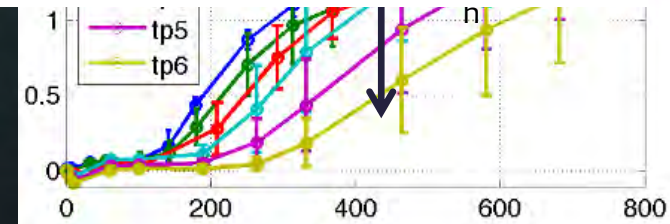
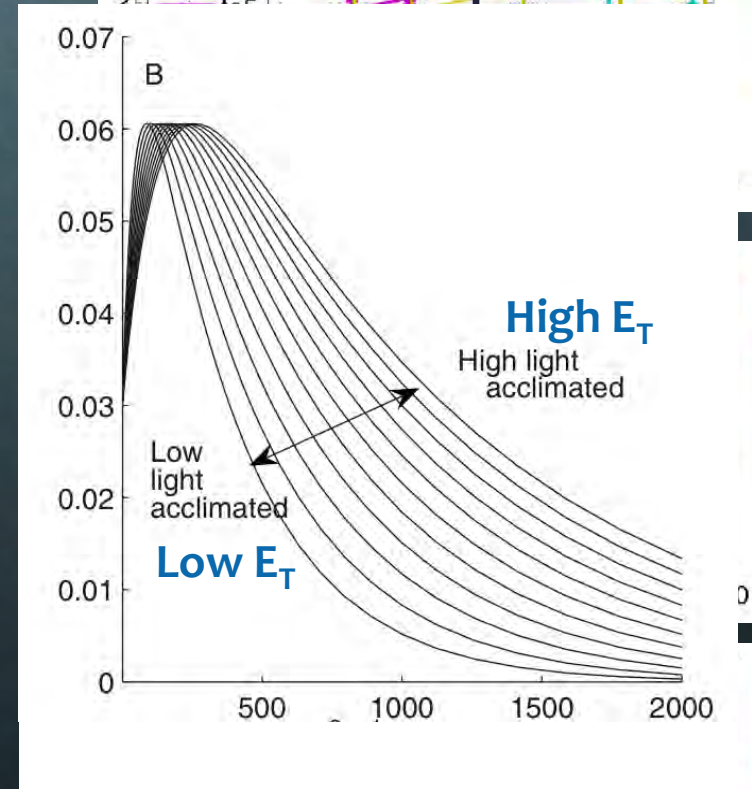
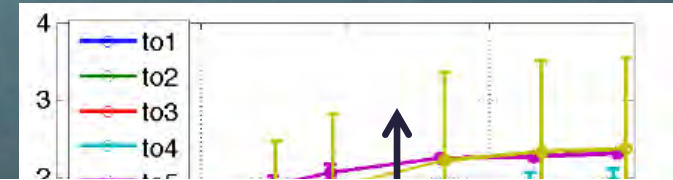
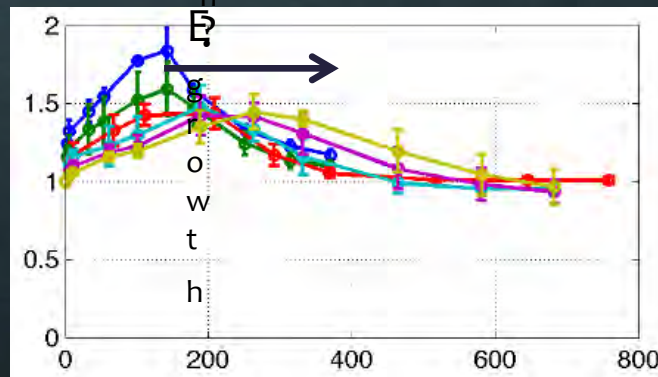
*T. oceanica*



*T. weissflogii*



*T. pseudonana*





# Three general hypotheses

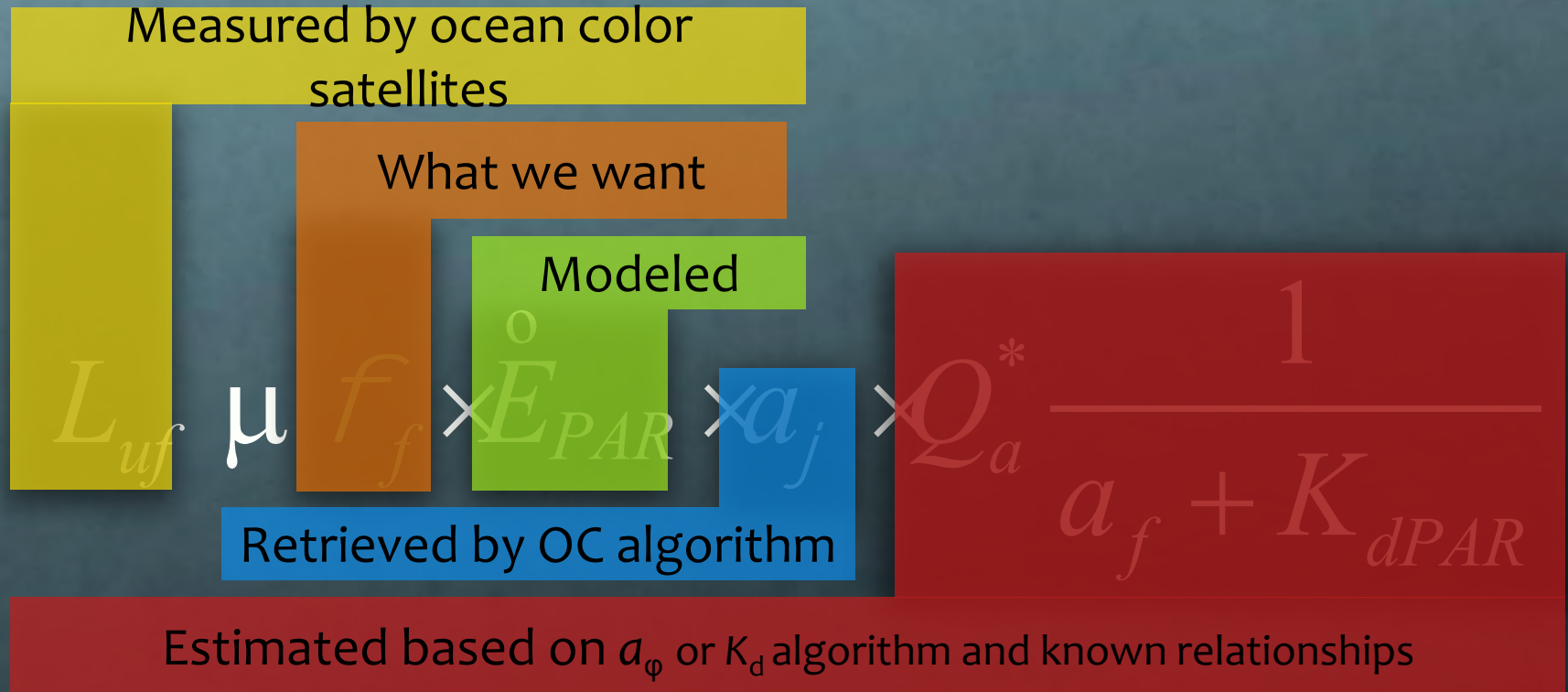
1. Growth irradiance is responsible for much of the variability in the quantum yield of Sun-induced fluorescence (Morisson and others)
2. Nutrient limitation is responsible for much of the limitation in the quantum yield of Sun-induced fluorescence
  - a. Macro-Nutrient (Schallenberg, Letelier and others)
  - b. Iron (Behrenfeld and others)
3. Species composition



# Four general hypotheses

1. Growth irradiance is responsible for much of the variability in the quantum yield of Sun-induced fluorescence (Morisson and others)
2. Nutrient limitation is responsible for much of the limitation in the quantum yield of Sun-induced fluorescence
  - a. Macro-nutrient (Schallenberg, Letelier and others)
  - b. Iron (Behrenfeld and others)
3. Species composition
4. We are not measuring the quantum yield of fluorescence...
  - a. Biases prevent us from observing real global distributions

# How do current chl-based $\phi_f$ satellite algorithms work?



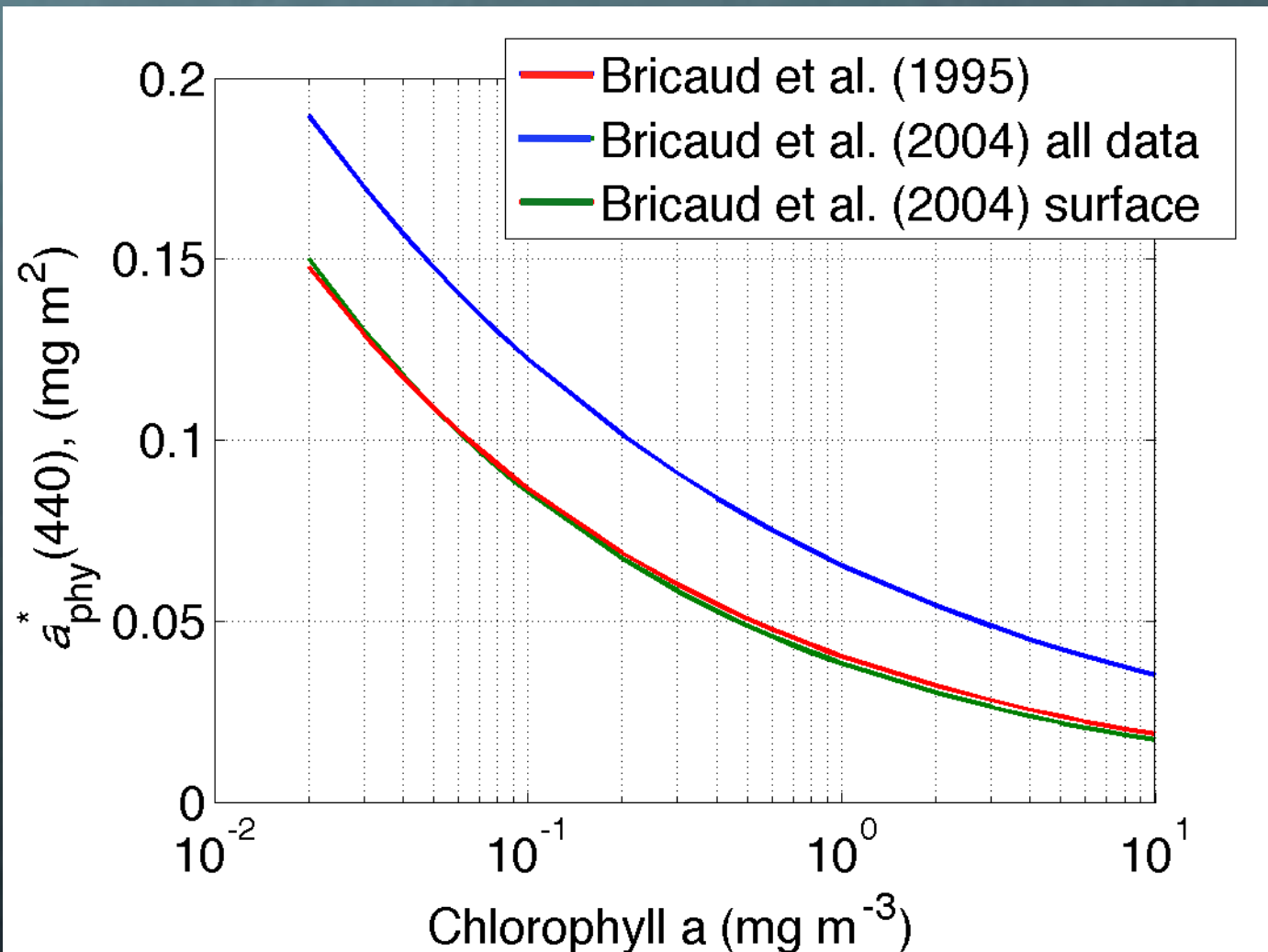
Morel, A. and S. Maritorena (2001), *Journal of Geophysical Research* **106**(C4): 7163-7180.  
 Bricaud, A., M. Babin, et al. (1995). *Journal of Geophysical Research* **100**(C7): 13321-13332.

Errors in  $a_\phi$  (or chl) estimated by ocean color algorithms thus propagate directly to estimates of  $\phi_f$

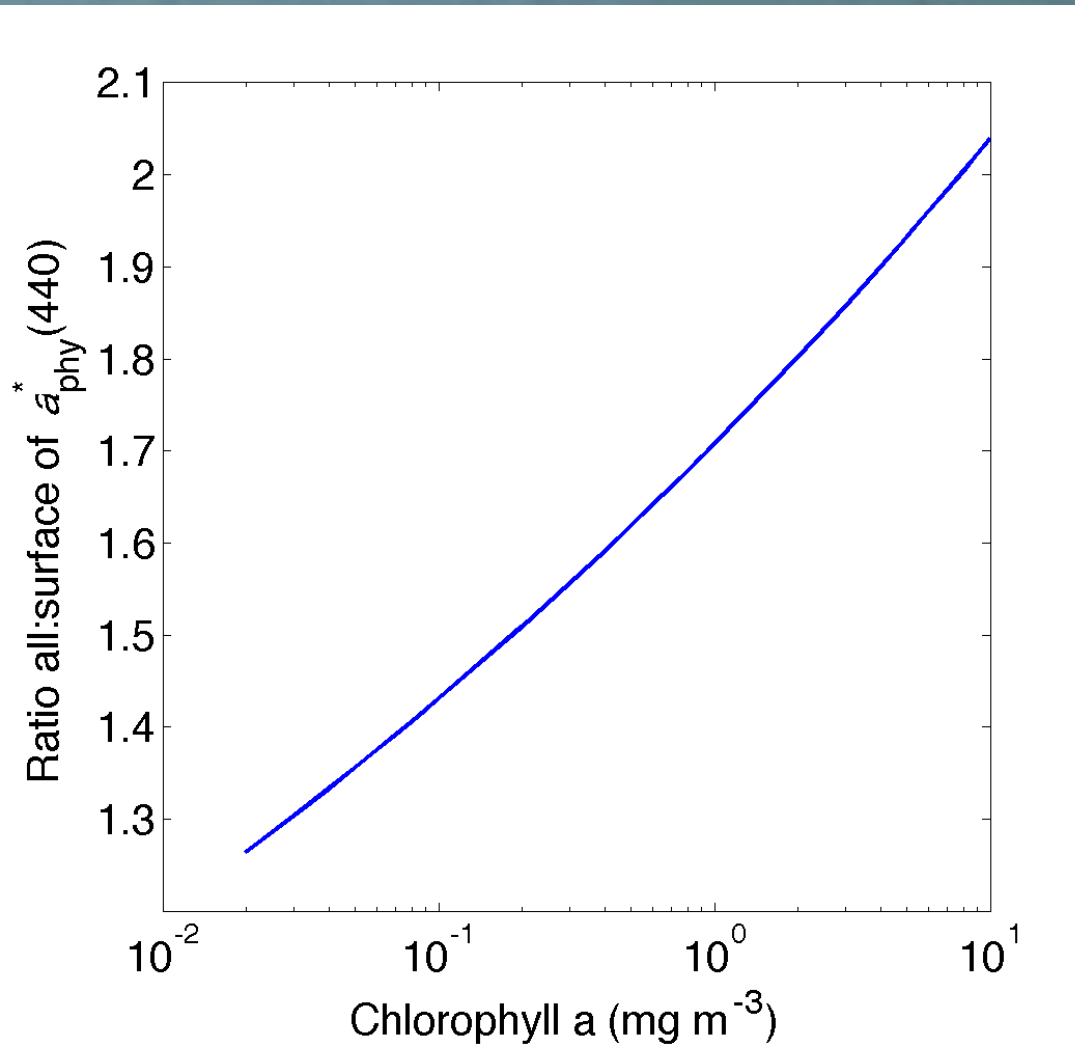
An overestimate of  $a_\phi$  leads to an underestimate of the quantum yield.

# Parameterization “errors”

$$a_j = a_j^* \times Chla$$



# Parameterization “errors”



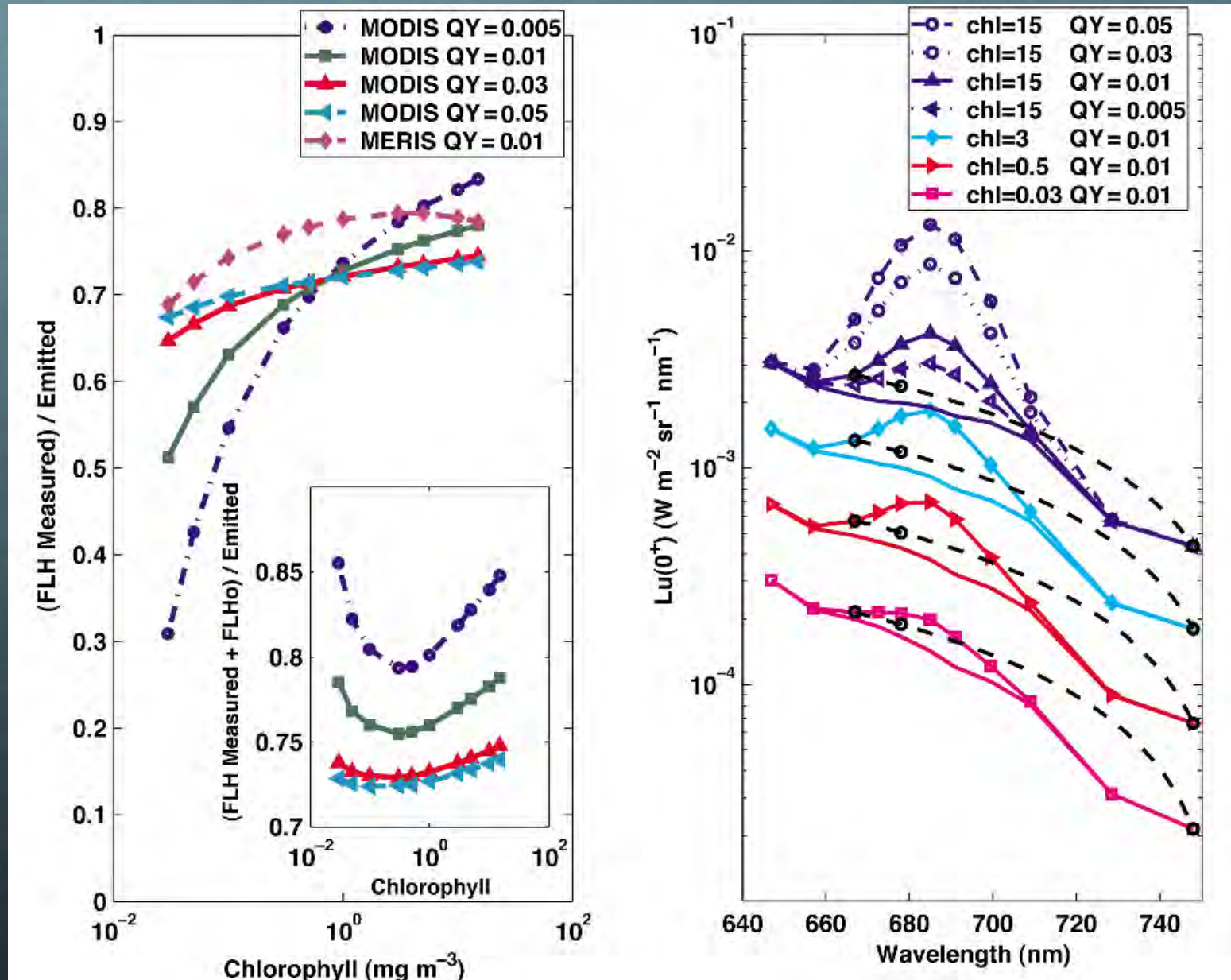
$$f_f^{\text{app}} = 0.81 \times \frac{FLH_{Lwn}}{Chl^{0.684}}$$

The exact exponent matters over three orders of magnitudes

Current algorithms are likely biased across Chla gradient because of such parameterization errors (error likely also in  $Q_a^*$  vs Chla and  $K_{\text{dPAR}}$  vs Chla)



# FLH is a biased estimate of fluorescence



Huot, Y, C A Brown, and J J Cullen. "New Algorithms for MODIS Sun-induced Chlorophyll Fluorescence and a Comparison with Present Data Products." *Limnology and Oceanography: Methods* 3 (2005): 108-130

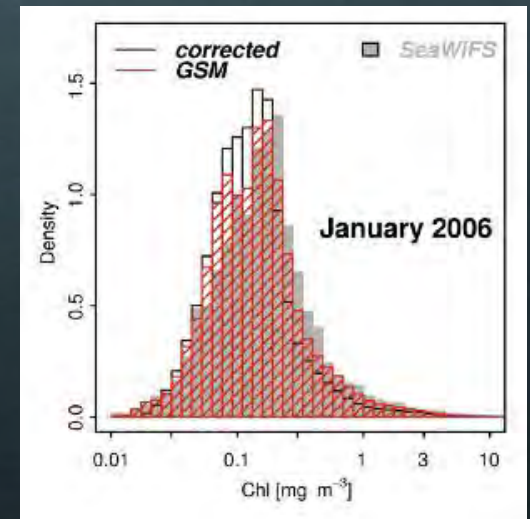
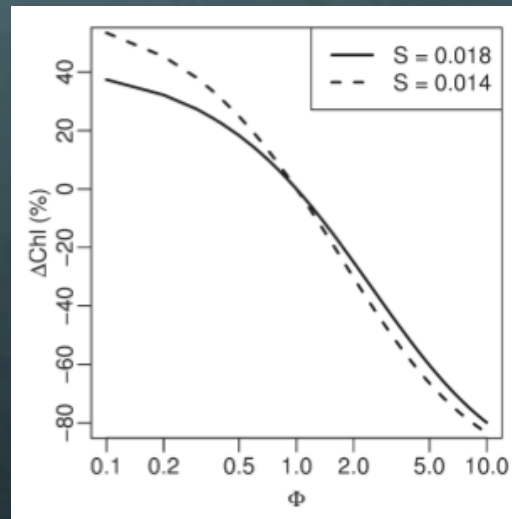
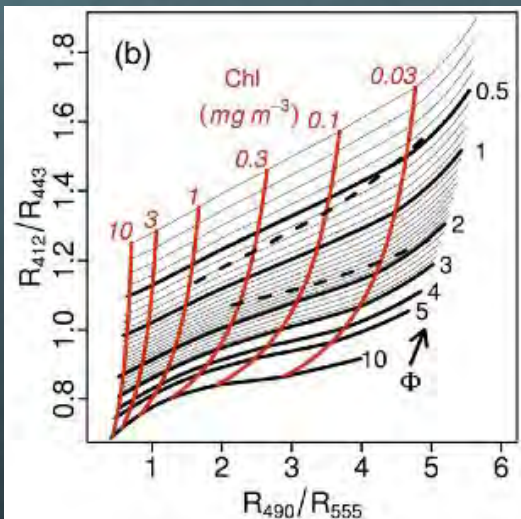
# Biases in $a_\phi$ : main source and potential corrections

The absorption by colored dissolved organic matter (CDOM) appears to be the largest bias in the estimate of phytoplankton absorption from space using empirical algorithms.

Two approaches to resolve this issue...

1) Empirical corrections  
(e.g.  $\Phi$ -correction)

2) Semi-analytical approaches  
(e.g. GSM or QAA algorithms)

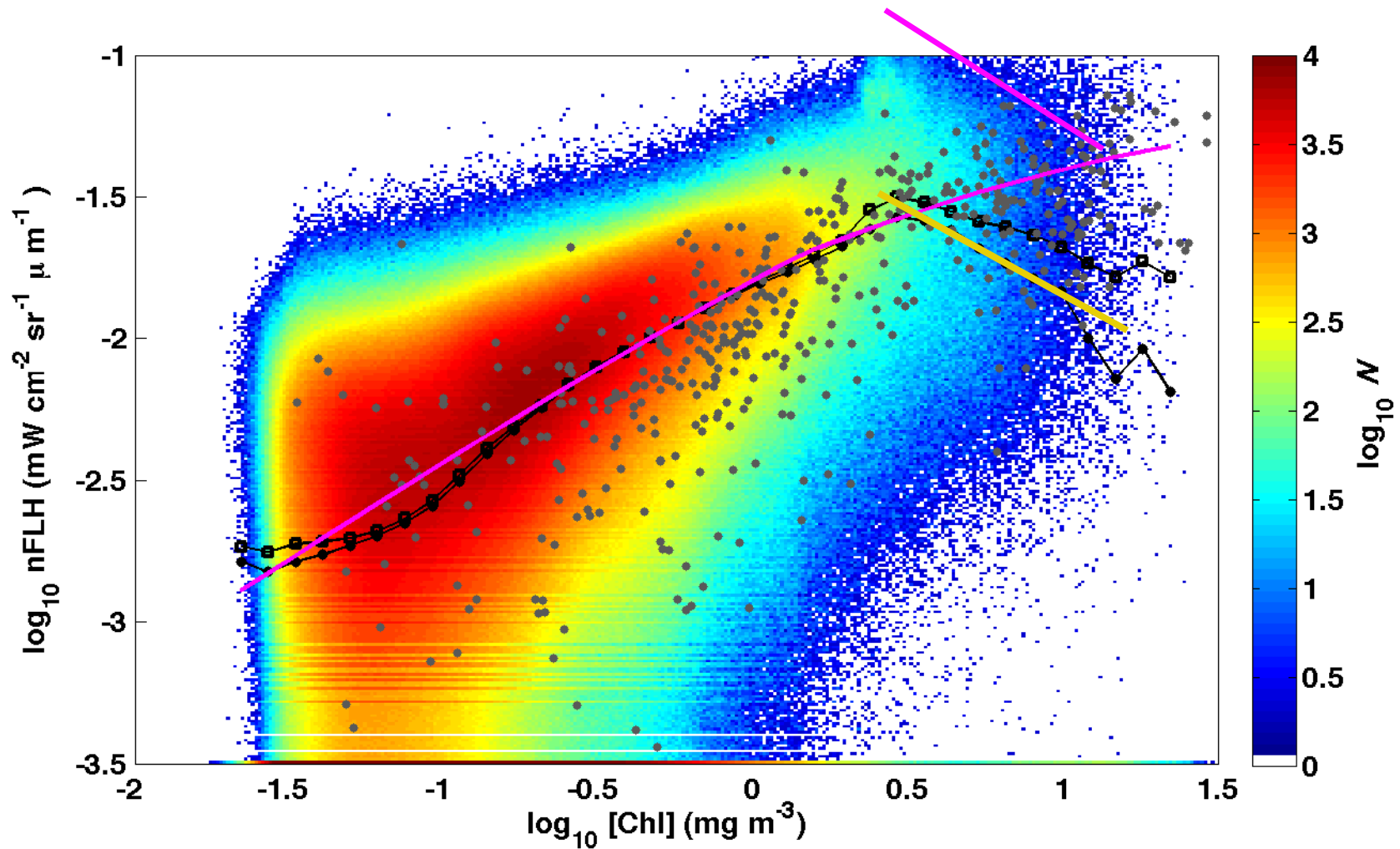


Both approaches also allow an estimate of CDOM absorption

Figures from : Morel, A. & Gentili, B. (2009). A simple band ratio technique to quantify the colored dissolved and detrital organic material from ocean color remotely sensed data. *Remote Sensing of Environment*, 113(5), 998-1011.

# Fluorescence vs chlorophyll OC2M

Scaled theoretical line (Huot et al. 2005)



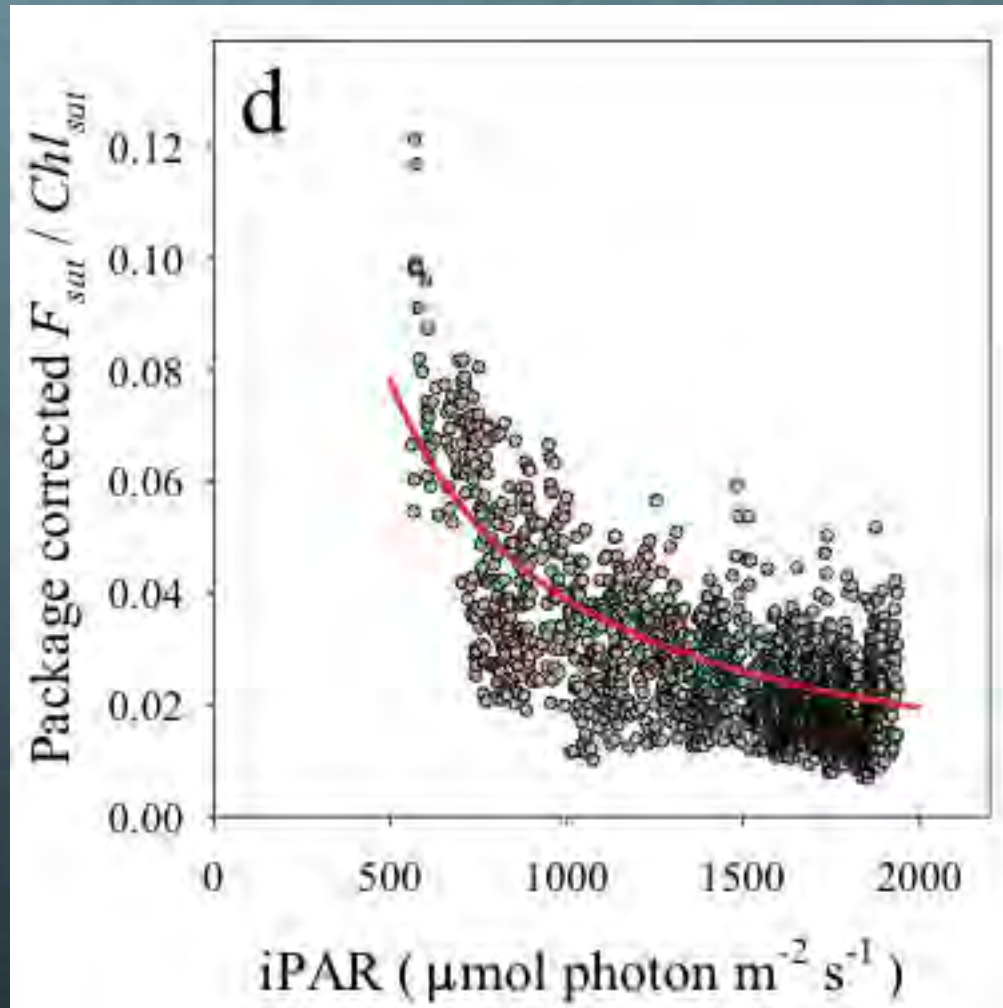
$nFLH$  is the fluorescence line height on nLw:

$$nFLH \gg \frac{L_{uf}}{E_{PAR}} \mu j_f \times a_j \times Q_a^* \frac{1}{a_f + K_{dPAR}}$$



# Correction for “average” effect of PAR.

$\sim \varphi_f$

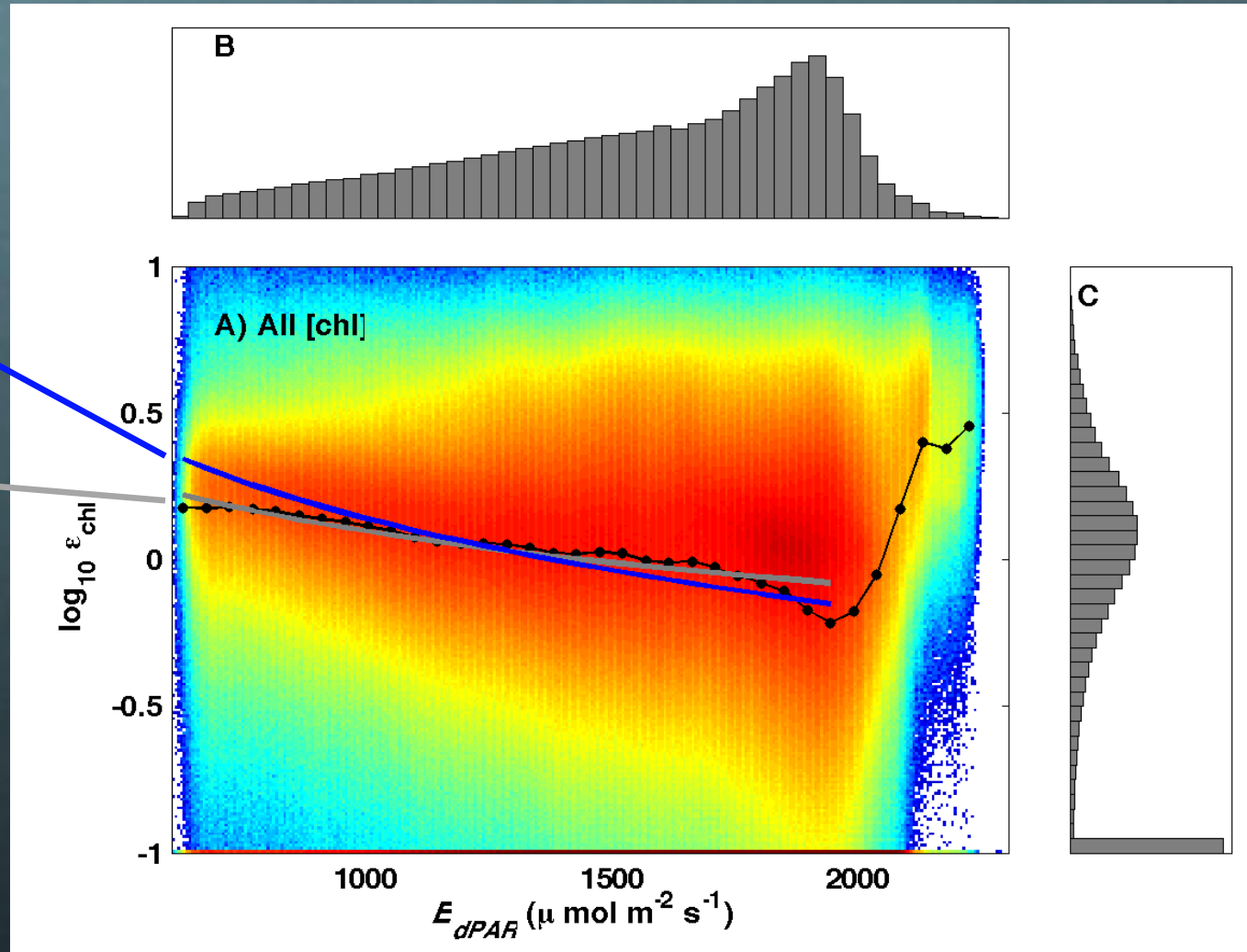


$\mu \text{ iPAR}^{-1}$

Figure from: Behrenfeld, M J, T K Westberry, E S Boss, R T O'Malley, D A Siegel, J D Wiggert, B A Franz, and others. "Satellite-Detected Fluorescence Reveals Global Physiology of Ocean Phytoplankton." *Biogeosciences* 6 (2009): 779-794



# Effect of irradiance



Behrenfeld  
et al. 2009  
 $(E_{dPAR})^{-1}$

Best fit to  
median points

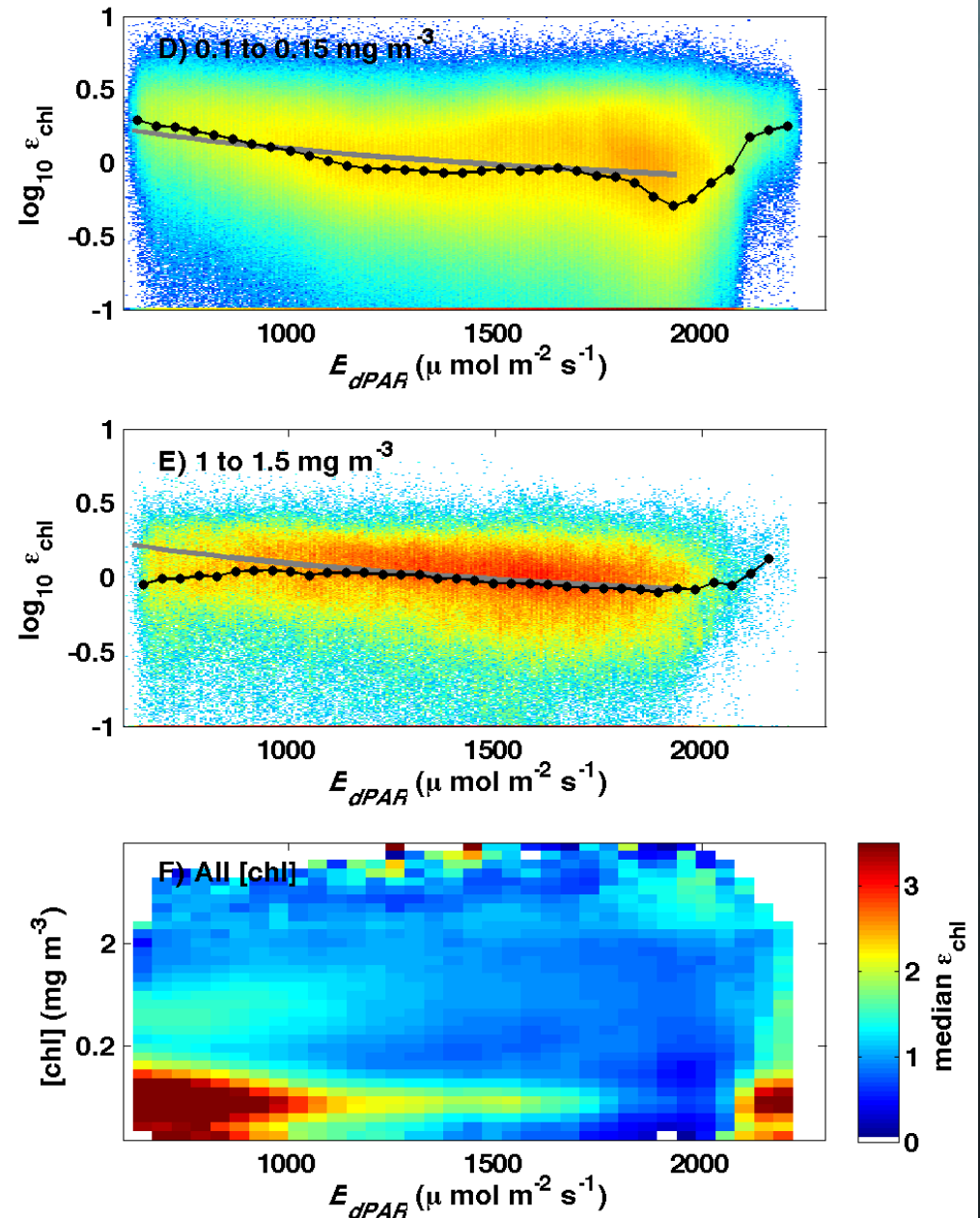
$$e_{chl} = \frac{nFLH}{\hat{y}_{median}}$$

# Further dependence on chlorophyll

$$e_{chl} = \frac{nFLH}{\hat{y}_{median}}$$

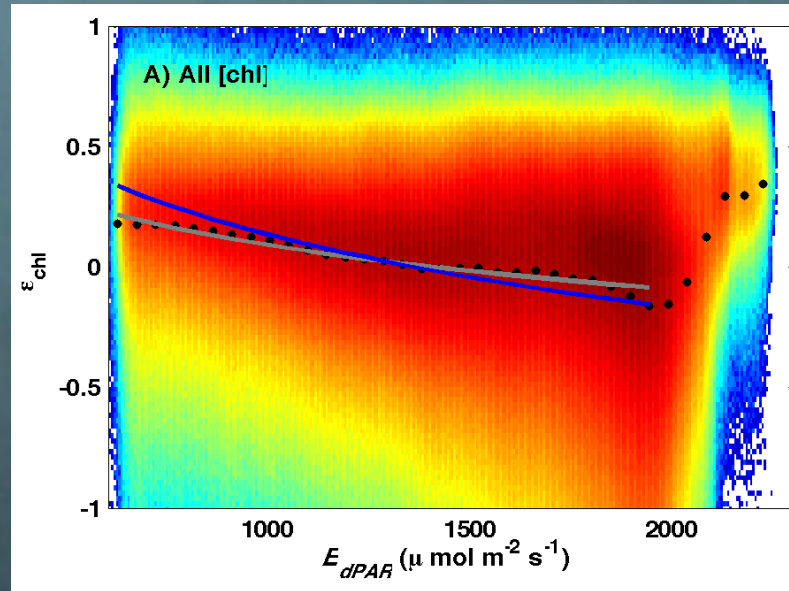
Median trends vary with chlorophyll concentration

We can represent those trends for all [chl] with a “median surface”.

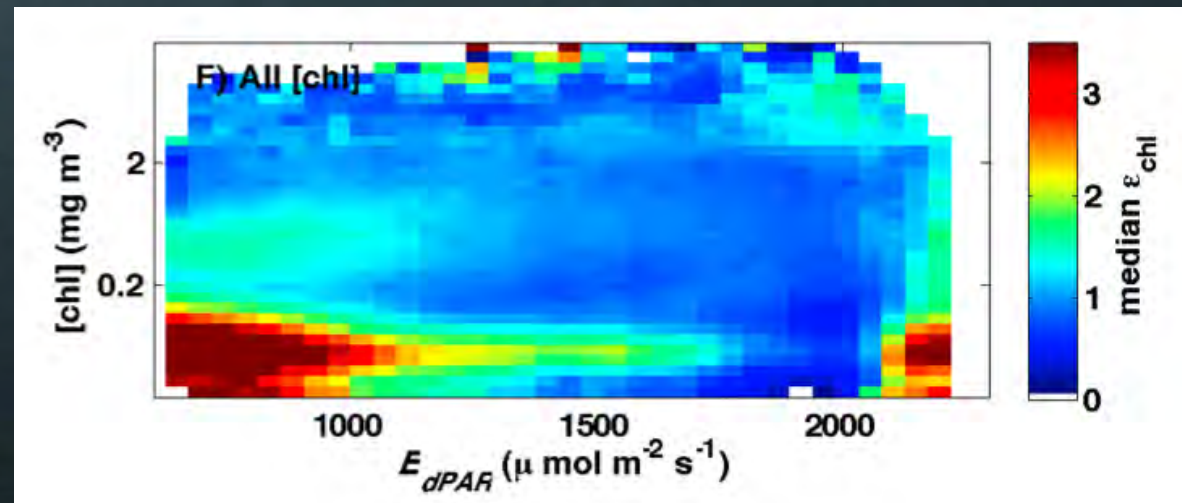


# One step further...

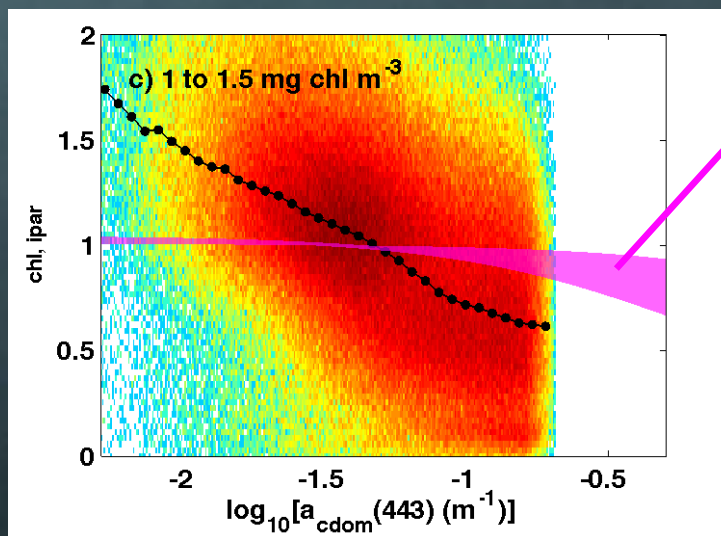
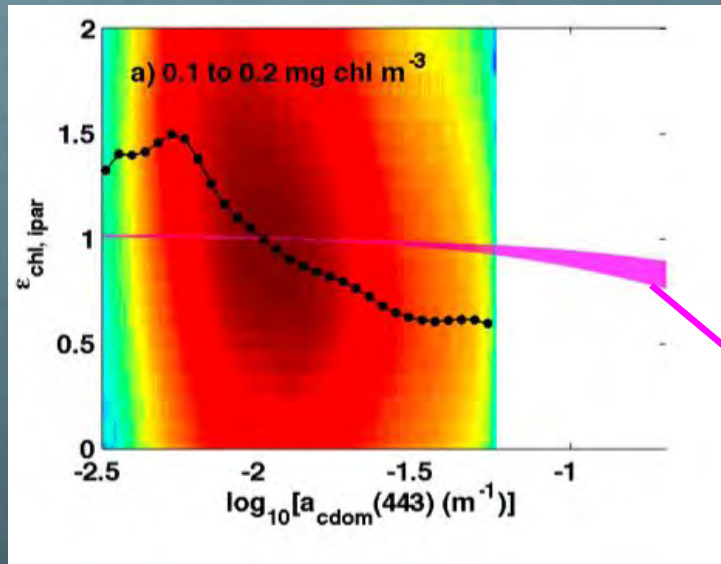
Lets divide the results from the previous graph...



by the « median surface »



... and plot the results as a function of CDOM absorption



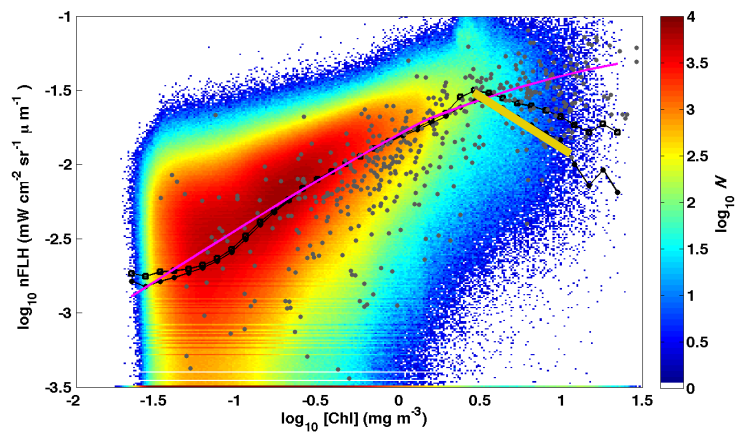
Theoretical "domain" if the attenuation of irradiance is the CDOM's only effect on chlorophyll fluorescence algorithms

CDOM is not only causing an increased attenuation of irradiance

Most likely it is causing a bias in the estimates of chlorophyll - OC2M is biased by CDOM no surprise there!

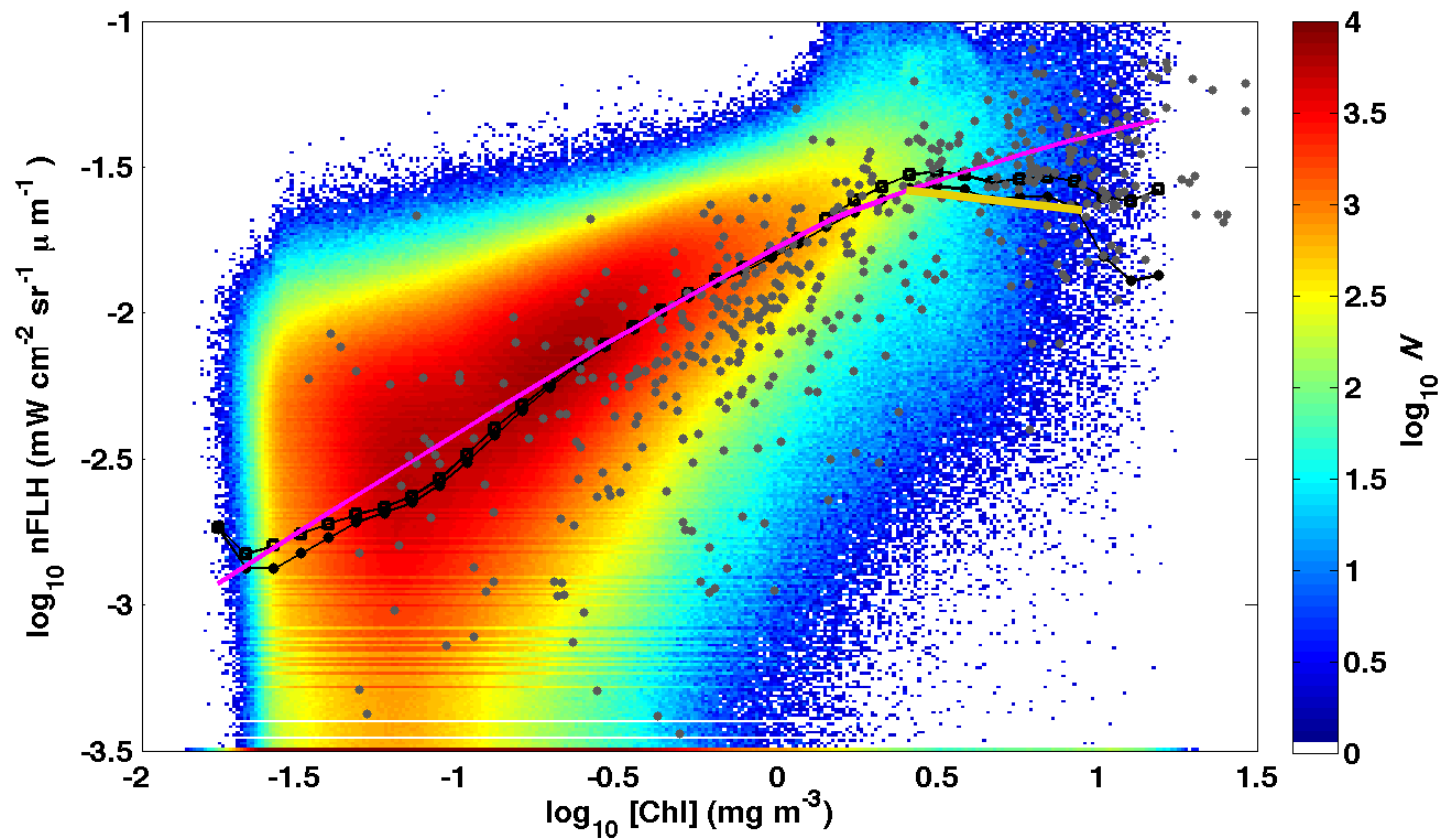


**What happens if we correct  
OC<sub>2</sub>M for CDOM using the  $\Phi$ -  
correction?**



Before (MARD=0.887)

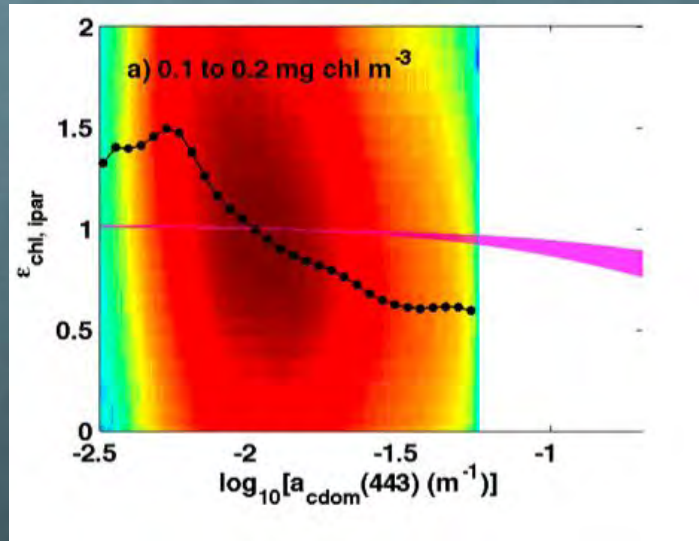
After (MARD=0.815)



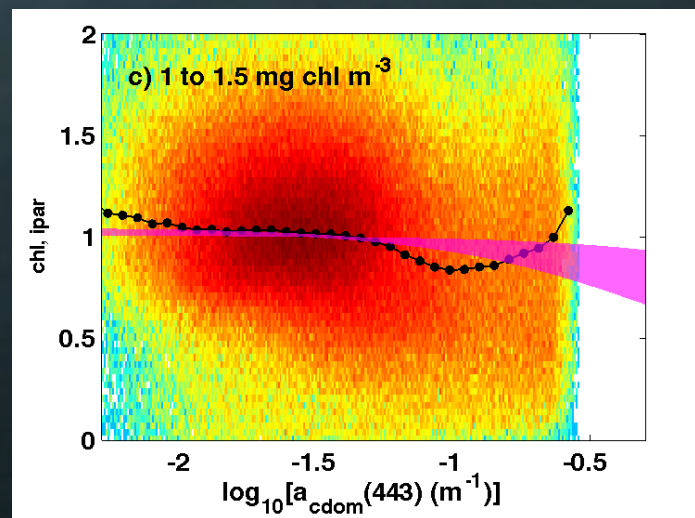
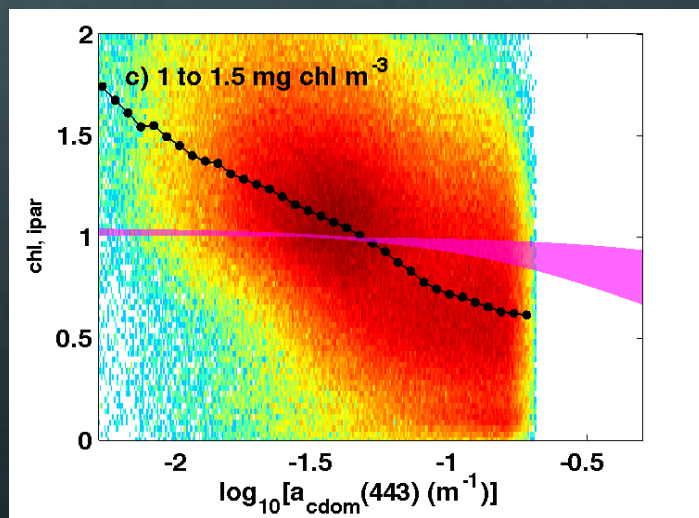
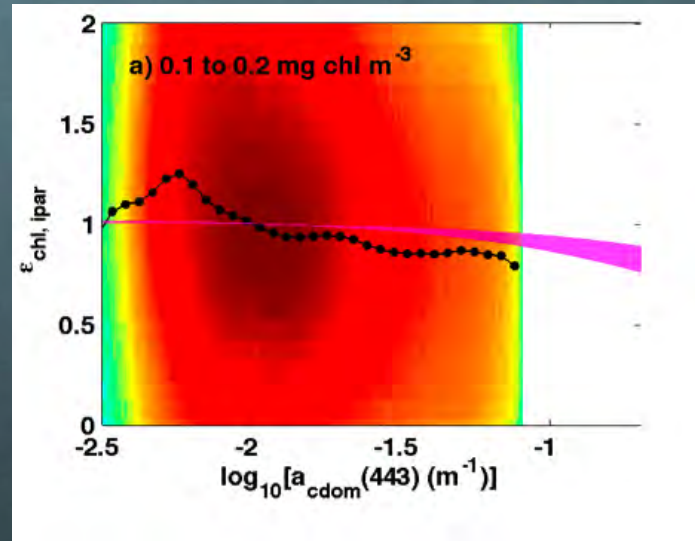
# Effect of CDOM

( $\Phi$ -corrected OC2M)

Before



After

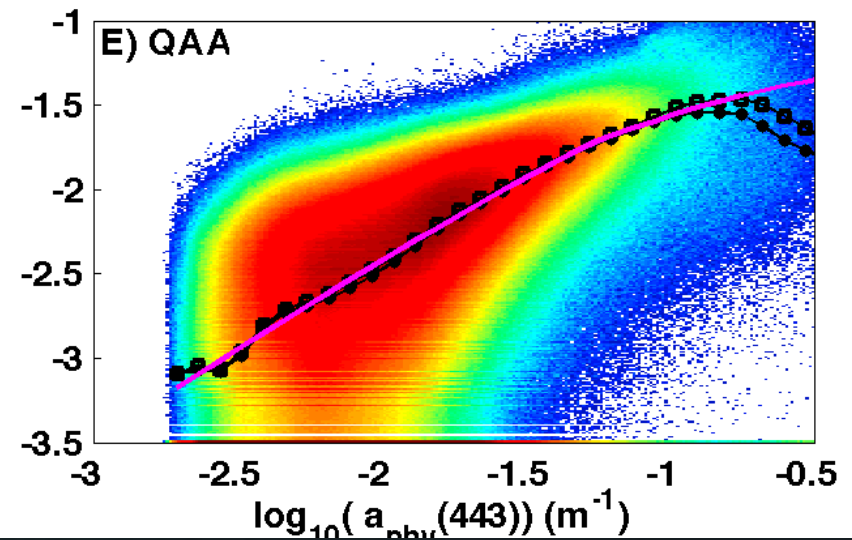
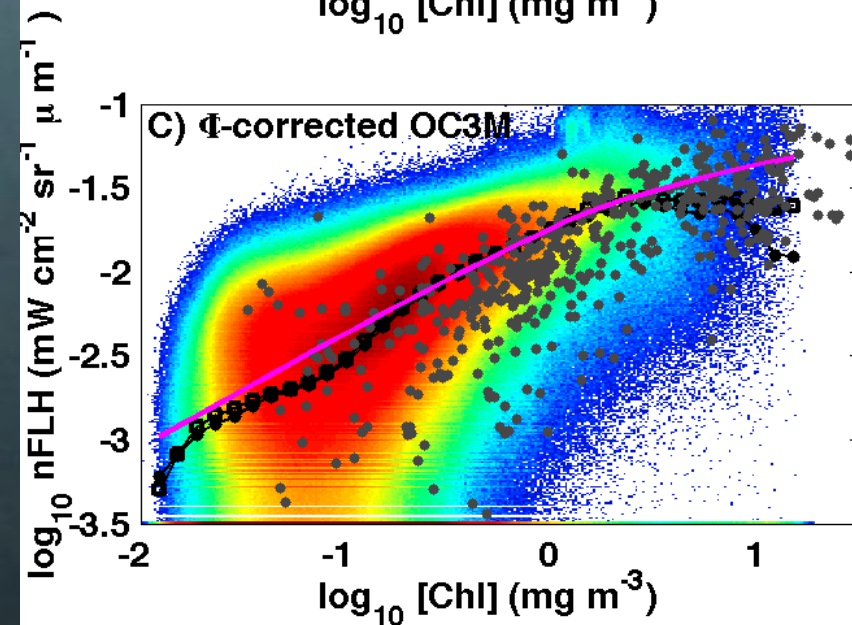
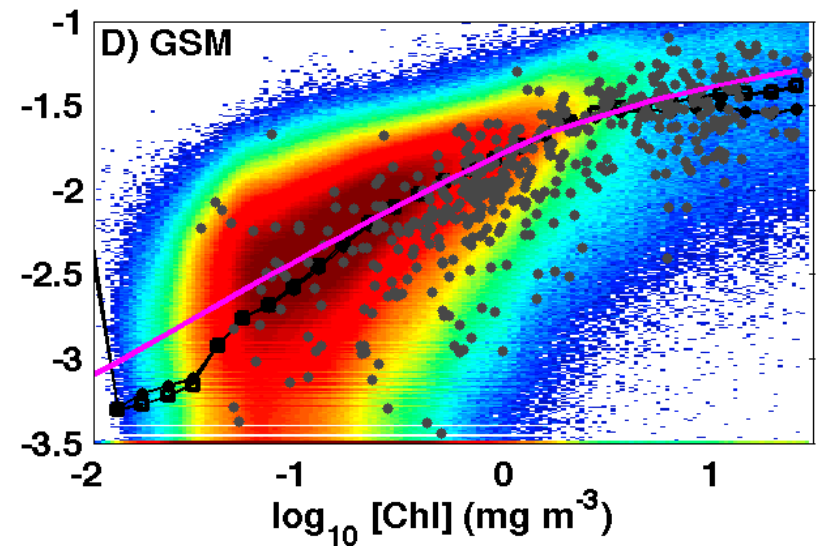
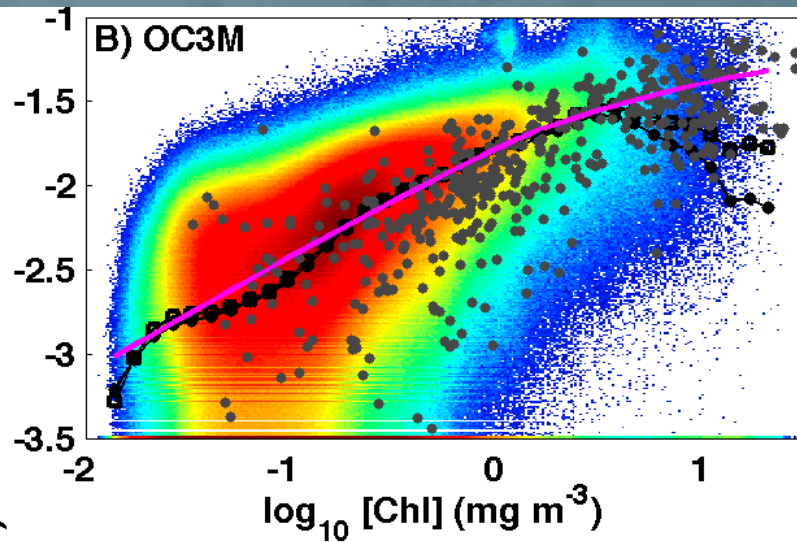




# Other algorithms

MARD=0.826

MARD=0.792



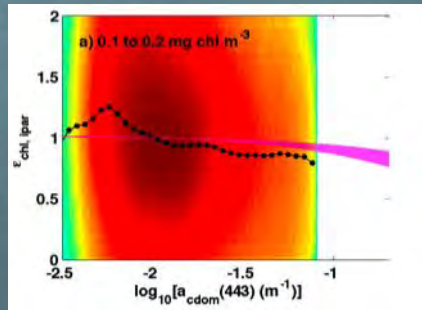
MARD=0.808

MARD=0.889

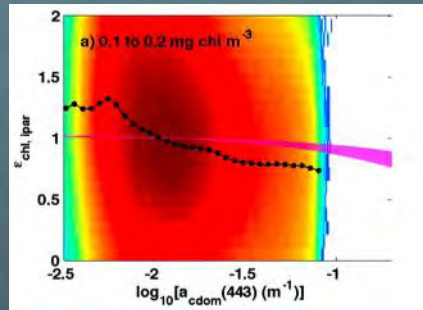


# CDOM is a different story

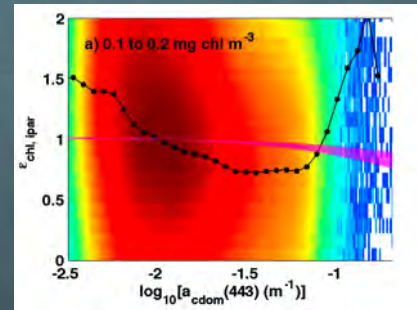
$\Phi$  corrected –OC<sub>2</sub>M



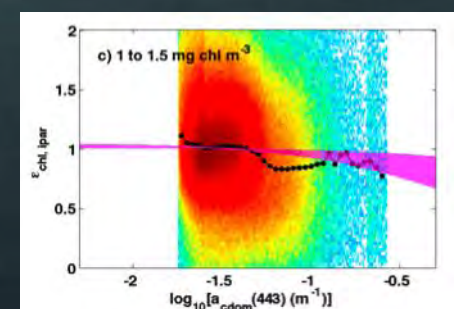
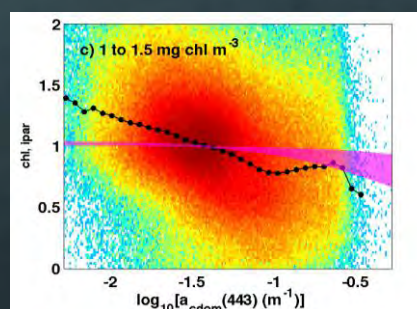
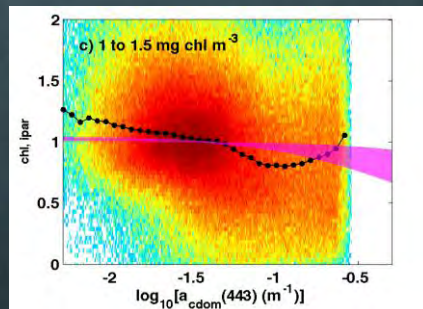
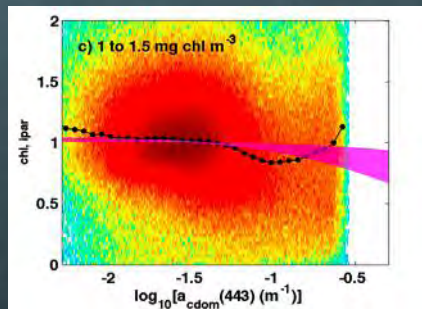
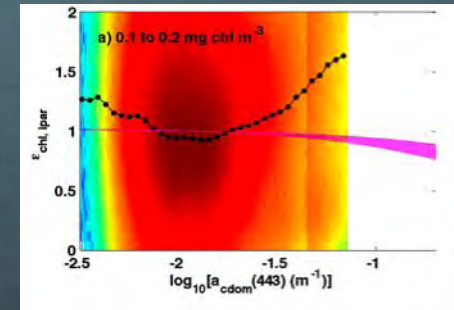
$\Phi$  corrected –OC<sub>3</sub>M



GSM



QAA



# Summing up so far...

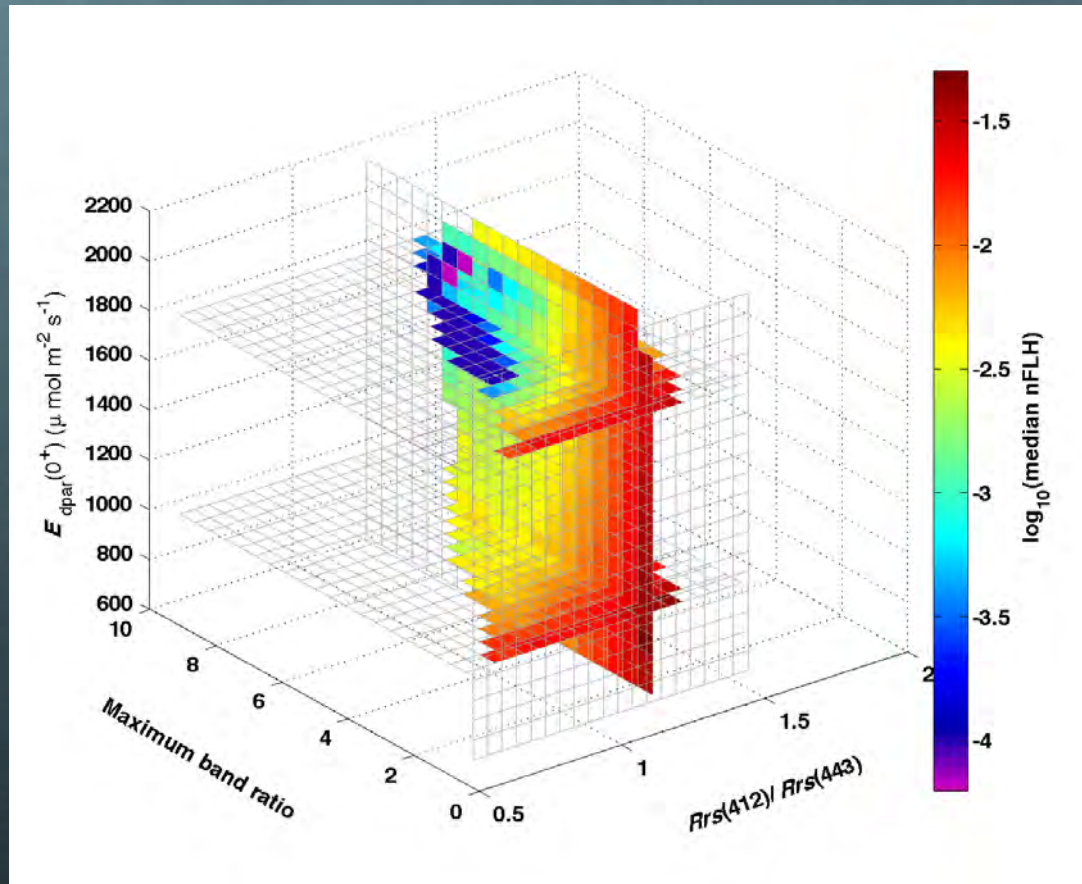
$E_{dPAR}$  has a significant effect of  $nFLH$  which depends on the trophic level.

$\Phi$ -correction allows the best retrieval of CDOM trends and improves chl empirical algorithms significantly.

GSM performs very well for chl, less well for CDOM.

# New algorithm for the quantum yield of fluorescence.

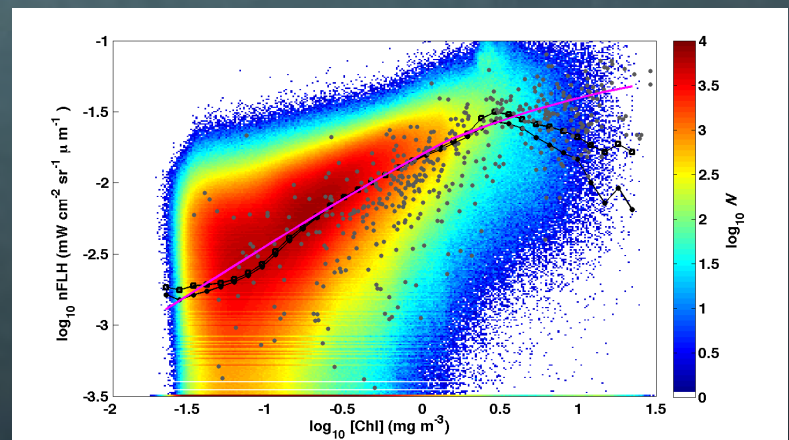
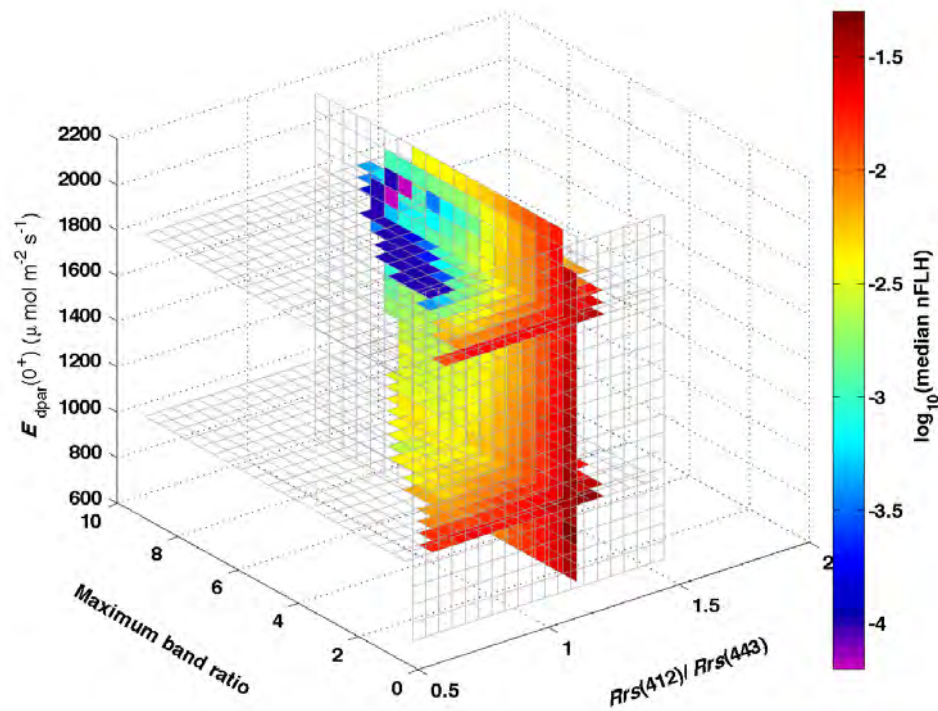
Objective: Removing the variability in nFLH arising from phytoplankton absorption, CDOM absorption and  $E_{dPAR}$  to observe variability caused by other factors.



Brown, C A, et al. "The Origin and Global Distribution of Second Order Variability in Satellite Ocean Color and Its Potential Applications to Algorithm Development." *Remote Sensing of Environment* (2008): doi:10.1016/j.rse.2008.06.008

Morel, A, and B Gentili. "A Simple Band Ratio Technique to Quantify the Colored Dissolved and Detrital Organic Material From Ocean Color Remotely Sensed Data." *Remote Sensing of Environment* 113, no. 5 (2009): doi:10.1029/2008JC004803





$$nFLH \approx \frac{L_{uf}}{\overset{\circ}{E}_{PAR}} \propto \varphi_f(\overset{\circ}{E}_{PAR}, chl) \cdot a_{\varphi} \cdot Q_a^* \frac{1}{a_f + K_{dPAR}}$$

The LUT “replaces” the magenta lines in current algorithms

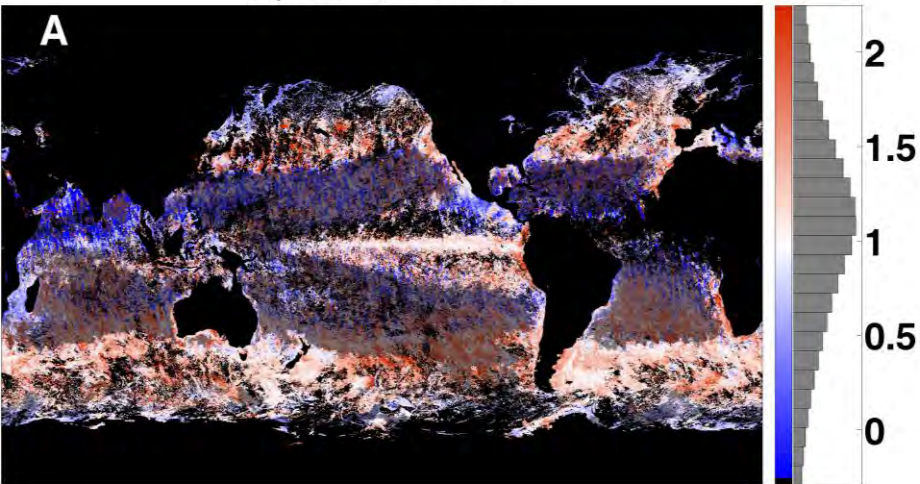


# The $\chi_{fluor}$ index

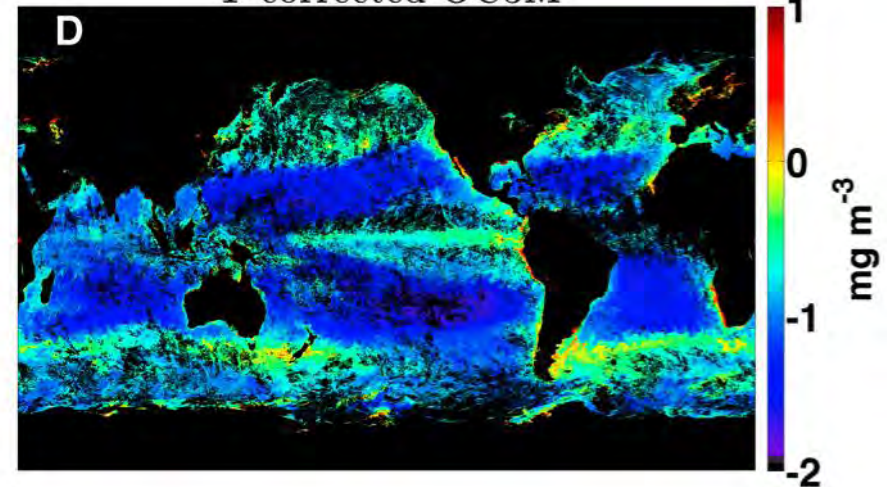
$$\chi_{fluor} = \frac{FLH_{nLw}}{FLH_{LUT}}$$

# Composite March 2007

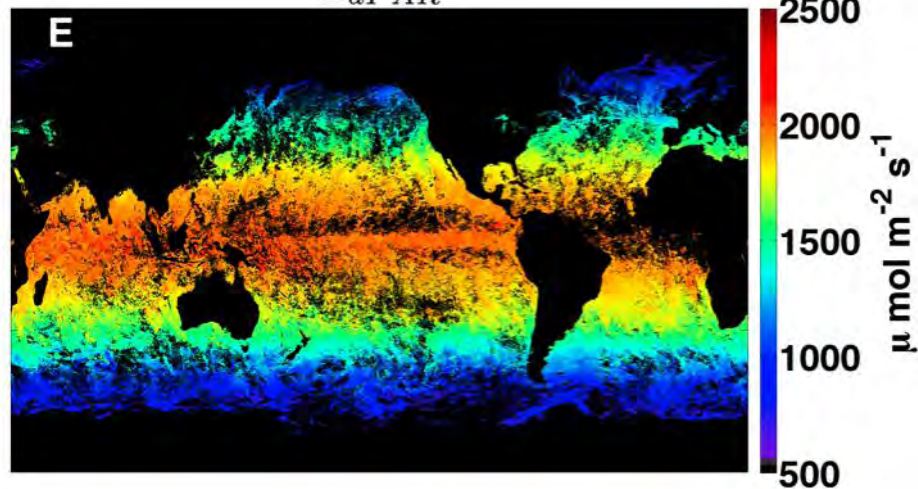
$\chi_{fluo}$  (MBR)



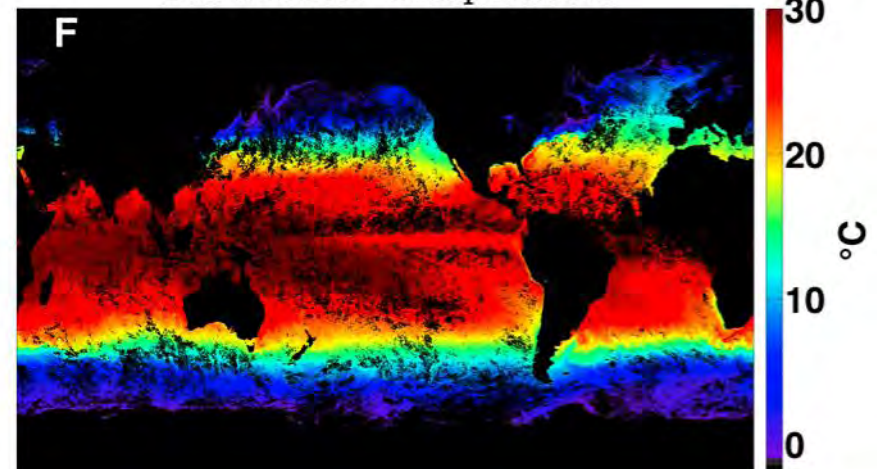
$\Phi$ -corrected OC3M



$E_dPAR$

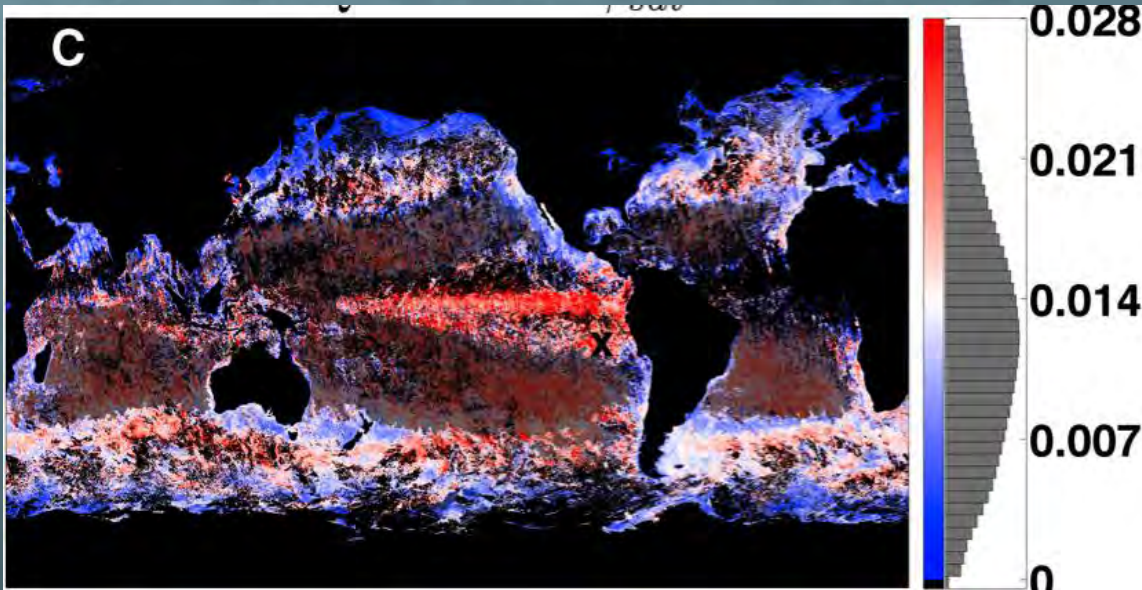


Sea Surface Temperature



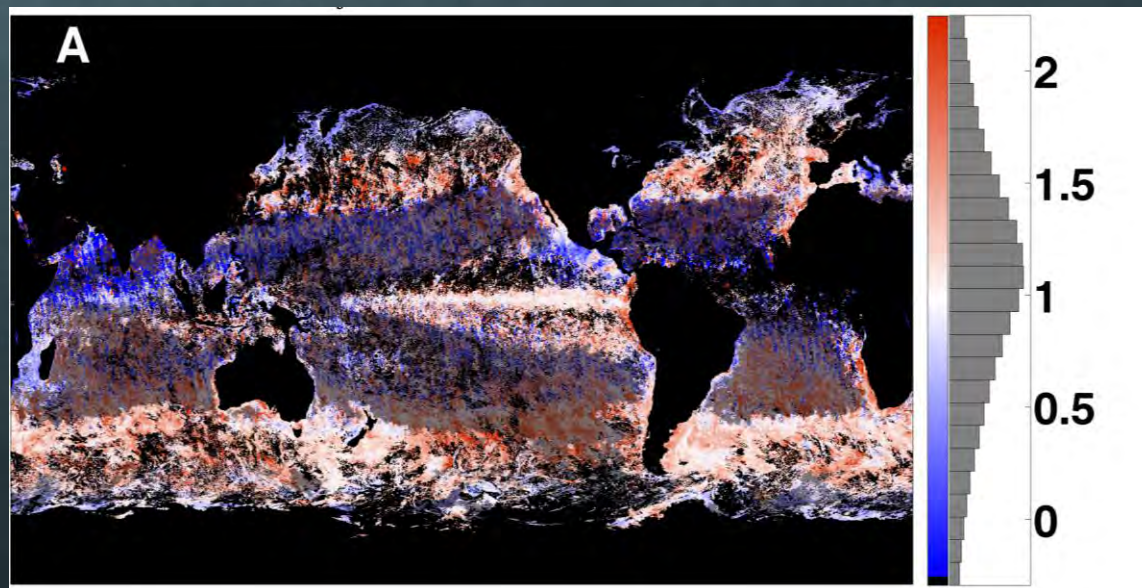


# Algorithms comparison (March 2007)



Behrenfeld et al.  
2009, ( $\Phi_{\text{sat}}$ ; equation A-12)

$$\phi_f^{\text{app}} = 0.68 \cdot 1.19 \cdot \frac{FLH_{nLw}}{Chl^{0.684}}$$



$C_{\text{fluo}}$

# The new algorithm - Conclusions

## Upside:

Accounts for most of the variability in ocean color affecting  $nFLH$  that is not due to physiology or species composition (globally).

Easy to tune the LUT for regional application; no need to know in situ optical properties.

Independent of absolute calibration of the satellite (as long as it is stable).

## Downside:

The  $\chi_{fluo}$  index provides only relative values of the quantum yield.

Thank you



# Where do we go now?

Enhanced abilities to interpret Sun-induced fluorescence fields observed from space could provide unprecedented information about phytoplankton physiology at global scales.

- 🌐 **The four testable hypotheses highlighted before provide good ground for future work.**
  - 🌐 Nutrient enrichment experiments coupled with measurements of Sun-induced fluorescence along (well chosen) transects could provide strong evidence into the nutrient-iron limitation hypothesis.
  - 🌐 These experiments complemented with species composition (HPLC, flowcytometry) and average growth irradiance within the mixed layer should help identify the variable that explains the most variance.
  - 🌐 Natural and anthropogenic iron enrichment areas are being studied at the moment and may help confirm the iron stress hypothesis.
  - 🌐 The proposed algorithm just presented should reduce biases in current algorithms